## High Probability

## Definition 18 (High Probability)

We say a randomized algorithm has running time $\mathcal{O}(\log n)$ with high probability if for any constant $\alpha$ the running time is at most
$\mathcal{O}(\underbrace{\log n})$ with probability at least $1-\frac{1}{n^{\alpha}}$.
Here the $\mathcal{O}$-notation hides a constant that may depend on $\alpha$.



## High Probability

Suppose there are polynomially many events $E_{1}, E_{2}, \ldots, E_{\ell}$, $\ell=n^{c}$ each holding with high probability (e.g. $E_{i}$ may be the event that the $i$-th search in a skip list takes time at most $\mathcal{O}(\log n)$ ).

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Then the probability that all $E_{i}$ hold is at least

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\operatorname{Pr}\left[E_{1} \wedge \cdots \wedge E_{\ell}\right]=1-\operatorname{Pr}\left[\bar{E}_{1} \vee \cdots \vee \bar{E}_{\ell}\right]
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\begin{aligned}
\operatorname{Pr}\left[E_{1} \wedge \cdots \wedge E_{\ell}\right] & =1-\operatorname{Pr}\left[\bar{E}_{1} \vee \cdots \vee \bar{E}_{\ell}\right] \\
& \geq 1-n^{c} \cdot n^{-\alpha}
\end{aligned}
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& =1-n^{c-\alpha} .
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$$
f\left(\alpha^{\prime}, n\right)=\theta(\log n)
$$

Then the probability that all $E_{i}$ hold is at least

$$
\begin{aligned}
\operatorname{Pr}\left[E_{1} \wedge \cdots \wedge E_{\ell}\right] & =1-\operatorname{Pr}\left[\bar{E}_{1} \vee \cdots \vee \bar{E}_{\ell}\right] \\
\text { given } \alpha & \geq 1-\left(n^{c}\right) \cdot n^{-\alpha^{\prime}} \\
\alpha^{\prime}:=\alpha+c \cdot & =1-n^{c-\alpha^{\prime}} . \\
& =1-n^{-\alpha}
\end{aligned}
$$

This means $\operatorname{Pr}\left[E_{1} \wedge \cdots \wedge E_{\ell}\right]$ holds with high probability.

### 7.5 Skip Lists

## Lemma 19

A search (and, hence, also insert and delete) in a skip list with $n$ elements takes time $\mathcal{O}(\operatorname{logn})$ with high probability (w. h. p.).

### 7.5 Skip Lists

## Backward analysis:

$-\infty \leftrightarrow 4 \leftrightarrow 4 \leftrightarrow 4 \leftrightarrow 4 \leftrightarrow 4 \leftrightarrow \leftrightarrow 26 \leftrightarrow 43 \leftrightarrow 43$

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At each point the path goes up with probability $1 / 2$ and left with probability $1 / 2$.

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We show that w.h.p:

- A "long" search path must also go very high.


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We show that w.h.p:

- A "long" search path must also go very high.
- There are no elements in high lists.


### 7.5 Skip Lists

## Backward analysis:



At each point the path goes up with probability $1 / 2$ and left with probability $1 / 2$.

We show that w.h.p:

- A "long" search path must also go very high.
- There are no elements in high lists.

From this it follows that w.h.p. there are no long paths.

### 7.5 Skip Lists

## Estimation for Binomial Coefficients

$$
\left(\frac{n}{k}\right)^{k} \leq\binom{ n}{k} \leq\left(\frac{e n}{k}\right)^{k}
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$$
\binom{n}{k}=\frac{n!}{k!\cdot(n-k)!}
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\begin{gathered}
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\binom{n}{k}=\frac{n!}{k!\cdot(n-k)!}=\frac{n \cdot \ldots \cdot(n-k+1)}{k \cdot \ldots \cdot 1} \\
\left(\frac{n}{k}\right)^{k} \leq \frac{n}{k} \frac{n-1}{k-1} \cdot \frac{n-2}{n-2} \\
\leq \frac{n}{k}
\end{gathered}
$$

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$$

$$
\binom{n}{k}=\frac{n!}{k!\cdot(n-k)!}=\frac{n \cdot \ldots \cdot(n-k+1)}{k \cdot \ldots \cdot 1} \geq\left(\frac{n}{k}\right)^{k}
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\binom{n}{k}=\frac{n \cdot \ldots \cdot(n-k+1)}{k!} \leq \frac{n^{k}}{k!}
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\binom{n}{k}=\frac{n \cdot \ldots \cdot(n-k+1)}{k!} \leq \frac{n^{k}}{k!}=\frac{n^{k} \cdot k^{k}}{k^{k} \cdot k!}
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&=\left(\frac{n}{k}\right)^{k} \cdot \frac{k^{k}}{k!}
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&=\left(\frac{n}{k}\right)^{k} \cdot \frac{k^{k}}{k!} \leq\left(\frac{n}{k}\right)^{k} \cdot \sum_{i \geq 0} \frac{k^{i}}{i!}
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&=\left(\frac{n}{k}\right)^{k} \cdot \frac{k^{k}}{k!} \leq\left(\frac{n}{k}\right)^{k} \cdot \sum_{i \geq 0} \frac{k^{i}}{i!}=\left(\frac{e n}{k}\right)^{k}
\end{aligned}
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### 7.5 Skip Lists

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Let $E_{z, k}$ denote the event that a search path is of length $z$ (number of edges) but does not visit a list above $L_{k}$.

