

# 18 Bipartite Matching via Flows

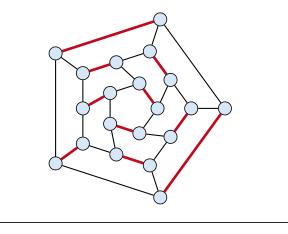
#### Which flow algorithm to use?

- Generic augmenting path:  $\mathcal{O}(m \operatorname{val}(f^*)) = \mathcal{O}(mn)$ .
- Capacity scaling:  $\mathcal{O}(m^2 \log C) = \mathcal{O}(m^2)$ .
- Shortest augmenting path:  $\mathcal{O}(mn^2)$ .

For unit capacity simple graphs shortest augmenting path can be implemented in time  $\mathcal{O}(m\sqrt{n})$ .

## Matching

- Input: undirected graph G = (V, E).
- $M \subseteq E$  is a matching if each node appears in at most one edge in M.
- Maximum Matching: find a matching of maximum cardinality



# **19 Augmenting Paths for Matchings**

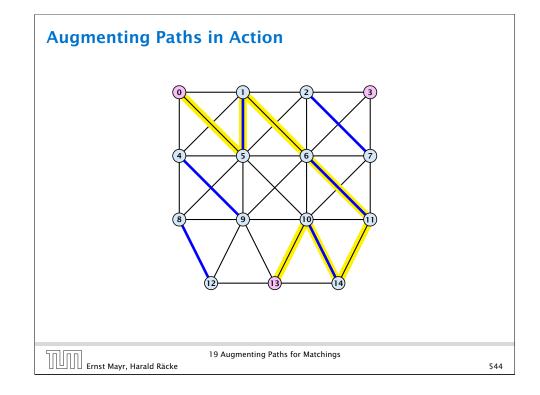
#### Definitions.

- Given a matching *M* in a graph *G*, a vertex that is not incident to any edge of *M* is called a free vertex w.r..t. *M*.
- ▶ For a matching *M* a path *P* in *G* is called an alternating path if edges in *M* alternate with edges not in *M*.
- An alternating path is called an augmenting path for matching *M* if it ends at distinct free vertices.

#### Theorem 1

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A matching M is a maximum matching if and only if there is no augmenting path w. r. t. M.



# **19 Augmenting Paths for Matchings**

#### Proof.

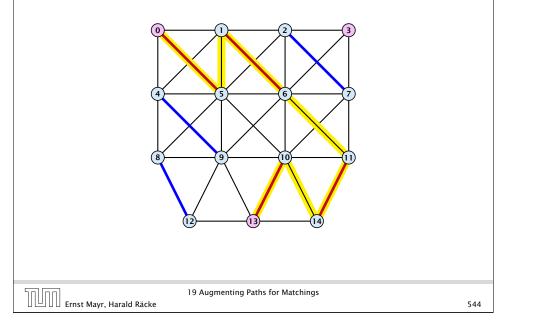
- ⇒ If *M* is maximum there is no augmenting path *P*, because we could switch matching and non-matching edges along *P*. This gives matching  $M' = M \oplus P$  with larger cardinality.
- $\Leftarrow Suppose there is a matching M' with larger cardinality. Consider the graph H with edge-set M' \oplus M (i.e., only edges that are in either M or M' but not in both).$

Each vertex can be incident to at most two edges (one from M and one from M'). Hence, the connected components are alternating cycles or alternating path.

As |M'| > |M| there is one connected component that is a path P for which both endpoints are incident to edges from M'. P is an alternating path.

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# **Augmenting Paths in Action**



# **19 Augmenting Paths for Matchings**

#### Algorithmic idea:

As long as you find an augmenting path augment your matching using this path. When you arrive at a matching for which no augmenting path exists you have a maximum matching.

#### Theorem 2

Let G be a graph, M a matching in G, and let u be a free vertex w.r.t. M. Further let P denote an augmenting path w.r.t. M and let  $M' = M \oplus P$  denote the matching resulting from augmenting M with P. If there was no augmenting path starting at u in M then there is no augmenting path starting at u in M'.

The above theorem allows for an easier implementation of an augmenting path algorithm. Once we checked for augmenting paths starting from u we don't have to check for such paths in future rounds.

