

## 9 van Emde Boas Trees

### Dynamic Set Data Structure $S$ :

- ▶  $S.insert(x)$
- ▶  $S.delete(x)$
- ▶  $S.search(x)$
- ▶  $S.min()$
- ▶  $S.max()$
- ▶  $S.succ(x)$
- ▶  $S.pred(x)$

## 9 van Emde Boas Trees

For this chapter we ignore the problem of storing satellite data:

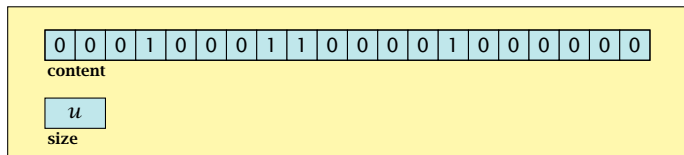
- ▶  **$S$ . insert( $x$ ):** Inserts  $x$  into  $S$ .
- ▶  **$S$ . delete( $x$ ):** Deletes  $x$  from  $S$ . Usually assumes that  $x \in S$ .
- ▶  **$S$ . member( $x$ ):** Returns 1 if  $x \in S$  and 0 otherwise.
- ▶  **$S$ . min():** Returns the value of the minimum element in  $S$ .
- ▶  **$S$ . max():** Returns the value of the maximum element in  $S$ .
- ▶  **$S$ . succ( $x$ ):** Returns successor of  $x$  in  $S$ . Returns null if  $x$  is maximum or larger than any element in  $S$ . Note that  $x$  needs not to be in  $S$ .
- ▶  **$S$ . pred( $x$ ):** Returns the predecessor of  $x$  in  $S$ . Returns null if  $x$  is minimum or smaller than any element in  $S$ . Note that  $x$  needs not to be in  $S$ .

## 9 van Emde Boas Trees

Can we improve the existing algorithms when the keys are from a restricted set?

In the following we assume that the keys are from  $\{0, 1, \dots, u - 1\}$ , where  $u$  denotes the size of the universe.

# Implementation 1: Array



one array of  $u$  bits

Use an array that encodes the indicator function of the dynamic set.

# Implementation 1: Array

**Algorithm 19** `array.insert( $x$ )`

1: `content[ $x$ ] ← 1;`

**Algorithm 20** `array.delete( $x$ )`

1: `content[ $x$ ] ← 0;`

**Algorithm 21** `array.member( $x$ )`

1: **return** `content[ $x$ ];`

- ▶ Note that we assume that  $x$  is valid, i.e., it falls within the array boundaries.
- ▶ Obviously(?) the running time is constant.

# Implementation 1: Array

## Algorithm 22 array.max()

```
1: for ( $i = \text{size} - 1; i \geq 0; i--$ ) do  
2:     if content[ $i$ ] = 1 then return  $i$ ;  
3: return null;
```

## Algorithm 23 array.min()

```
1: for ( $i = 0; i < \text{size}; i++$ ) do  
2:     if content[ $i$ ] = 1 then return  $i$ ;  
3: return null;
```

- ▶ Running time is  $\mathcal{O}(u)$  in the worst case.

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# Implementation 1: Array

## Algorithm 24 `array.succ(x)`

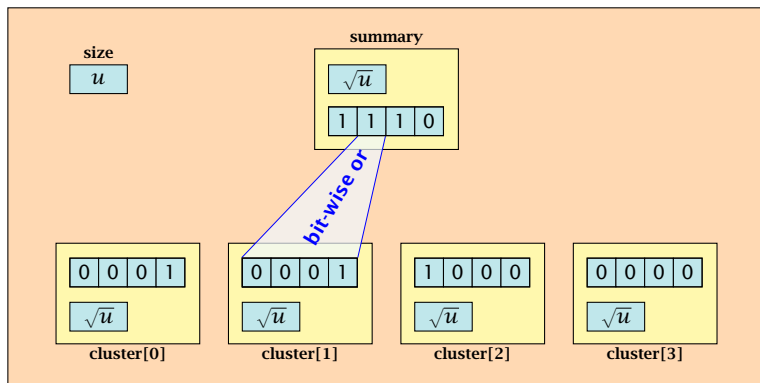
```
1: for ( $i = x + 1$ ;  $i < \text{size}$ ;  $i++$ ) do  
2:     if content[i] = 1 then return  $i$ ;  
3: return null;
```

