A Priority Queue *S* is a dynamic set data structure that supports the following operations:

- S. build(x₁,..., x_n): Creates a data-structure that contains just the elements x₁,..., x_n.
- ▶ *S*. insert(*x*): Adds element *x* to the data-structure.
- element *S*. minimum(): Returns an element $x \in S$ with minimum key-value key[*x*].
- element S. delete-min(): Deletes the element with minimum key-value from S and returns it.
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An addressable Priority Queue also supports:

- handle S. insert(x): Adds element x to the data-structure, and returns a handle to the object for future reference.
- ► *S*. delete(*h*): Deletes element specified through handle *h*.
- S. decrease-key(h, k): Decreases the key of the element specified by handle h to k. Assumes that the key is at least k before the operation.



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Dijkstra's Shortest Path Algorithm

```
Algorithm 14 Shortest-Path(G = (V, E, d), s \in V)
1: Input: weighted graph G = (V, E, d); start vertex s;
2: Output: key-field of every node contains distance from s;
3: S.build(); // build empty priority queue
4: for all v \in V \setminus \{s\} do
5: v \cdot \ker \infty;
6: h_v \leftarrow S.insert(v);
7: s.key \leftarrow 0; S.insert(s);
8: while S.is-empty() = false do
      v \leftarrow S.delete-min():
9:
10: for all x \in V s.t. (v, x) \in E do
11:
               if x.key > v.key + d(v, x) then
                    S.decrease-key(h_x, v. key + d(v, x));
12:
13:
                    x.kev \leftarrow v.kev + d(v, x):
```



Prim's Minimum Spanning Tree Algorithm

```
Algorithm 15 Prim-MST(G = (V, E, d), s \in V)
1: Input: weighted graph G = (V, E, d); start vertex s;
2: Output: pred-fields encode MST;
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Analysis of Dijkstra and Prim

Both algorithms require:

- 1 build() operation
- |V| insert() operations
- ► |V| delete-min() operations
- ▶ |V| is-empty() operations
- |E| decrease-key() operations

How good a running time can we obtain?



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How good a running time can we obtain?



Operation	Binary Heap	BST	Binomial Heap	Fibonacci Heap [*]
build	n	$n\log n$	$n\log n$	п
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	п	$n\log n$	$\log n$	1

Note that most applications use **build()** only to create an empty heap which then costs time 1.

The standard version of binary heaps is not addressable, and hence does not support a delete operation.

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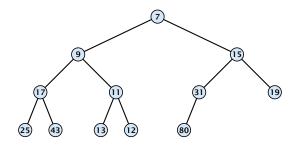
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Using Binary Heaps, Prim and Dijkstra run in time $\mathcal{O}((|V| + |E|) \log |V|).$

Using Fibonacci Heaps, Prim and Dijkstra run in time $\mathcal{O}(|V| \log |V| + |E|)$.



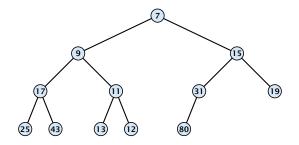




8.1 Binary Heaps

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Nearly complete binary tree; only the last level is not full, and this one is filled from left to right.

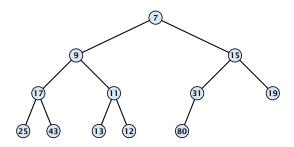




8.1 Binary Heaps

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- Nearly complete binary tree; only the last level is not full, and this one is filled from left to right.
- Heap property: A node's key is not larger than the key of one of its children.





8.1 Binary Heaps

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Binary Heaps

Operations:

- **minimum():** return the root-element. Time O(1).
- is-empty(): check whether root-pointer is null. Time $\mathcal{O}(1)$.



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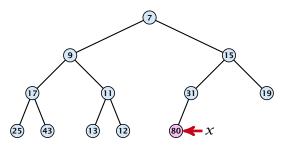
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Maintain a pointer to the last element *x*.

► We can compute the predecessor of x (last element when x is deleted) in time O(log n).

go up until the last edge used was a right edge. go left; go right until you reach a leaf if you hit the root on the way up, go to the rightmost element





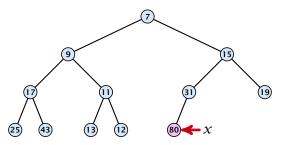
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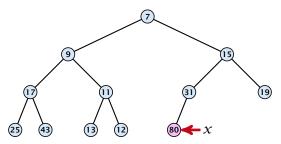
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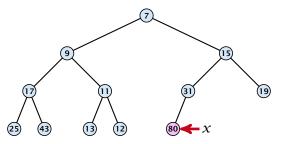
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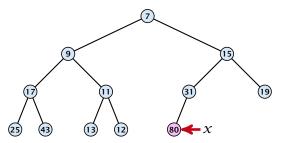
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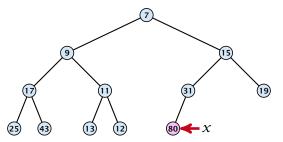
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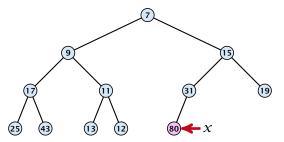
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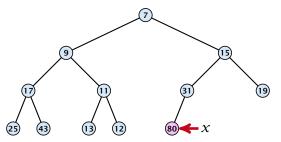
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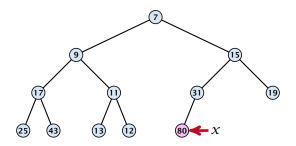
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1. Insert element at successor of *x*.

2. Exchange with parent until heap property is fulfilled.



Note that an exchange can either be done by moving the data or by changing pointers. The latter method leads to an addressable priority queue.

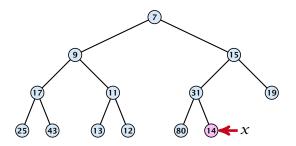


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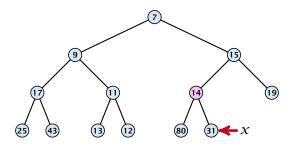
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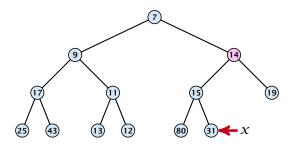
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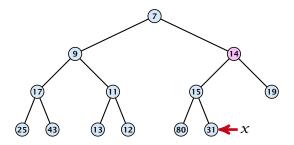
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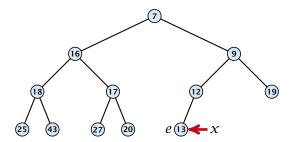
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- Exchange the element to be deleted with the element *e* pointed to by *x*.