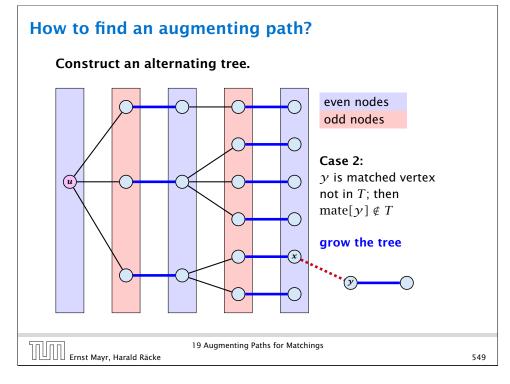
# **19 Augmenting Paths for Matchings**

#### Proof

- Assume there is an augmenting path P' w.r.t. M' starting at u.
- If P' and P are node-disjoint, P' is also augmenting path w.r.t. M (£).
- Let u' be the first node on P' that is in P, and let e be the matching edge from M' incident to u'.
- u' splits P into two parts one of which does not contain e. Call this part P<sub>1</sub>. Denote the sub-path of P' from u to u' with P'<sub>1</sub>.
- $P_1 \circ P'_1$  is augmenting path in M (4).

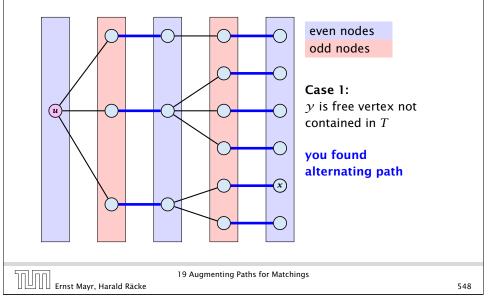
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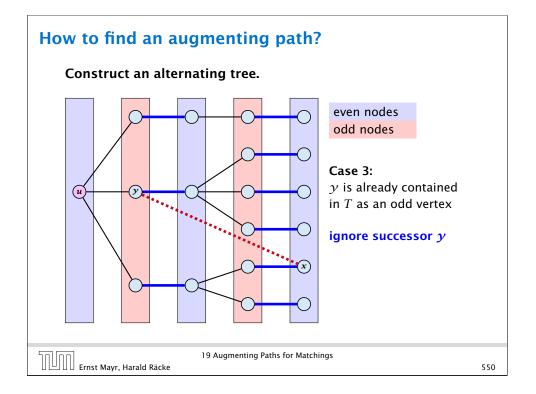
P'

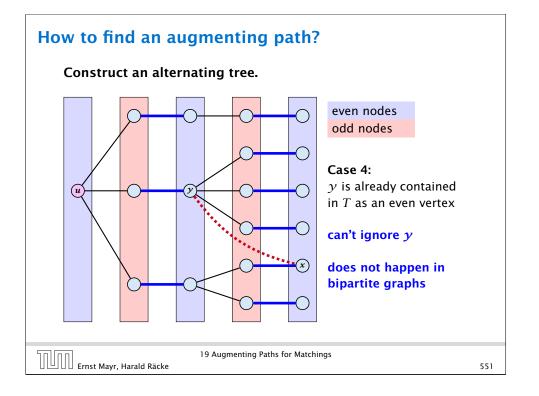


# How to find an augmenting path?

Construct an alternating tree.







# **20 Weighted Bipartite Matching**

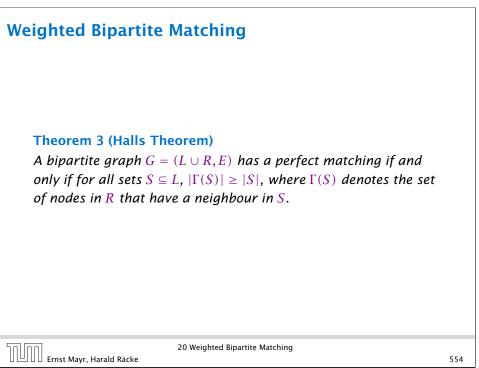
#### Weighted Bipartite Matching/Assignment

- ▶ Input: undirected, bipartite graph  $G = L \cup R, E$ .
- an edge  $e = (\ell, r)$  has weight  $w_e \ge 0$
- find a matching of maximum weight, where the weight of a matching is the sum of the weights of its edges

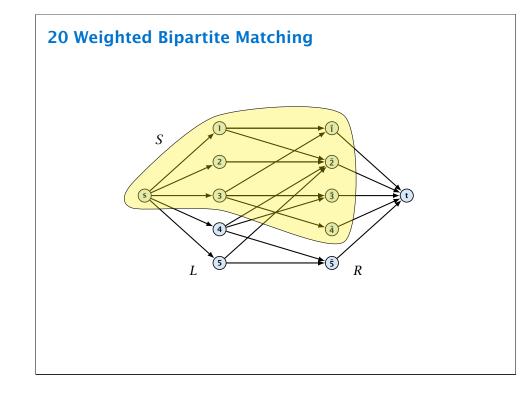
#### Simplifying Assumptions (wlog [why?]):