## Algorithm 25 `array.pred(x)`

```
1: for ( $i = x - 1$ ;  $i \geq 0$ ;  $i--$ ) do  
2:     if content[i] = 1 then return  $i$ ;  
3: return null;
```

- ▶ Running time is  $\mathcal{O}(u)$  in the worst case.

## Implementation 2: Summary Array



- ▶  $\sqrt{u}$  cluster-arrays of  $\sqrt{u}$  bits.
- ▶ One summary-array of  $\sqrt{u}$  bits. The  $i$ -th bit in the summary array stores the bit-wise or of the bits in the  $i$ -th cluster.

## Implementation 2: Summary Array

The bit for a key  $x$  is contained in cluster number  $\lfloor \frac{x}{\sqrt{u}} \rfloor$ .

Within the cluster-array the bit is at position  $x \bmod \sqrt{u}$ .

For simplicity we assume that  $u = 2^{2k}$  for some  $k \geq 1$ . Then we can compute the cluster-number for an entry  $x$  as  $\text{high}(x)$  (the upper half of the dual representation of  $x$ ) and the position of  $x$  within its cluster as  $\text{low}(x)$  (the lower half of the dual representation).

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## Implementation 2: Summary Array

**Algorithm 26**  $\text{member}(x)$

1: **return**  $\text{cluster}[\text{high}(x)].\text{member}(\text{low}(x));$

**Algorithm 27**  $\text{insert}(x)$

1:  $\text{cluster}[\text{high}(x)].\text{insert}(\text{low}(x));$

2:  $\text{summary}.\text{insert}(\text{high}(x));$

- ▶ The running times are constant, because the corresponding array-functions have constant running times.

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## Implementation 2: Summary Array

### Algorithm 28 delete( $x$ )

```
1: cluster[high( $x$ )].delete(low( $x$ ));  
2: if cluster[high( $x$ )].min() = null then  
3:     summary.delete(high( $x$ ));
```

- ▶ The running time is dominated by the cost of a minimum computation, which will turn out to be  $\mathcal{O}(\sqrt{u})$ .

## Implementation 2: Summary Array

### Algorithm 28 delete( $x$ )

```
1: cluster[high( $x$ )].delete(low( $x$ ));  
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## Implementation 2: Summary Array

### Algorithm 29 $\text{max}()$

```
1:  $\text{maxcluster} \leftarrow \text{summary.max}();$   
2: if  $\text{maxcluster} = \text{null}$  return  $\text{null}$ ;  
3:  $\text{offs} \leftarrow \text{cluster}[\text{maxcluster}].\text{max}();$   
4: return  $\text{maxcluster} \circ \text{offs};$ 
```

### Algorithm 30 $\text{min}()$

```
1:  $\text{mincluster} \leftarrow \text{summary.min}();$   
2: if  $\text{mincluster} = \text{null}$  return  $\text{null}$ ;  
3:  $\text{offs} \leftarrow \text{cluster}[\text{mincluster}].\text{min}();$   
4: return  $\text{mincluster} \circ \text{offs};$ 
```

▶ Running time is roughly  $2\sqrt{u} = \mathcal{O}(u)$  in the worst case.

## Implementation 2: Summary Array

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1:  $\text{mincluster} \leftarrow \text{summary.min}();$   
2: if  $\text{mincluster} = \text{null}$  return  $\text{null}$ ;  
3:  $\text{offs} \leftarrow \text{cluster}[\text{mincluster}].\text{min}();$   
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- ▶ Running time is roughly  $2\sqrt{u} = \mathcal{O}(u)$  in the worst case.

## Implementation 2: Summary Array

### Algorithm 31 $\text{succ}(x)$