- 2. Restore the heap-property for the element *e*.

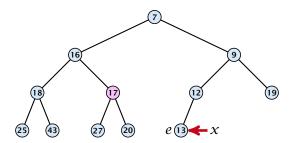


At its new position *e* may either travel up or down in the tree (but not both directions).



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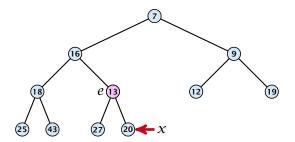
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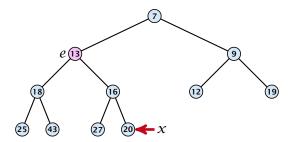
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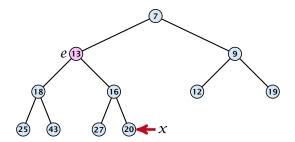
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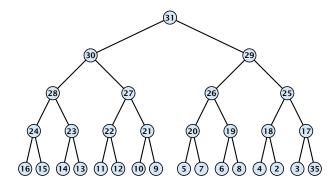
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Operations:

- **minimum()**: return the root-element. Time $\mathcal{O}(1)$.
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- **insert**(k): insert at successor of x and bubble up. Time $O(\log n)$.
- delete(h): swap with x and bubble up or sift-down. Time O(log n).



We can build a heap in linear time:

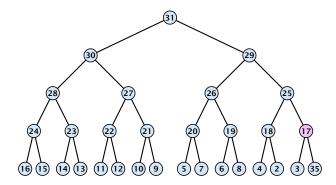


$\sum_{\text{levels } \ell} 2^{\ell} \cdot (h - \ell) = \sum_{i} i 2^{h - i} = \mathcal{O}(2^{h}) = \mathcal{O}(n)$



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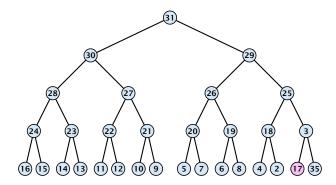


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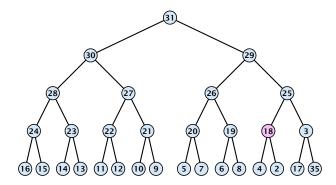
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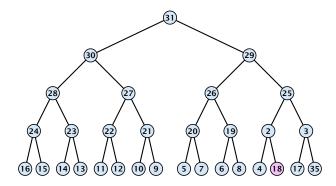


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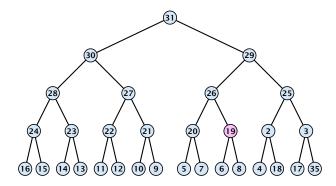
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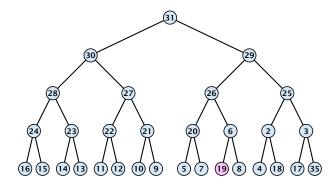
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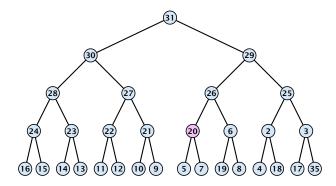


$\sum_{\text{levels } \ell} 2^{\ell} \cdot (h - \ell) = \sum_{i} i 2^{h - i} = \mathcal{O}(2^{h}) = \mathcal{O}(n)$



8.1 Binary Heaps

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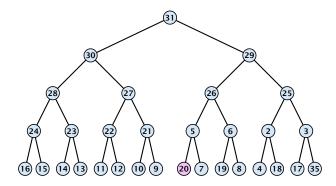


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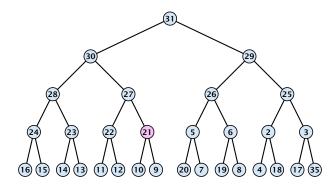


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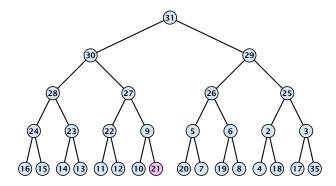


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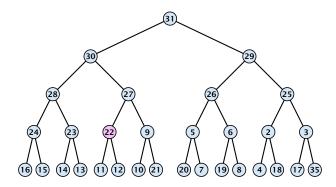


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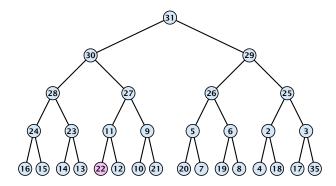


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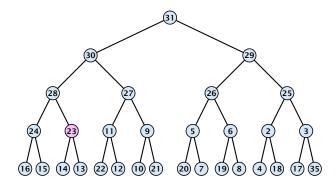


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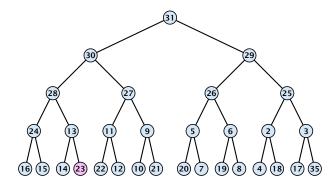


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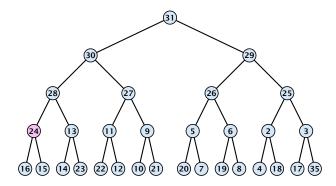


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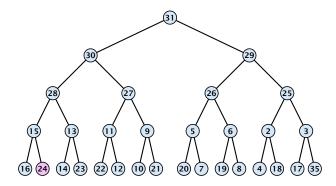


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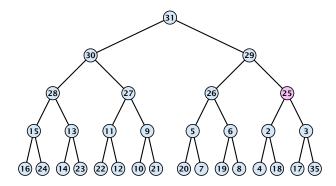


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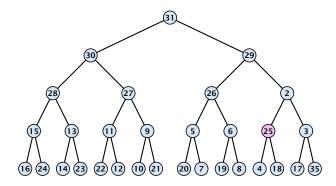


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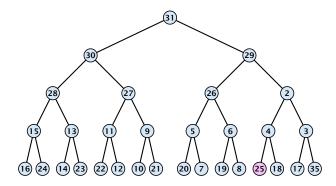


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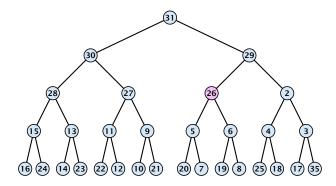


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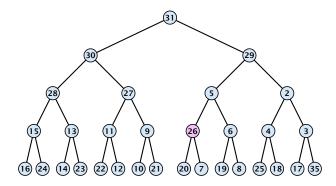


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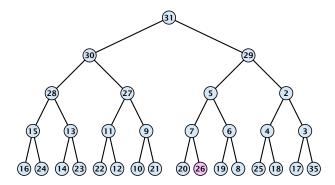