- assume that |L| = |R| = n
- assume that there is an edge between every pair of nodes  $(\ell, \gamma) \in V \times V$
- can assume goal is to construct maximum weight perfect matching

	orithm 25 BiMatch(G, match)	
	for $x \in V$ do mate $[x] \leftarrow 0$ ;	
2: 1	$r \leftarrow 0$ ; free $\leftarrow n$ ;	
3: <b>\</b>	while $free \ge 1$ and $r < n$ do	graph $G = (S \cup S', E)$
4:	$\gamma \leftarrow \gamma + 1$	
5:	if $mate[r] = 0$ then	$S = \{1, \dots, n\}$
6:	for $i = 1$ to $n$ do $parent[i'] \leftarrow 0$	$S' = \{1',, n'\}$
7:	$Q \leftarrow \emptyset$ ; $Q$ . append $(r)$ ; $aug \leftarrow$ false;	
8:	while $aug = false$ and $Q \neq \emptyset$ do	
9:	$x \leftarrow Q$ . dequeue();	
10:	for $y \in A_x$ do	
11:	if $mate[y] = 0$ then	
12:	augm( <i>mate</i> , <i>parent</i> , <i>y</i> );	
13:	<i>aug</i> ← true;	
14:	free $\leftarrow$ free $-1$ ;	
15:	else	
16:	if parent[ $y$ ] = 0 then	
17:	$parent[y] \leftarrow x;$	
18:	Q.enqueue( <i>mate</i> [ $y$ ]);	The lecture version of the slides contains a step-by-step explana-
		tion of the algorithm.



20 Weighted Bipartite Matching



# **Halls Theorem**

#### Proof:

- Gf course, the condition is necessary as otherwise not all nodes in *S* could be matched to different neighbours.
- $\Rightarrow$  For the other direction we need to argue that the minimum cut in the graph G' is at least |L|.
  - Let S denote a minimum cut and let  $L_S \cong L \cap S$  and  $R_S \cong R \cap S$  denote the portion of S inside L and R, respectively.
  - Clearly, all neighbours of nodes in  $L_S$  have to be in S, as otherwise we would cut an edge of infinite capacity.
  - This gives  $R_S \ge |\Gamma(L_S)|$ .
  - The size of the cut is  $|L| |L_S| + |R_S|$ .
  - Using the fact that  $|\Gamma(L_S)| \ge L_S$  gives that this is at least |L|.

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# **Algorithm Outline**

#### Idea:

We introduce a node weighting  $\vec{x}$ . Let for a node  $v \in V$ ,  $x_v \in \mathbb{R}$ denote the weight of node v.

Suppose that the node weights dominate the edge-weights in the following sense:

- Let  $H(\vec{x})$  denote the subgraph of *G* that only contains edges that are tight w.r.t. the node weighting  $\vec{x}$ , i.e. edges e = (u, v) for which  $w_e = x_u + x_v$ .
- Try to compute a perfect matching in the subgraph  $H(\vec{x})$ . If you are successful you found an optimal matching.

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# **Algorithm Outline**

#### Reason:

• The weight of your matching  $M^*$  is

$$\sum_{(u,v)\in M^*} w_{(u,v)} = \sum_{(u,v)\in M^*} (x_u + x_v) = \sum_v x_v \ .$$

• Any other perfect matching *M* (in *G*, not necessarily in  $H(\vec{x})$ ) has

$$\sum_{(u,v)\in M} w_{(u,v)} \leq \sum_{(u,v)\in M} (x_u + x_v) = \sum_v x_v \ .$$

 $x_u + x_v \ge w_e$  for every edge e = (u, v).

# **Algorithm Outline**

#### What if you don't find a perfect matching?

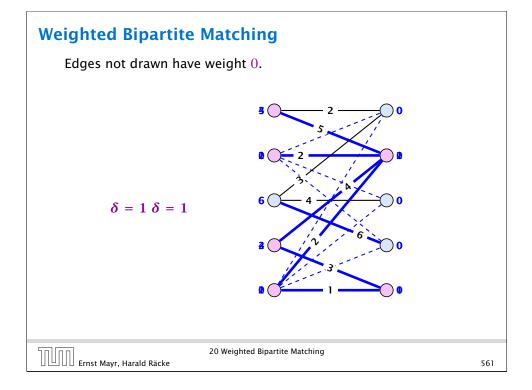
Then, Halls theorem guarantees you that there is a set  $S \subseteq L$ , with  $|\Gamma(S)| < |S|$ , where  $\Gamma$  denotes the neighbourhood w.r.t. the subgraph  $H(\vec{x})$ .