```
1:  $m \leftarrow \text{cluster}[\text{high}(x)].\text{succ}(\text{low}(x))$ 
2: if  $m \neq \text{null}$  then return  $\text{high}(x) \circ m$ ;
3:  $\text{succcluster} \leftarrow \text{summary}.\text{succ}(\text{high}(x))$ ;
4: if  $\text{succcluster} \neq \text{null}$  then
5:      $\text{offs} \leftarrow \text{cluster}[\text{succcluster}].\text{min}()$ ;
6:     return  $\text{succcluster} \circ \text{offs}$ ;
7: return  $\text{null}$ ;
```

► Running time is roughly  $3\sqrt{u} = \mathcal{O}(\sqrt{u})$  in the worst case.

## Implementation 2: Summary Array

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5:      $\text{offs} \leftarrow \text{cluster}[\text{succcluster}].\text{min}()$ ;
6:     return  $\text{succcluster} \circ \text{offs}$ ;
7: return  $\text{null}$ ;
```

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## Implementation 2: Summary Array

### Algorithm 32 $\text{pred}(x)$

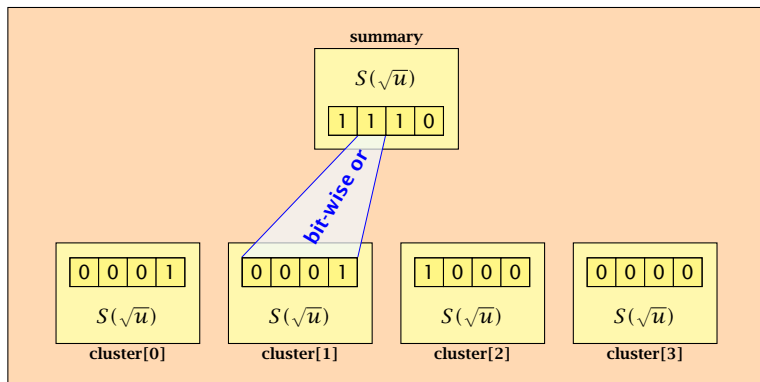
```
1:  $m \leftarrow \text{cluster}[\text{high}(x)].\text{pred}(\text{low}(x))$ 
2: if  $m \neq \text{null}$  then return  $\text{high}(x) \circ m$ ;
3:  $\text{predcluster} \leftarrow \text{summary}.\text{pred}(\text{high}(x))$ ;
4: if  $\text{predcluster} \neq \text{null}$  then
5:      $\text{offs} \leftarrow \text{cluster}[\text{predcluster}].\text{max}()$ ;
6:     return  $\text{predcluster} \circ \text{offs}$ ;
7: return  $\text{null}$ ;
```

- ▶ Running time is roughly  $3\sqrt{u} = \mathcal{O}(\sqrt{u})$  in the worst case.

## Implementation 3: Recursion

Instead of using sub-arrays, we build a recursive data-structure.

$S(u)$  is a dynamic set data-structure representing  $u$  bits:



## Implementation 3: Recursion

We assume that  $u = 2^{2^k}$  for some  $k$ .

The data-structure  $S(2)$  is defined as an array of 2-bits (end of the recursion).

## Implementation 3: Recursion

The code from Implementation 2 can be used **unchanged**. We only need to redo the analysis of the running time.

Note that in the code we do not need to specifically address the non-recursive case. This is achieved by the fact that an  $S(4)$  will contain  $S(2)$ 's as sub-datastructures, which are **arrays**. Hence, a call like `cluster[1].min()` from within the data-structure  $S(4)$  is **not** a recursive call as it will call the function `array.min()`.

This means that the non-recursive case is been dealt with while initializing the data-structure.

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This means that the non-recursive case is been dealt with while initializing the data-structure.