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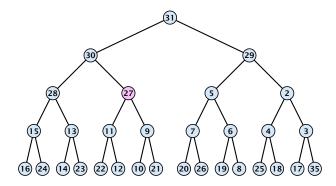


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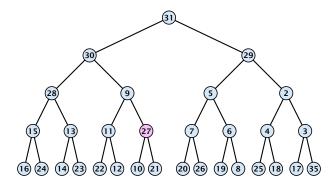
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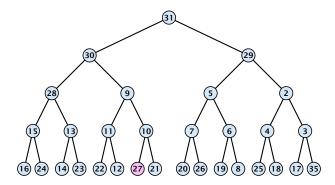


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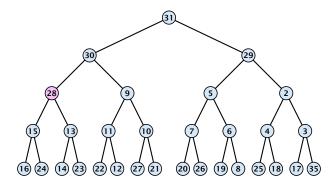


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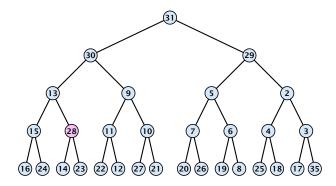


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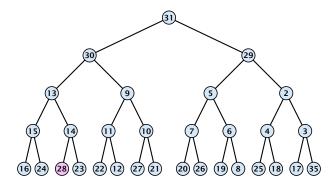
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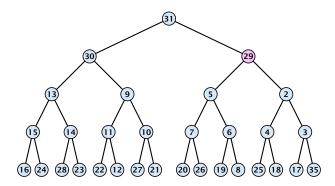


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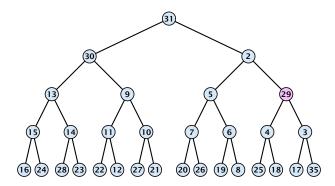


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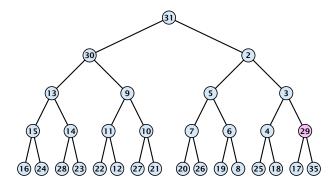


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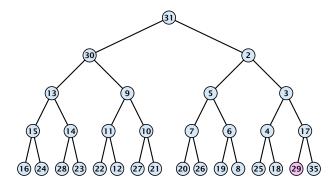
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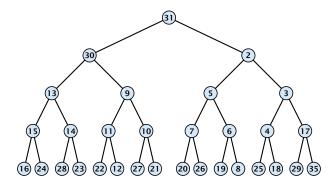


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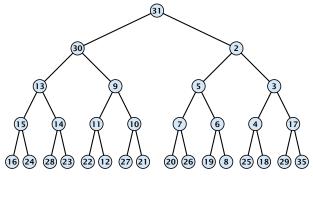
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8.1 Binary Heaps

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Operations:

- **minimum()**: Return the root-element. Time $\mathcal{O}(1)$.
- **is-empty():** Check whether root-pointer is null. Time $\mathcal{O}(1)$.
- **insert**(*k*): Insert at *x* and bubble up. Time $O(\log n)$.
- **delete**(*h*): Swap with x and bubble up or sift-down. Time $O(\log n)$.
- ▶ build(x₁,..., x_n): Insert elements arbitrarily; then do sift-down operations starting with the lowest layer in the tree. Time O(n).



The standard implementation of binary heaps is via arrays. Let A[0, ..., n-1] be an array

- The parent of *i*-th element is at position $\lfloor \frac{i-1}{2} \rfloor$.
- The left child of *i*-th element is at position 2i + 1.
- The right child of *i*-th element is at position 2i + 2.

Finding the successor of x is much easier than in the description on the previous slide. Simply increase or decrease x.



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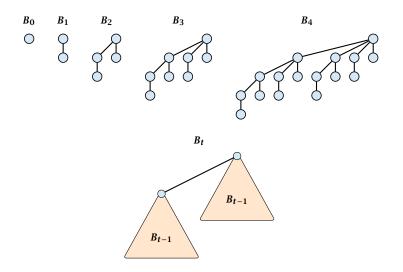
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Operation	Binary Heap	BST	Binomial Heap	Fibonacci Heap*
build	n	$n\log n$	$n \log n$	n
minimum	1	$\log n$	$\log n$	1
is-empty	1	1	1	1
insert	$\log n$	$\log n$	$\log n$	1
delete	$\log n^{**}$	$\log n$	$\log n$	$\log n$
delete-min	$\log n$	$\log n$	$\log n$	$\log n$
decrease-key	$\log n$	$\log n$	$\log n$	1
merge	n	$n\log n$	log n	1



8.2 Binomial Heaps





8.2 Binomial Heaps

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Properties of Binomial Trees

- B_k has 2^k nodes.
- B_k has height k.
- The root of B_k has degree k.
- B_k has $\binom{k}{\ell}$ nodes on level ℓ .
- Deleting the root of B_k gives trees $B_0, B_1, \ldots, B_{k-1}$.



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8.2 Binomial Heaps

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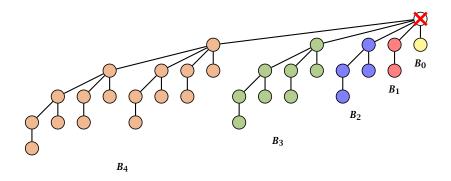
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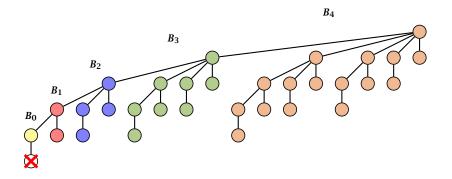


Deleting the root of B_5 leaves sub-trees B_4 , B_3 , B_2 , B_1 , and B_0 .