#### Idea: reweight such that:

- the total weight assigned to nodes decreases
- the weight function still dominates the edge-weights

If we can do this we have an algorithm that terminates with an optimal solution (we analyze the running time later).

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# **Changing Node Weights**

Increase node-weights in  $\Gamma(S)$  by  $+\delta$ , and decrease the node-weights in S by  $-\delta$ .

- Total node-weight decreases.
- ► Only edges from S to R − Γ(S) decrease in their weight.
- Since, none of these edges is tight (otw. the edge would be contained in H(x
  ), and hence would go between S and Γ(S)) we can do this decrement for small enough δ > 0 until a new edge gets tight.



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 $S = \delta$ 

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 $+\delta \Gamma(S)$ 

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# Analysis

#### How many iterations do we need?

- One reweighting step increases the number of edges out of S by at least one.
- Assume that we have a maximum matching that saturates the set  $\Gamma(S)$ , in the sense that every node in  $\Gamma(S)$  is matched to a node in *S* (we will show that we can always find *S* and a matching such that this holds).
- ► This matching is still contained in the new graph, because all its edges either go between  $\Gamma(S)$  and S or between L S and  $R \Gamma(S)$ .
- Hence, reweighting does not decrease the size of a maximum matching in the tight sub-graph.

# Analysis

- We will show that after at most n reweighting steps the size of the maximum matching can be increased by finding an augmenting path.
- This gives a polynomial running time.

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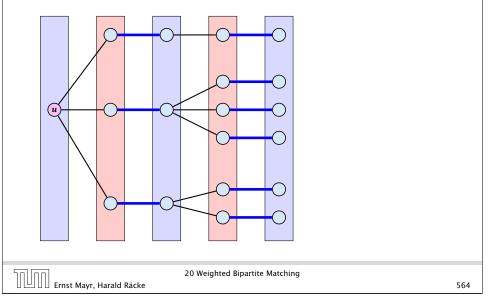
# Analysis

#### How do we find S?

- Start on the left and compute an alternating tree, starting at any free node u.
- If this construction stops, there is no perfect matching in the tight subgraph (because for a perfect matching we need to find an augmenting path starting at *u*).
- The set of even vertices is on the left and the set of odd vertices is on the right and contains all neighbours of even nodes.
- All odd vertices are matched to even vertices. Furthermore, the even vertices additionally contain the free vertex *u*.
   Hence, |V<sub>odd</sub>| = |Γ(V<sub>even</sub>)| < |V<sub>even</sub>|, and all odd vertices are saturated in the current matching.

# How to find an augmenting path?

Construct an alternating tree.



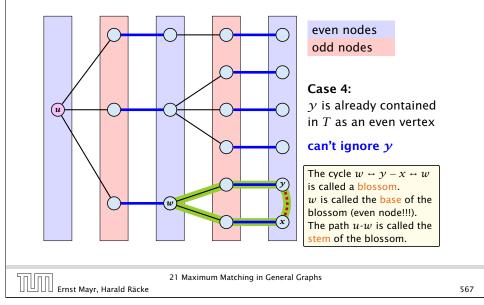
# Analysis

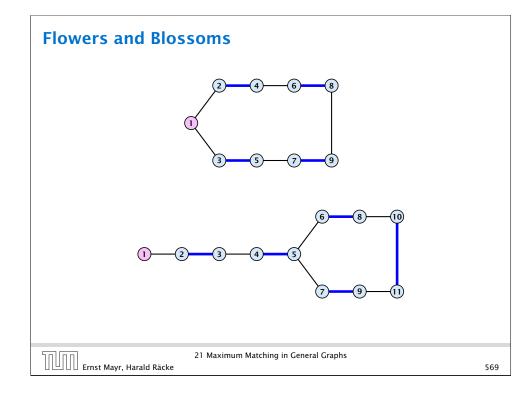
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- ► The current matching does not have any edges from V<sub>odd</sub> to L \ V<sub>even</sub> (edges that may possibly be deleted by changing weights).
- After changing weights, there is at least one more edge connecting V<sub>even</sub> to a node outside of V<sub>odd</sub>. After at most n reweights we can do an augmentation.
- ► A reweighting can be trivially performed in time O(n<sup>2</sup>) (keeping track of the tight edges).
- An augmentation takes at most  $\mathcal{O}(n)$  time.
- In total we obtain a running time of  $\mathcal{O}(n^4)$ .
- A more careful implementation of the algorithm obtains a running time of  $\mathcal{O}(n^3)$ .