## Implementation 3: Recursion

**Algorithm 33** `member(x)`

```
1: return cluster[high(x)].member(low(x));
```

- ▶  $T_{\text{mem}}(u) = T_{\text{mem}}(\sqrt{u}) + 1.$



## Implementation 3: Recursion

### Algorithm 34 insert( $x$ )

```
1: cluster[high( $x$ )].insert(low( $x$ ));  
2: summary.insert(high( $x$ ));
```

►  $T_{\text{ins}}(u) = 2T_{\text{ins}}(\sqrt{u}) + 1.$

## Implementation 3: Recursion

### Algorithm 35 delete( $x$ )

```
1: cluster[high( $x$ )].delete(low( $x$ ));  
2: if cluster[high( $x$ )].min() = null then  
3:     summary.delete(high( $x$ ));
```

- ▶  $T_{\text{del}}(u) = 2T_{\text{del}}(\sqrt{u}) + T_{\text{min}}(\sqrt{u}) + 1.$

## Implementation 3: Recursion

### Algorithm 36 $\text{min}()$

```
1: mincluster  $\leftarrow$  summary.min();  
2: if mincluster = null return null;  
3: offs  $\leftarrow$  cluster[mincluster].min();  
4: return mincluster  $\circ$  offs;
```

- ▶  $T_{\min}(u) = 2T_{\min}(\sqrt{u}) + 1$ .

## Implementation 3: Recursion

### Algorithm 37 $\text{succ}(x)$

```
1:  $m \leftarrow \text{cluster}[\text{high}(x)].\text{succ}(\text{low}(x))$ 
2: if  $m \neq \text{null}$  then return  $\text{high}(x) \circ m$ ;
3:  $\text{succcluster} \leftarrow \text{summary}.\text{succ}(\text{high}(x))$ ;
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5:      $\text{offs} \leftarrow \text{cluster}[\text{succcluster}].\text{min}()$ ;
6:     return  $\text{succcluster} \circ \text{offs}$ ;
7: return  $\text{null}$ ;
```

- ▶  $T_{\text{succ}}(u) = 2T_{\text{succ}}(\sqrt{u}) + T_{\text{min}}(\sqrt{u}) + 1$ .

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$$T_{\text{mem}}(\mathbf{u}) = T_{\text{mem}}(\sqrt{\mathbf{u}}) + \mathbf{1}:$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{mem}}(2^\ell)$ .

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$$\begin{aligned} X(\ell) = T_{\text{mem}}(2^\ell) &= T_{\text{mem}}(\mathbf{u}) = T_{\text{mem}}(\sqrt{\mathbf{u}}) + 1 \\ &= T_{\text{mem}}(2^{\frac{\ell}{2}}) + 1 \end{aligned}$$

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Using Master theorem gives  $X(\ell) = \mathcal{O}(\log \ell)$ , and hence  $T_{\text{mem}}(\mathbf{u}) = \mathcal{O}(\log \log u)$ .

## Implementation 3: Recursion

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Using Master theorem gives  $X(\ell) = \mathcal{O}(\ell)$ , and hence  $T_{\text{ins}}(\mathbf{u}) = \mathcal{O}(\log u)$ .



## Implementation 3: Recursion

$$T_{\text{ins}}(\mathbf{u}) = 2T_{\text{ins}}(\sqrt{\mathbf{u}}) + 1.$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{ins}}(2^\ell)$ . Then

$$\begin{aligned} X(\ell) = T_{\text{ins}}(2^\ell) &= T_{\text{ins}}(\mathbf{u}) = 2T_{\text{ins}}(\sqrt{\mathbf{u}}) + 1 \\ &= 2T_{\text{ins}}(2^{\frac{\ell}{2}}) + 1 = 2X(\frac{\ell}{2}) + 1 . \end{aligned}$$

Using Master theorem gives  $X(\ell) = \mathcal{O}(\ell)$ , and hence  $T_{\text{ins}}(\mathbf{u}) = \mathcal{O}(\log u)$ .

The same holds for  $T_{\text{max}}(\mathbf{u})$  and  $T_{\text{min}}(\mathbf{u})$ .

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + \mathbf{1} = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ .

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$X(\ell)$$

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$X(\ell) = T_{\text{del}}(2^\ell)$$

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$X(\ell) = T_{\text{del}}(2^\ell) = T_{\text{del}}(u)$$

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$X(\ell) = T_{\text{del}}(2^\ell) = T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log u)$$



## Implementation 3: Recursion

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Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$\begin{aligned} X(\ell) &= T_{\text{del}}(2^\ell) = T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log \mathbf{u}) \\ &= 2T_{\text{del}}(2^{\frac{\ell}{2}}) + \Theta(\ell) \end{aligned}$$

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

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## Implementation 3: Recursion

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Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

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Using Master theorem gives  $X(\ell) = \Theta(\ell \log \ell)$ , and hence  $T_{\text{del}}(u) = \mathcal{O}(\log u \log \log u)$ .

## Implementation 3: Recursion

$$T_{\text{del}}(\mathbf{u}) = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + T_{\text{min}}(\sqrt{\mathbf{u}}) + 1 = 2T_{\text{del}}(\sqrt{\mathbf{u}}) + \Theta(\log(\mathbf{u})).$$

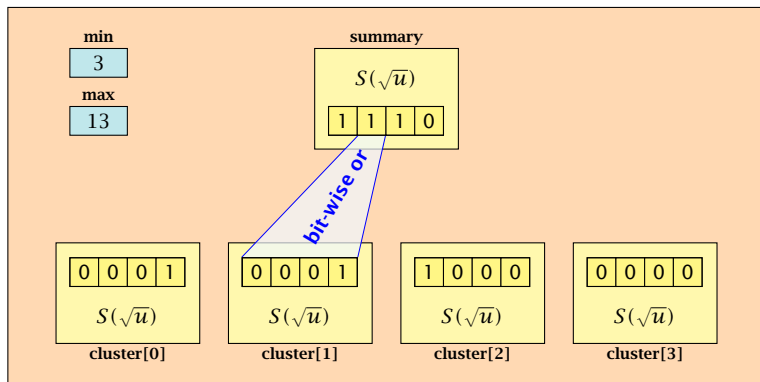
Set  $\ell := \log u$  and  $X(\ell) := T_{\text{del}}(2^\ell)$ . Then

$$\begin{aligned} X(\ell) &= T_{\text{del}}(2^\ell) = T_{\text{del}}(u) = 2T_{\text{del}}(\sqrt{u}) + \Theta(\log u) \\ &= 2T_{\text{del}}(2^{\frac{\ell}{2}}) + \Theta(\ell) = 2X(\frac{\ell}{2}) + \Theta(\ell) . \end{aligned}$$

Using Master theorem gives  $X(\ell) = \Theta(\ell \log \ell)$ , and hence  $T_{\text{del}}(u) = \mathcal{O}(\log u \log \log u)$ .

The same holds for  $T_{\text{pred}}(u)$  and  $T_{\text{succ}}(u)$ .

## Implementation 4: van Emde Boas Trees



- ▶ The bit referenced by **min** is **not** set within sub-datastructures.
- ▶ The bit referenced by **max** **is** set within sub-datastructures (if  $\text{max} \neq \text{min}$ ).

# Implementation 4: van Emde Boas Trees

## Advantages of having max/min pointers:

- ▶ Recursive calls for min and max are constant time.
- ▶  $\text{min} = \text{null}$  means that the data-structure is empty.
- ▶  $\text{min} = \text{max} \neq \text{null}$  means that the data-structure contains exactly one element.
- ▶ We can insert into an empty datastructure in constant time by only setting  $\text{min} = \text{max} = x$ .
- ▶ We can delete from a data-structure that just contains one element in constant time by setting  $\text{min} = \text{max} = \text{null}$ .

# Implementation 4: van Emde Boas Trees

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## Implementation 4: van Emde Boas Trees

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## Implementation 4: van Emde Boas Trees

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## Implementation 4: van Emde Boas Trees

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## Implementation 4: van Emde Boas Trees

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- ▶ We can delete from a data-structure that just contains one element in constant time by setting  $\text{min} = \text{max} = \text{null}$ .

## Implementation 4: van Emde Boas Trees

**Algorithm 38** `max()`

```
1: return max;
```

**Algorithm 39** `min()`

```
1: return min;
```

- ▶ Constant time.