8.2 Binomial Heaps

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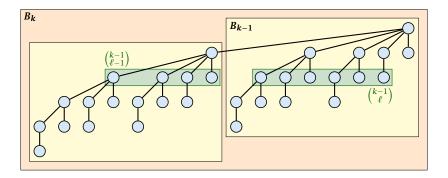


Deleting the leaf furthest from the root (in B_5) leaves a path that connects the roots of sub-trees B_4 , B_3 , B_2 , B_1 , and B_0 .



8.2 Binomial Heaps

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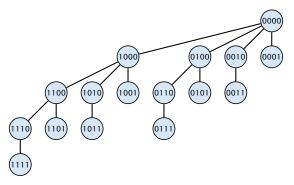
The number of nodes on level ℓ in tree B_k is therefore

$$\binom{k-1}{\ell-1} + \binom{k-1}{\ell} = \binom{k}{\ell}$$



8.2 Binomial Heaps

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The binomial tree B_k is a sub-graph of the hypercube H_k .

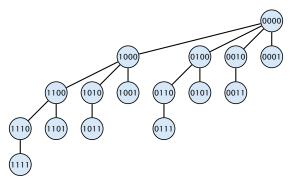
The parent of a node with label b_n, \ldots, b_1, b_0 is obtained by setting the least significant 1-bit to 0.

The ℓ -th level contains nodes that have ℓ 1's in their label.



8.2 Binomial Heaps

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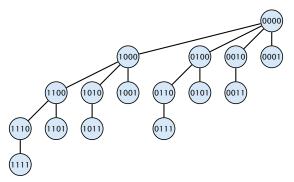
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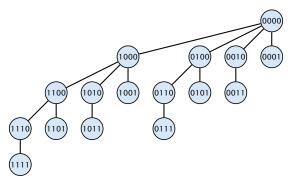
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8.2 Binomial Heaps



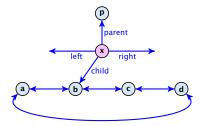
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How do we implement trees with non-constant degree?

- The children of a node are arranged in a circular linked list.
- A child-pointer points to an arbitrary node within the list.
- A parent-pointer points to the parent node.
- Pointers x.left and x.right point to the left and right sibling of x (if x does not have siblings then x.left = x.right = x).



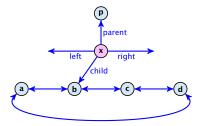


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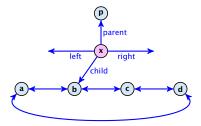


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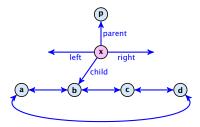


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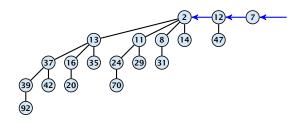


8.2 Binomial Heaps

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- Given a pointer to a node x we can splice out the sub-tree rooted at x in constant time.
- We can add a child-tree T to a node x in constant time if we are given a pointer to x and a pointer to the root of T.





In a binomial heap the keys are arranged in a collection of binomial trees.

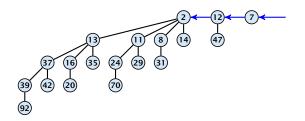
Every tree fulfills the heap-property

There is at most one tree for every dimension/order. For example the above heap contains trees B_0 , B_1 , and B_4 .



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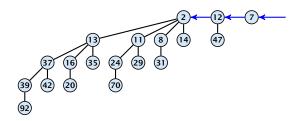
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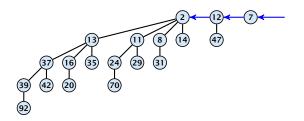
Every tree fulfills the heap-property

There is at most one tree for every dimension/order. For example the above heap contains trees B_0 , B_1 , and B_4 .



8.2 Binomial Heaps

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8.2 Binomial Heaps

Given the number n of keys to be stored in a binomial heap we can deduce the binomial trees that will be contained in the collection.

Let B_{k_1} , B_{k_2} , B_{k_3} , $k_i < k_{i+1}$ denote the binomial trees in the collection and recall that every tree may be contained at most once.

Then $n = \sum_{i} 2^{k_i}$ must hold. But since the k_i are all distinct this means that the k_i define the non-zero bit-positions in the binary representation of n.



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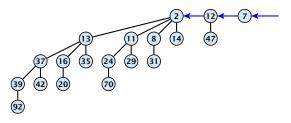
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Properties of a heap with *n* keys:

- Let $n = b_d b_{d-1}, \dots, b_0$ denote binary representation of n.
- The heap contains tree B_i iff $b_i = 1$.
- Hence, at most $\lfloor \log n \rfloor + 1$ trees.
- The minimum must be contained in one of the roots.
- The height of the largest tree is at most [log n].
- The trees are stored in a single-linked list; ordered by dimension/size.



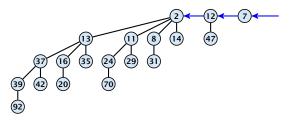


8.2 Binomial Heaps

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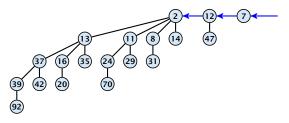


8.2 Binomial Heaps

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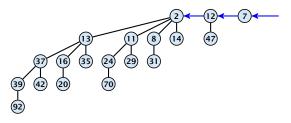


8.2 Binomial Heaps

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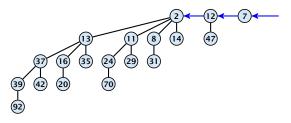


8.2 Binomial Heaps

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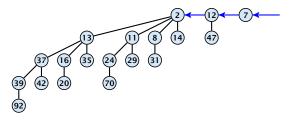


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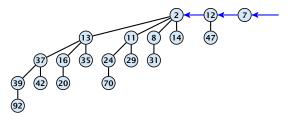


8.2 Binomial Heaps

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8.2 Binomial Heaps

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The merge-operation is instrumental for binomial heaps.