# How to find an augmenting path?

Construct an alternating tree.





# Flowers and Blossoms

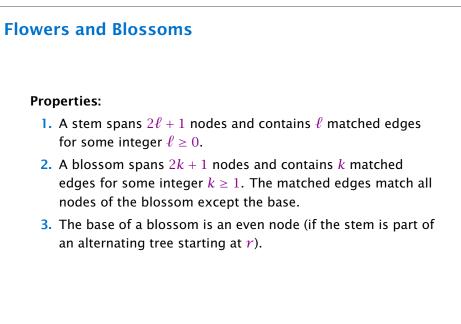
#### **Definition 4**

A flower in a graph G = (V, E) w.r.t. a matching M and a (free) root node r, is a subgraph with two components:

- A stem is an even length alternating path that starts at the root node r and terminates at some node w. We permit the possibility that r = w (empty stem).
- A blossom is an odd length alternating cycle that starts and terminates at the terminal node w of a stem and has no other node in common with the stem. w is called the base of the blossom.

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21 Maximum Matching in General Graphs



## **Flowers and Blossoms**

#### **Properties:**

- 4. Every node x in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.
- 5. The even alternating path to x terminates with a matched edge and the odd path with an unmatched edge.

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# **Shrinking Blossoms**

When during the alternating tree construction we discover a blossom *B* we replace the graph *G* by G' = G/B, which is obtained from *G* by contracting the blossom *B*.

- ▶ Delete all vertices in *B* (and its incident edges) from *G*.
- Add a new (pseudo-)vertex b. The new vertex b is connected to all vertices in V \ B that had at least one edge to a vertex from B.

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# Shrinking Blossoms

- Edges of T that connect a node u not in B to a node in B become tree edges in T' connecting u to b.
- Matching edges (there is at most one) that connect a node u not in B to a node in B become matching edges in M'.
- Nodes that are connected in G to at least one node in B become connected to b in G'.

# **Shrinking Blossoms**

- Edges of T that connect a node u not in B to a node in B become tree edges in T' connecting u to b.
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## Correctness

Assume that in *G* we have a flower w.r.t. matching *M*. Let r be the root, *B* the blossom, and *w* the base. Let graph G' = G/B with pseudonode *b*. Let *M'* be the matching in the contracted graph.

#### Lemma 5

If G' contains an augmenting path P' starting at r (or the pseudo-node containing r) w.r.t. the matching M' then G contains an augmenting path starting at r w.r.t. matching M.

Example: Bl	ossom Algorithm	
	Animation of Blossom Shrinking algorithm is only available in the lecture version of the slides.	
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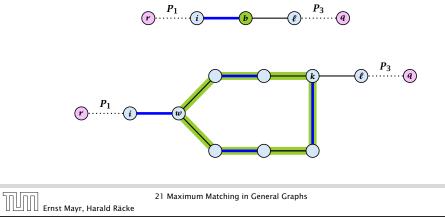
#### Correctness

#### Proof.

If P' does not contain b it is also an augmenting path in G.

#### Case 1: non-empty stem

Next suppose that the stem is non-empty.



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# Correctness

- ► After the expansion *ℓ* must be incident to some node in the blossom. Let this node be *k*.
- If  $k \neq w$  there is an alternating path  $P_2$  from w to k that ends in a matching edge.
- $P_1 \circ (i, w) \circ P_2 \circ (k, \ell) \circ P_3$  is an alternating path.
- ▶ If k = w then  $P_1 \circ (i, w) \circ (w, \ell) \circ P_3$  is an alternating path.

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# Correctness

#### Lemma 6

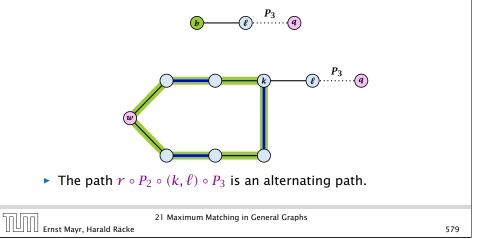
If G contains an augmenting path P from r to q w.r.t. matching M then G' contains an augmenting path from r (or the pseudo-node containing r) to q w.r.t. M'.