## Implementation 4: van Emde Boas Trees

**Algorithm 40** member( $x$ )

1: **if**  $x = \min$  **then return** 1; // TRUE

2: **return** cluster[high( $x$ )].member(low( $x$ ));

- ▶  $T_{\text{mem}}(u) = T_{\text{mem}}(\sqrt{u}) + 1 \implies T(u) = \mathcal{O}(\log \log u)$ .

## Implementation 4: van Emde Boas Trees

### Algorithm 41 $\text{succ}(x)$

```
1: if  $\text{min} \neq \text{null} \wedge x < \text{min}$  then return  $\text{min}$ ;  
2:  $\text{maxincluster} \leftarrow \text{cluster}[\text{high}(x)].\text{max}()$ ;  
3: if  $\text{maxincluster} \neq \text{null} \wedge \text{low}(x) < \text{maxincluster}$  then  
4:    $\text{offs} \leftarrow \text{cluster}[\text{high}(x)].\text{succ}(\text{low}(x))$ ;  
5:   return  $\text{high}(x) \circ \text{offs}$ ;  
6: else  
7:    $\text{succcluster} \leftarrow \text{summary}.\text{succ}(\text{high}(x))$ ;  
8:   if  $\text{succcluster} = \text{null}$  then return  $\text{null}$ ;  
9:    $\text{offs} \leftarrow \text{cluster}[\text{succcluster}].\text{min}()$ ;  
10:  return  $\text{succcluster} \circ \text{offs}$ ;
```

►  $T_{\text{succ}}(u) = T_{\text{succ}}(\sqrt{u}) + 1 \implies T_{\text{succ}}(u) = \mathcal{O}(\log \log u)$ .

## Implementation 4: van Emde Boas Trees

### Algorithm 42 insert( $x$ )

```
1: if min = null then
2:     min =  $x$ ; max =  $x$ ;
3: else
4:     if  $x < \text{min}$  then exchange  $x$  and min;
5:     if cluster[high( $x$ )].min = null; then
6:         summary.insert(high( $x$ ));
7:         cluster[high( $x$ )].insert(low( $x$ ));
8:     else
9:         cluster[high( $x$ )].insert(low( $x$ ));
10:    if  $x > \text{max}$  then max =  $x$ ;
```

- ▶  $T_{\text{ins}}(u) = T_{\text{ins}}(\sqrt{u}) + 1 \implies T_{\text{ins}}(u) = \mathcal{O}(\log \log u)$ .

## Implementation 4: van Emde Boas Trees

Note that the recursive call in Line 7 takes constant time as the if-condition in Line 5 ensures that we are inserting in an empty sub-tree.

The only non-constant recursive calls are the call in Line 6 and in Line 9. These are mutually exclusive, i.e., only one of these calls will actually occur.

From this we get that  $T_{\text{ins}}(u) = T_{\text{ins}}(\sqrt{u}) + 1$ .



## Implementation 4: van Emde Boas Trees

- ▶ Assumes that  $x$  is contained in the structure.

### Algorithm 43 delete( $x$ )

```
1: if min = max then
2:     min = null; max = null;
3: else
4:     if  $x$  = min then
5:         firstcluster  $\leftarrow$  summary.min();
6:         offs  $\leftarrow$  cluster[firstcluster].min();
7:          $x$   $\leftarrow$  firstcluster  $\circ$  offs;
8:         min  $\leftarrow$   $x$ ;
9:         cluster[high( $x$ )].delete(low( $x$ ));
```

continued...

## Implementation 4: van Emde Boas Trees

- ▶ Assumes that  $x$  is contained in the structure.

### Algorithm 43 delete( $x$ )

```
1: if min = max then
2:     min = null; max = null;
3: else
4:     if  $x = \text{min}$  then find new minimum
5:          $\text{firstcluster} \leftarrow \text{summary.min}()$ ;
6:          $\text{offs} \leftarrow \text{cluster}[\text{firstcluster}].\text{min}()$ ;
7:          $x \leftarrow \text{firstcluster} \circ \text{offs}$ ;
8:         min  $\leftarrow x$ ;
9:         cluster[high( $x$ )].delete(low( $x$ ));
continued...
```

## Implementation 4: van Emde Boas Trees

- ▶ Assumes that  $x$  is contained in the structure.