A merge is easy if we have two heaps with different binomial trees. We can simply merge the tree-lists.

Otherwise, we cannot do this because the merged heap is not allowed to contain two trees of the same order.

Merging two trees of the same size: Add the tree with larger root-value as a child to the other tree.

For more trees the technique is analogous to binary addition.





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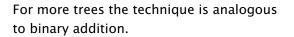


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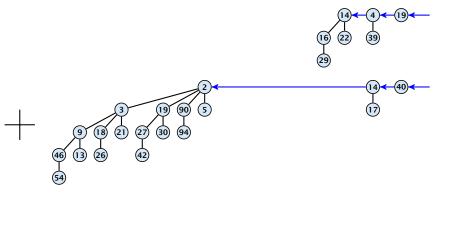
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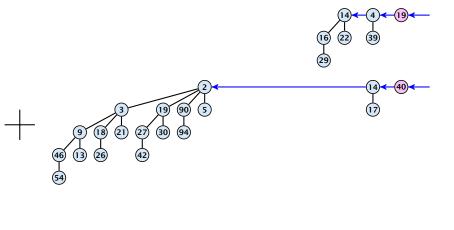
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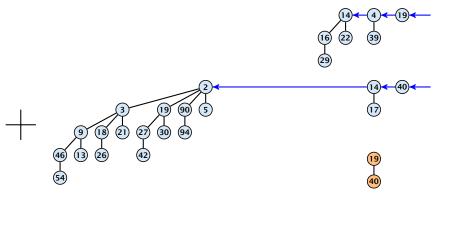


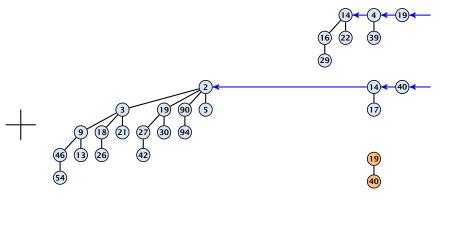




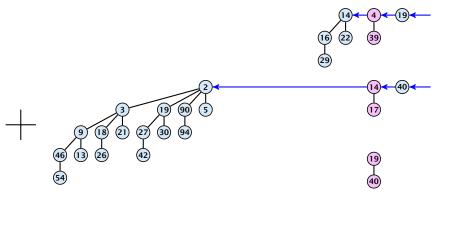




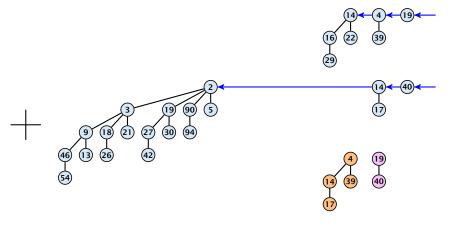




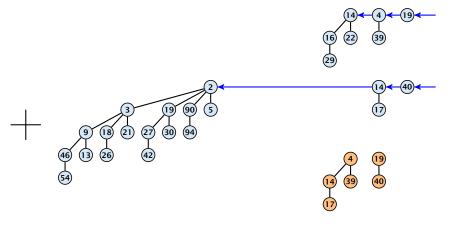




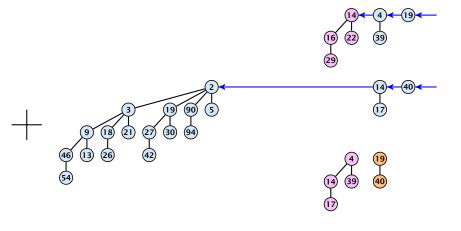




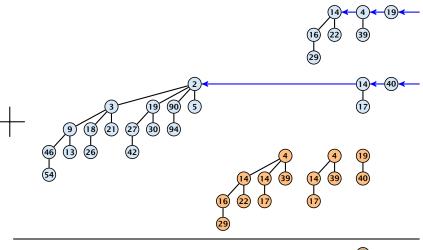




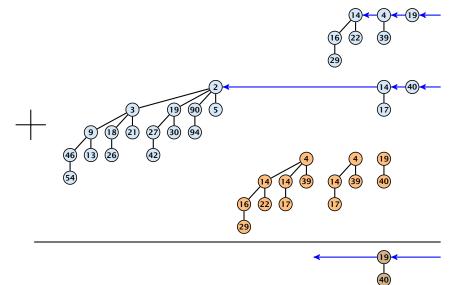


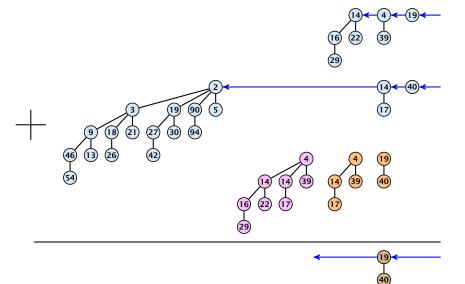


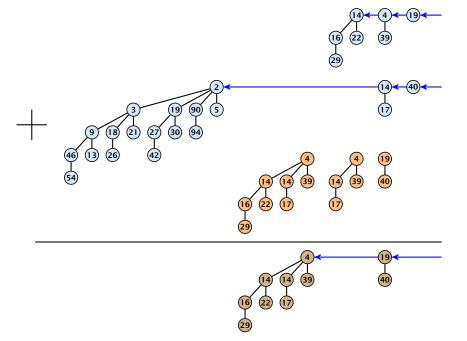


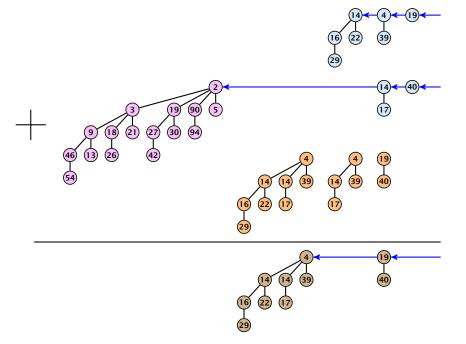


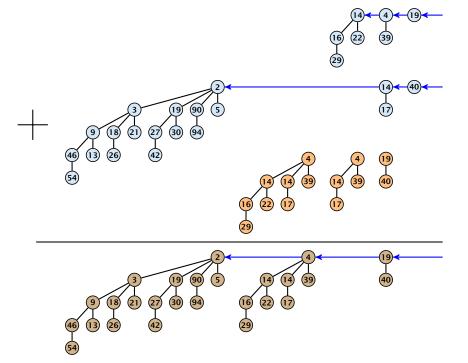


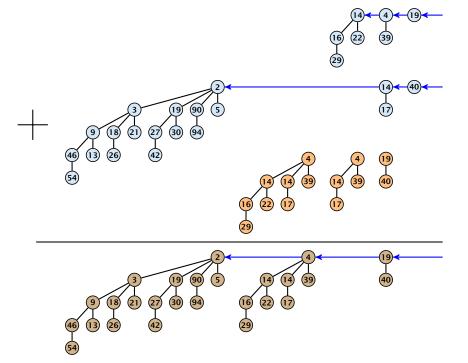












- S_1 . merge(S_2):
 - Analogous to binary addition.