# Correctness

#### Proof.

#### Case 2: empty stem

• If the stem is empty then after expanding the blossom, w = r.



# Correctness

#### Proof.

- If P does not contain a node from B there is nothing to prove.
- We can assume that *r* and *q* are the only free nodes in *G*.

#### Case 1: empty stem

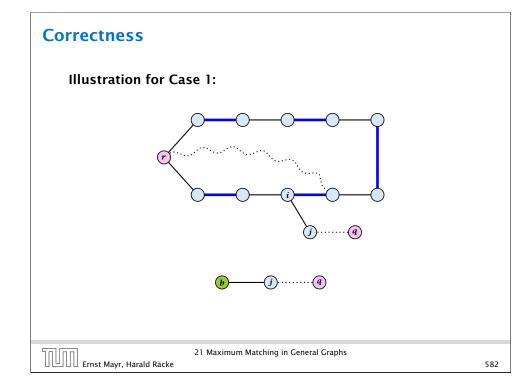
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Let i be the last node on the path P that is part of the blossom.

P is of the form  $P_1 \circ (i,j) \circ P_2$  , for some node j and (i,j) is unmatched.

 $(b, j) \circ P_2$  is an augmenting path in the contracted network.

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# Algorithm 26 search(r, found)

- 1: set  $\bar{A}(i) \leftarrow A(i)$  for all nodes i
- 2: *found*  $\leftarrow$  false
- 3: unlabel all nodes;
- 4: give an even label to r and initialize *list*  $\leftarrow$  {r}
- 5: while  $list \neq \emptyset$  do
- 6: delete a node *i* from *list*
- 7: examine(i, found)
- 8: **if** *found* = true **then return**

# Search for an augmenting path starting at r.

The lecture version of the slides has a step by step explanation.

# Correctness

#### Case 2: non-empty stem

Let  $P_3$  be alternating path from r to w; this exists because r and w are root and base of a blossom. Define  $M_+ = M \oplus P_3$ .

In  $M_+$ , r is matched and w is unmatched.

G must contain an augmenting path w.r.t. matching  $M_+$ , since M and  $M_+$  have same cardinality.

This path must go between w and q as these are the only unmatched vertices w.r.t.  $M_+$ .

For  $M'_+$  the blossom has an empty stem. Case 1 applies.

G' has an augmenting path w.r.t.  $M'_+$ . It must also have an augmenting path w.r.t. M', as both matchings have the same cardinality.

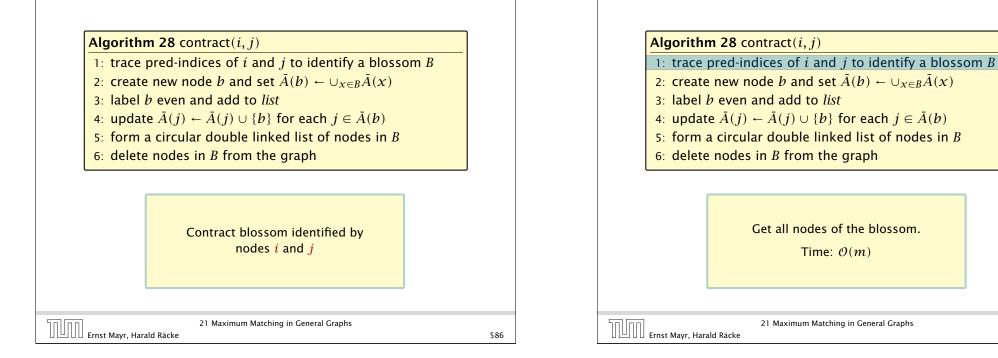
This path must go between r and q.

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	rithm 27 examine( <i>i</i> , <i>found</i> )	
1: <b>f</b>	or all $j\in ar{A}(i)$ do	
2:	if $j$ is even then contract $(i, j)$ and return	
3:	<b>if</b> <i>j</i> is unmatched <b>then</b>	
4:	$q \leftarrow j;$	
5:	$\operatorname{pred}(q) \leftarrow i;$	
6:	<i>found</i> ← true;	
7:	return	
8:	<b>if</b> <i>j</i> is matched and unlabeled <b>then</b>	
9:	$\operatorname{pred}(j) \leftarrow i;$	
10:	$pred(mate(j)) \leftarrow j;$	
11:	add mate(j) to <i>list</i>	