### Algorithm 43 delete( $x$ )

```
1: if min = max then
2:     min = null; max = null;
3: else
4:     if  $x$  = min then
5:         firstcluster  $\leftarrow$  summary.min();
6:         offs  $\leftarrow$  cluster[firstcluster].min();
7:          $x \leftarrow$  firstcluster  $\circ$  offs;
8:         min  $\leftarrow$   $x$ ;
9:     cluster[high( $x$ )].delete(low( $x$ )); delete
```

continued...

## Implementation 4: van Emde Boas Trees

### Algorithm 43 delete( $x$ )

...continued

```
10:   if cluster[high( $x$ )].min() = null then
11:       summary.delete(high( $x$ ));
12:   if  $x$  = max then
13:       summax  $\leftarrow$  summary.max();
14:       if summax = null then max  $\leftarrow$  min;
15:       else
16:           offs  $\leftarrow$  cluster[summax].max();
17:           max  $\leftarrow$  summax  $\circ$  offs
18:   else
19:       if  $x$  = max then
20:           offs  $\leftarrow$  cluster[high( $x$ )].max();
21:           max  $\leftarrow$  high( $x$ )  $\circ$  offs;
```

## Implementation 4: van Emde Boas Trees

### Algorithm 43 delete( $x$ )

...continued

```
10:   if cluster[high( $x$ )].min() = null then
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12:   if  $x$  = max then
13:       summax  $\leftarrow$  summary.max();
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16:           offs  $\leftarrow$  cluster[summax].max();
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18:   else
19:       if  $x$  = max then
20:           offs  $\leftarrow$  cluster[high( $x$ )].max();
21:           max  $\leftarrow$  high( $x$ )  $\circ$  offs;
```

## Implementation 4: van Emde Boas Trees

### Algorithm 43 delete( $x$ )

...continued

```
10:   if cluster[high( $x$ )].min() = null then
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18:   else
19:       if  $x$  = max then
20:           offs  $\leftarrow$  cluster[high( $x$ )].max();
21:           max  $\leftarrow$  high( $x$ )  $\circ$  offs;
```

## Implementation 4: van Emde Boas Trees

### Algorithm 43 delete( $x$ )

...continued

fix maximum

```
10:   if cluster[high( $x$ )].min() = null then
11:       summary.delete(high( $x$ ));
12:   if  $x$  = max then
13:       summax  $\leftarrow$  summary.max();
14:       if summax = null then max  $\leftarrow$  min;
15:       else
16:           offs  $\leftarrow$  cluster[summax].max();
17:           max  $\leftarrow$  summax  $\circ$  offs
18:   else
19:       if  $x$  = max then
20:           offs  $\leftarrow$  cluster[high( $x$ )].max();
21:           max  $\leftarrow$  high( $x$ )  $\circ$  offs;
```

## Implementation 4: van Emde Boas Trees

Note that only one of the possible recursive calls in Line 9 and Line 11 in the deletion-algorithm may take non-constant time.

To see this observe that the call in Line 11 only occurs if the cluster where  $x$  was deleted is now empty. But this means that the call in Line 9 deleted the last element in  $\text{cluster}[\text{high}(x)]$ . Such a call only takes constant time.

Hence, we get a recurrence of the form

$$T_{\text{del}}(u) = T_{\text{del}}(\sqrt{u}) + c .$$

This gives  $T_{\text{del}}(u) = \mathcal{O}(\log \log u)$ .



# 9 van Emde Boas Trees

## Space requirements:

- ▶ The space requirement fulfills the recurrence

$$S(u) = (\sqrt{u} + 1)S(\sqrt{u}) + \mathcal{O}(\sqrt{u}) .$$

- ▶ Note that we cannot solve this recurrence by the Master theorem as the branching factor is not constant.
- ▶ One can show by induction that the space requirement is  $S(u) = \mathcal{O}(u)$ . Exercise.