 - Time is proportional to the number of trees in both heaps.
 Time: O(log n).



- *S*₁. merge(*S*₂):
 - Analogous to binary addition.
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 - Time: $\mathcal{O}(\log n)$.



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All other operations can be reduced to merge().

S. insert(x):

- Create a new heap S' that contains just the element x.
- ► Execute *S*.merge(*S'*).
- Time: $\mathcal{O}(\log n)$.



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All other operations can be reduced to merge().

S. insert(x):

- Create a new heap S' that contains just the element x.
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S. minimum():

- Find the minimum key-value among all roots.
- Time: $\mathcal{O}(\log n)$.



- Find the minimum key-value among all roots.
- Remove the corresponding tree T_{\min} from the heap.
- Create a new heap S' that contains the trees obtained from T_{\min} after deleting the root (note that these are just $O(\log n)$ trees).
- ► Compute *S*.merge(*S'*).
- Time: $\mathcal{O}(\log n)$.



S. delete-min():

Find the minimum key-value among all roots.

- ▶ Remove the corresponding tree *T*_{min} from the heap.
- Create a new heap S' that contains the trees obtained from T_{\min} after deleting the root (note that these are just $O(\log n)$ trees).
- Compute S.merge(S').
- Time: $\mathcal{O}(\log n)$.



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S. decrease-key(handle *h*):

- Decrease the key of the element pointed to by *h*.
- Bubble the element up in the tree until the heap property is fulfilled.
- Time: $O(\log n)$ since the trees have height $O(\log n)$.



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S. delete(handle h):

- Execute *S*. decrease-key $(h, -\infty)$.
- ► Execute *S*.delete-min().
- Time: $\mathcal{O}(\log n)$.



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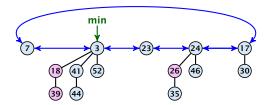
S. delete(handle h):

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- Execute S. delete-min().
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Collection of trees that fulfill the heap property.

Structure is much more relaxed than binomial heaps.





8.3 Fibonacci Heaps

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Additional implementation details:

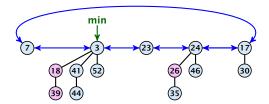
- Every node x stores its degree in a field x. degree. Note that this can be updated in constant time when adding a child to x.
- Every node stores a boolean value x.marked that specifies whether x is marked or not.



8.3 Fibonacci Heaps

The potential function:

- t(S) denotes the number of trees in the heap.
- m(S) denotes the number of marked nodes.
- We use the potential function $\Phi(S) = t(S) + 2m(S)$.



The potential is $\Phi(S) = 5 + 2 \cdot 3 = 11$.



8.3 Fibonacci Heaps

▲ 圖 ▶ ▲ 置 ▶ ▲ 置 ▶ 344/432 We assume that one unit of potential can pay for a constant amount of work, where the constant is chosen "big enough" (to take care of the constants that occur).

To make this more explicit we use *c* to denote the amount of work that a unit of potential can pay for.

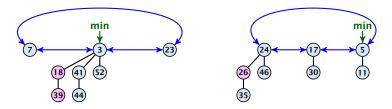


S. minimum()

- Access through the min-pointer.
- ► Actual cost O(1).
- No change in potential.
- Amortized cost $\mathcal{O}(1)$.



- S.merge(S')
 - Merge the root lists.
 - Adjust the min-pointer

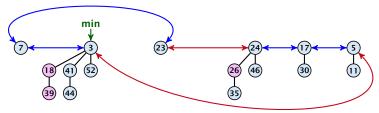




8.3 Fibonacci Heaps

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Running time:

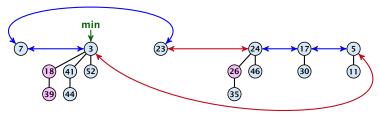
► Actual cost O(1).



8.3 Fibonacci Heaps

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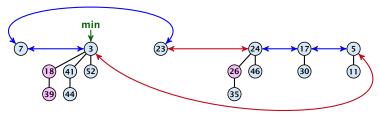
- ► Actual cost O(1).
- No change in potential.



8.3 Fibonacci Heaps

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- S.merge(S')
 - Merge the root lists.
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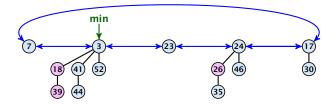
- ► Actual cost O(1).
- No change in potential.
- Hence, amortized cost is $\mathcal{O}(1)$.



8.3 Fibonacci Heaps

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- S. insert(x)
 - Create a new tree containing x.
 - Insert x into the root-list.
 - Update min-pointer, if necessary.

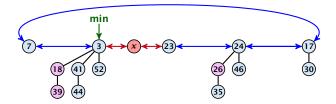




8.3 Fibonacci Heaps

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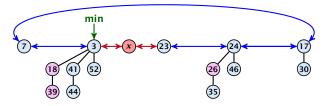




8.3 Fibonacci Heaps

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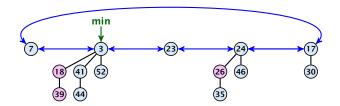
- S. insert(x)
 - Create a new tree containing x.