Examine the neighbours of a node i



2: create new node $b$ and set $\overline{A}(b) \leftarrow \bigcup_{x \in B} \overline{A}(x)$ 3: label $b$ even and add to <i>list</i> 4: update $\overline{A}(j) \leftarrow \overline{A}(j) \cup \{b\}$ for each $j \in \overline{A}(b)$ 5: form a circular double linked list of nodes in $B$ 6: delete nodes in $B$ from the graph	
Get all nodes of the blossom. Time: $\mathcal{O}(m)$	
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Algorithm 28 contract $(i, j)$	-
1: trace pred-indices of <i>i</i> and <i>j</i> to identify a blossom <i>B</i>	
2: create new node <i>b</i> and set $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$	
3: label b even and add to list 4: undata $\overline{A}(i) = \overline{A}(i) + \{b\}$ for each $i \in \overline{A}(b)$	
4: update $\overline{A}(j) \leftarrow \overline{A}(j) \cup \{b\}$ for each $j \in \overline{A}(b)$ 5: form a circular double linked list of nodes in <i>B</i>	

6: delete nodes in *B* from the graph

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*b* will be an even node, and it has unexamined neighbours.

# Algorithm 28 contract(*i*, *j*)

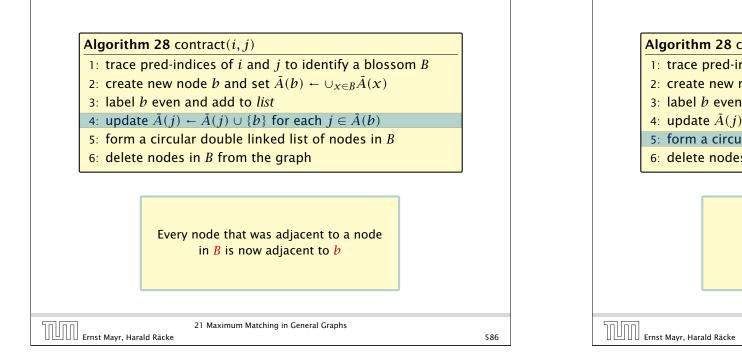
- 1: trace pred-indices of i and j to identify a blossom B
- 2: create new node *b* and set  $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update  $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$  for each  $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph

# Identify all neighbours of $\boldsymbol{b}$ .

Time:  $\mathcal{O}(m)$  (how?)

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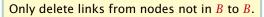
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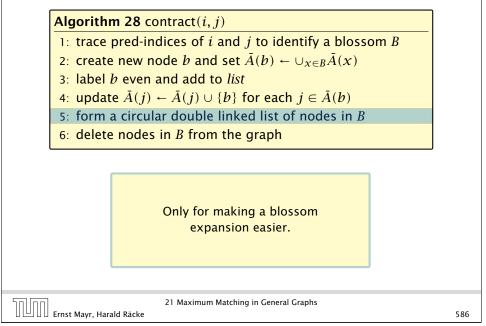
Algorithm 28 contract(*i*, *j*)

1: trace pred-indices of i and j to identify a blossom B

- 2: create new node b and set  $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update  $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$  for each  $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph



When expanding the blossom again we can recreate these links in time  $\mathcal{O}(m)$ .