 - Insert x into the root-list.
 - Update min-pointer, if necessary.



Running time:

- Actual cost $\mathcal{O}(1)$.
- Change in potential is +1.
- Amortized cost is c + O(1) = O(1).

S. delete-min(x)

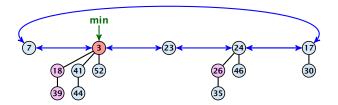




8.3 Fibonacci Heaps

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- S. delete-min(x)
 - ► Delete minimum; add child-trees to heap; time: D(min) · O(1).

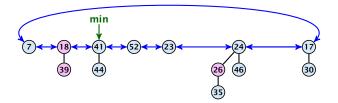




8.3 Fibonacci Heaps

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 - Update min-pointer; time: $(t + D(\min)) \cdot O(1)$.

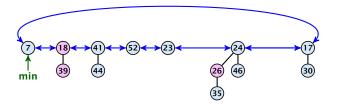




8.3 Fibonacci Heaps

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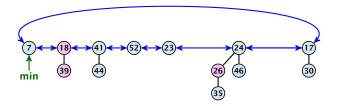




8.3 Fibonacci Heaps

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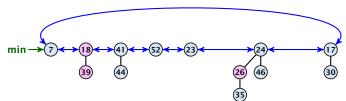


• Consolidate root-list so that no roots have the same degree. Time $t \cdot O(1)$ (see next slide).



Consolidate:





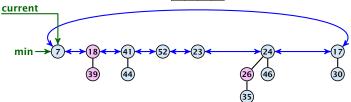


8.3 Fibonacci Heaps

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Consolidate:



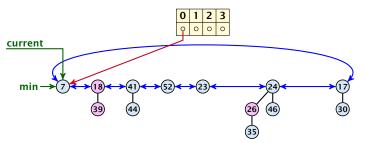




8.3 Fibonacci Heaps

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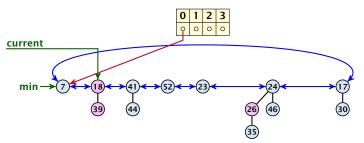




8.3 Fibonacci Heaps

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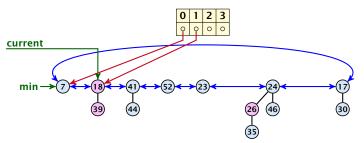
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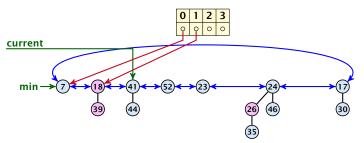
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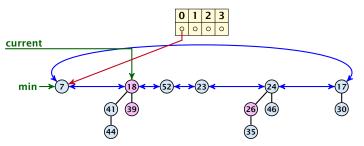
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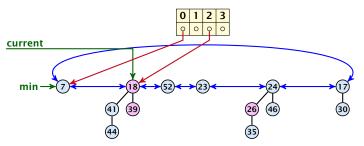




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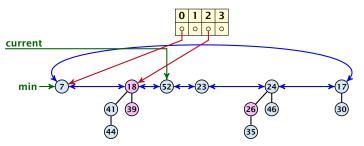




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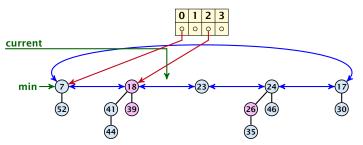
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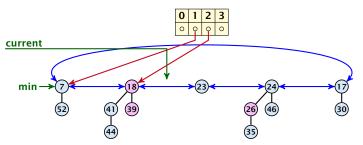
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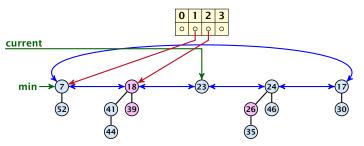




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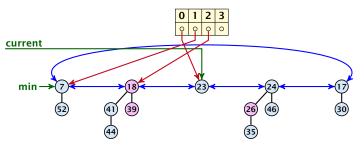
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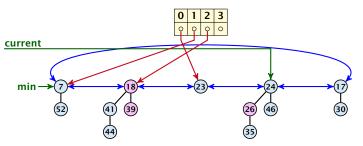
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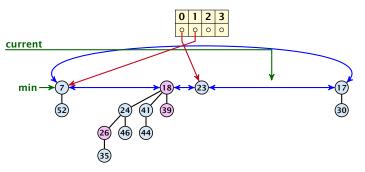
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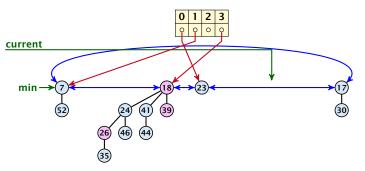
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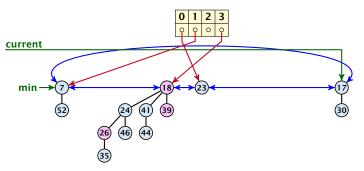




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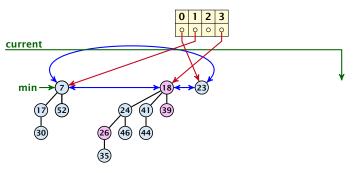
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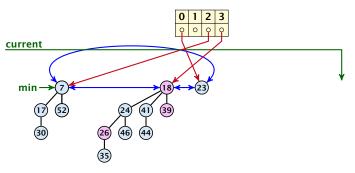




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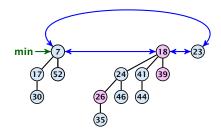
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8.3 Fibonacci Heaps

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8.3 Fibonacci Heaps

Actual cost for delete-min()

• At most $D_n + t$ elements in root-list before consolidate.



8.3 Fibonacci Heaps

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for $\textbf{\textit{c}} \geq \textbf{\textit{c}}_1$.

If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

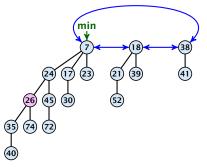
If we do not have delete or decrease-key operations then $D_n \leq \log n$.