# Analysis

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- ► A contraction operation can be performed in time O(m). Note, that any graph created will have at most m edges.
- ► The time between two contraction-operation is basically a BFS/DFS on a graph. Hence takes time O(m).
- There are at most n contractions as each contraction reduces the number of vertices.
- The expansion can trivially be done in the same time as needed for all contractions.
- An augmentation requires time  $\mathcal{O}(n)$ . There are at most n of them.
- In total the running time is at most

```
n \cdot (\mathcal{O}(mn) + \mathcal{O}(n)) = \mathcal{O}(mn^2).
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Example	e: Blossom Algorithm	
	Animation of Blossom Shrinking algorithm is only available in the lecture version of the slides.	
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# Analysis Hopcroft-Karp

#### Lemma 7

Given a matching M and a maximal matching  $M^*$  there exist  $|M^*| - |M|$  vertex-disjoint augmenting path w.r.t. M.

#### Proof:

- Similar to the proof that a matching is optimal iff it does not contain an augmenting path.
- Consider the graph  $G = (V, M \oplus M^*)$ , and mark edges in this graph blue if they are in M and red if they are in  $M^*$ .
- ▶ The connected components of *G* are cycles and paths.
- ► The graph contains  $k \triangleq |M^*| |M|$  more red edges than blue edges.
- Hence, there are at least k components that form a path starting and ending with a red edge. These are augmenting paths w.r.t. M.

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# A Fast Matching Algorithm

# Algorithm 29 Bimatch-Hopcroft-Karp(G)1: $M \leftarrow \emptyset$ 2: repeat3: let $\mathcal{P} = \{P_1, \dots, P_k\}$ be maximal set of4: vertex-disjoint, shortest augmenting path w.r.t. M.5: $M \leftarrow M \oplus (P_1 \cup \dots \cup P_k)$ 6: until $\mathcal{P} = \emptyset$ 7: return M

We call one iteration of the repeat-loop a phase of the algorithm.

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# Analysis Hopcroft-Karp • Let $P_1, ..., P_k$ be a maximal collection of vertex-disjoint, shortest augmenting paths w.r.t. M (let $\ell = |P_i|$ ). • $M' \cong M \oplus (P_1 \cup \cdots \cup P_k) = M \oplus P_1 \oplus \cdots \oplus P_k$ . • Let P be an augmenting path in M'. Lemma 8 The set $A \cong M \oplus (M' \oplus P) = (P_1 \cup \cdots \cup P_k) \oplus P$ contains at least $(k + 1)\ell$ edges. 22 The Hopcroft-Karp Algorithm

# Analysis Hopcroft-Karp

#### Proof.

- The set describes exactly the symmetric difference between matchings M and  $M' \oplus P$ .
- ► Hence, the set contains at least k + 1 vertex-disjoint augmenting paths w.r.t. M as |M'| = |M| + k + 1.
- Each of these paths is of length at least  $\ell$ .

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# Analysis Hopcroft-Karp

If the shortest augmenting path w.r.t. a matching M has  $\ell$  edges then the cardinality of the maximum matching is of size at most  $|M| + \frac{|V|}{\ell+1}$ .

#### Proof.

The symmetric difference between M and  $M^*$  contains  $|M^*| - |M|$  vertex-disjoint augmenting paths. Each of these paths contains at least  $\ell + 1$  vertices. Hence, there can be at most  $\frac{|V|}{\ell+1}$  of them.

# Analysis Hopcroft-Karp

#### Lemma 9

*P* is of length at least  $\ell + 1$ . This shows that the length of a shortest augmenting path increases between two phases of the Hopcroft-Karp algorithm.

#### Proof.

- ► If P does not intersect any of the P<sub>1</sub>,..., P<sub>k</sub>, this follows from the maximality of the set {P<sub>1</sub>,..., P<sub>k</sub>}.
- ► Otherwise, at least one edge from *P* coincides with an edge from paths {*P*<sub>1</sub>,...,*P<sub>k</sub>*}.
- This edge is not contained in A.
- Hence,  $|A| \le k\ell + |P| 1$ .
- ► The lower bound on |A| gives  $(k+1)\ell \le |A| \le k\ell + |P| 1$ , and hence  $|P| \ge \ell + 1$ .

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# Analysis Hopcroft-Karp

#### Lemma 10

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The Hopcroft-Karp algorithm requires at most  $2\sqrt{|V|}$  phases.

#### Proof.

- ► After iteration  $\lfloor \sqrt{|V|} \rfloor$  the length of a shortest augmenting path must be at least  $\lfloor \sqrt{|V|} \rfloor + 1 \ge \sqrt{|V|}$ .
- ► Hence, there can be at most  $|V|/(\sqrt{|V|} + 1) \le \sqrt{|V|}$  additional augmentations.

# **Analysis Hopcroft-Karp**

#### Lemma 11

One phase of the Hopcroft-Karp algorithm can be implemented in time  $\mathcal{O}(m)$ .

construct a "level graph" G':

- construct Level 0 that includes all free vertices on left side L
- construct Level 1 containing all neighbors of Level 0
- construct Level 2 containing matching neighbors of Level 1
- construct Level 3 containing all neighbors of Level 2
- ▶ ...

stop when a level (apart from Level 0) contains a free vertex can be done in time  $\mathcal{O}(m)$  by a modified BFS

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# **Analysis Hopcroft-Karp**

- a shortest augmenting path **must** go from Level 0 to the last layer constructed
- it can only use edges between layers
- construct a maximal set of vertex disjoint augmenting path connecting the layers
- for this, go forward until you either reach a free vertex or you reach a "dead end" v
- if you reach a free vertex delete the augmenting path and all incident edges from the graph
- if you reach a dead end backtrack and delete v together with its incident edges

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