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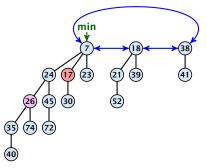




Case 1: decrease-key does not violate heap-property

Just decrease the key-value of element referenced by *h*.
 Nothing else to do.

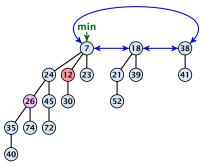




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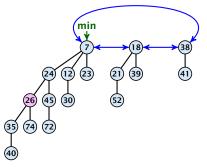




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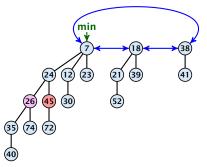




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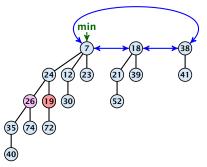
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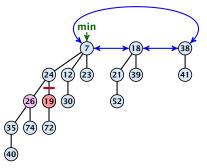
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- If the heap-property is violated, cut the parent edge of x, and make x into a root.
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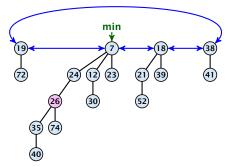
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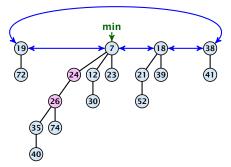
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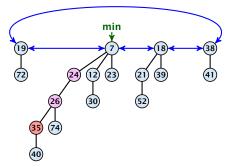
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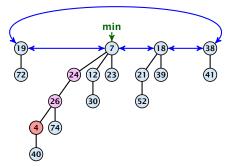


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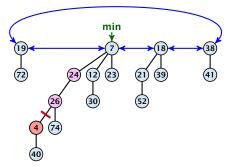
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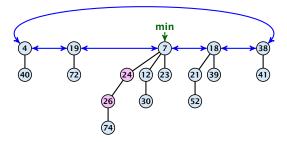
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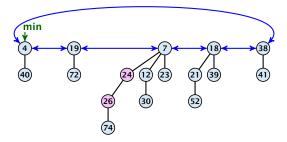
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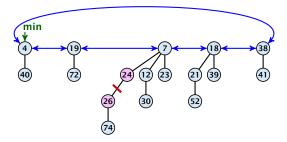
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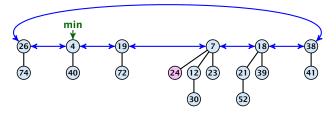
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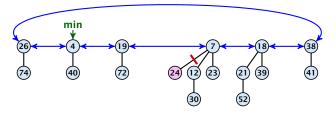
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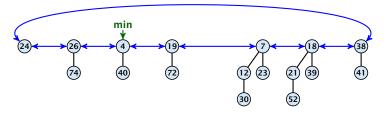
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- Cut the parent edge of x, and make x into a root.
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- Execute the following:

```
p \leftarrow parent[x];

while (p is marked)

pp \leftarrow parent[p];

cut of p; make it into a root; unmark it;

p \leftarrow pp;

if p is unmarked and not a root mark it;
```



Actual cost:

- Constant cost for decreasing the value.
- Constant cost for each of ℓ cuts.
- Hence, cost is at most $c_2 \cdot (\ell + 1)$, for some constant c_2 .

Amortized cost:

- $l=l-l_{\rm c}$ as every cut creates one new root.
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(10) — ga + 3k+ 6(3 - ga) ≥ (6 - 4) g + (1 - 4) g

if $c \ge c_2$.



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- ▶ $m' \le m (\ell 1) + 1 = m \ell + 2$, since all but the first cut unmarks a node; the last cut may mark a node.
- $\bullet \ \Delta \Phi \le \ell + 2(-\ell + 2) = 4 \ell$
- Amortized cost is at most

 $c_2(\ell+1) + c(4-\ell) \le (c_2-c)\ell + 4c + c_2 = \mathcal{O}(1),$

if $c \ge c_2$.

Delete node

H.delete(*x*):

- decrease value of x to $-\infty$.
- delete-min.

Amortized cost: $\mathcal{O}(D_n)$

- $\mathcal{O}(1)$ for decrease-key.
- $\mathcal{O}(D_n)$ for delete-min.



Lemma 1

Let x be a node with degree k and let $y_1, ..., y_k$ denote the children of x in the order that they were linked to x. Then

degree
$$(\gamma_i) \ge \begin{cases} 0 & \text{if } i = 1\\ i - 2 & \text{if } i > 1 \end{cases}$$



Proof

- ► When y_i was linked to x, at least y₁,..., y_{i-1} were already linked to x.
- Hence, at this time degree(x) ≥ i − 1, and therefore also degree(y_i) ≥ i − 1 as the algorithm links nodes of equal degree only.
- Since, then y_i has lost at most one child.
- Therefore, degree(y_i) $\ge i 2$.



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Let s_k be the minimum possible size of a sub-tree rooted at a node of degree k that can occur in a Fibonacci heap.



8.3 Fibonacci Heaps

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8.3 Fibonacci Heaps

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Definition 2

Consider the following non-standard Fibonacci type sequence:

$$F_k = \begin{cases} 1 & \text{if } k = 0\\ 2 & \text{if } k = 1\\ F_{k-1} + F_{k-2} & \text{if } k \ge 2 \end{cases}$$

Facts:

1. $F_k \ge \phi^k$. 2. For $k \ge 2$: $F_k = 2 + \sum_{i=0}^{k-2} F_i$.

The above facts can be easily proved by induction. From this it follows that $s_k \ge F_k \ge \phi^k$, which gives that the maximum degree in a Fibonacci heap is logarithmic.

k=0:

$$l = F_0 \ge \Phi^0 = 1$$

k=1:
 $2 = F_1 \ge \Phi^1 \approx 1.61$
 $F_k = F_{k-1} + F_{k-2} \ge \Phi^{k-1} + \Phi^{k-2} = \Phi^{k-2}(\Phi + 1) = \Phi^k$

k=2:
$$3 = F_2 = 2 + 1 = 2 + F_0$$

k-1 \rightarrow k: $F_k = F_{k-1} + F_{k-2} = 2 + \sum_{i=0}^{k-3} F_i + F_{k-2} = 2 + \sum_{i=0}^{k-2} F_i$