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In particular, this means that during the construction in the backward analysis we see at most $k$ heads (i.e., coin flips that tell you to go up) in $z$ trials.

### 7.5 Skip Lists

$$
\operatorname{Pr}\left[E_{z, k}\right]
$$

### 7.5 Skip Lists

## $\operatorname{Pr}\left[E_{z, k}\right] \leq \operatorname{Pr}[$ at most $k$ heads in $z$ trials $]$

7.5 Skip Lists

$$
\begin{aligned}
\operatorname{Pr}\left[E_{z, k}\right] & \leq \operatorname{Pr}[\text { at most } k \text { heads in } z \text { trials }] \\
& \leq\binom{ z}{k} 2^{-(z-k)}
\end{aligned}
$$


个
mark $z-k$ positions
$\operatorname{Pr}[$ only sec tails in marks pos $]=2^{-(z-n)}$
$\vec{m}$ is marking
$E_{\vec{m}}$ : event only tails marked pos of $\vec{m}$

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choosing $k=\gamma \log n$ with $\gamma \geq 1$ and $z=(\beta+\alpha) \gamma \log n$

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$$
\leq\left(\frac{2 e z}{k}\right)^{k} 2^{-\beta k} \cdot n^{-\gamma \alpha} \frac{2^{-\alpha \gamma \operatorname{logh}}}{2^{-\beta \gamma \operatorname{logh}}=}
$$

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choosing $k=\gamma \log n$ with $\gamma \geq 1$ and $z=(\beta+\alpha) \gamma \log n$

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\begin{aligned}
& \leq\left(\frac{2 e z}{k}\right)^{k} 2^{-\beta k} \cdot n^{-\gamma \alpha} \leq\left(\frac{2 e z}{2^{\beta} k}\right)^{k} \cdot n^{-\alpha} \\
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choosing $k=\gamma \log n$ with $\gamma \geq 1$ and $z=(\beta+\alpha) \gamma \log n$

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\begin{aligned}
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now choosing $\beta=6 \alpha$ gives

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for $\alpha \geq 1$.

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This means that a search path of length $\Omega(\log n)$ visits a list on a level $\Omega(\log n)$, w.h.p.

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Let $A_{k+1}$ denote the event that the list $L_{k+1}$ is non-empty. Then

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Let $A_{k+1}$ denote the event that the list $L_{k+1}$ is non-empty. Then

$$
\begin{aligned}
\operatorname{Pr}\left[A_{k+1}\right] & \leq n 2^{-(k+1)} \leq n^{-(\gamma-1)} . \\
& \leq h \cdot 2^{-k} \leq h \cdot h^{-\gamma}
\end{aligned}
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For the search to take at least $z=7 \alpha \gamma \log n$ steps either the event $E_{z, k}$ or the event $A_{k+1}$ must hold.

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For the search to take at least $z=7 \alpha \gamma \log n$ steps either the event $E_{z, k}$ or the event $A_{k+1}$ must hold. Hence,
$\operatorname{Pr}[$ search requires $z$ steps $] \leq \operatorname{Pr}\left[E_{z, k}\right]+\operatorname{Pr}\left[A_{k+1}\right]$

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\begin{aligned}
\operatorname{Pr}[\text { search requires } z \text { steps }] & \leq \operatorname{Pr}\left[E_{z, k}\right]+\operatorname{Pr}\left[A_{k+1}\right] \\
& \leq n^{-\alpha}+n^{-(\gamma-1)}
\end{aligned}
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For the search to take at least $z=7 \alpha \gamma \log n$ steps either the event $E_{z, k}$ or the event $A_{k+1}$ must hold. Hence,

$$
\begin{aligned}
& \operatorname{Pr}[\text { search requires } z \text { steps }] \leq \operatorname{Pr}\left[E_{z, k}\right]+\operatorname{Pr}\left[A_{k+1}\right] \\
& \leq n^{-\alpha}+n^{-(\gamma-1)} \\
& O(\log h)
\end{aligned}
$$

This means, the search requires at mostz steps, w. h. p.

$$
\begin{aligned}
& n^{-\alpha}+n^{1-\gamma} \\
& \leqslant \max \left\{2 n^{-\alpha}, 2 n^{1-\gamma}\right\} \\
& 2 n^{-\alpha} \leq n^{-\delta} \quad \alpha=\delta+1 \\
& h^{-\delta}\left(10^{6}\right)^{-\delta}
\end{aligned}
$$

### 7.6 Hashing

## Dictionary:

- $S$. insert $(x)$ : Insert an element $x$.
- S. delete $(x)$ : Delete the element pointed to by $x$.
- $S$. $\operatorname{search}(k)$ : Return a pointer to an element $e$ with $\operatorname{key}[e]=k$ in $S$ if it exists; otherwise return null.


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Then the memory location of an object $x$ with key $k$ is determined by successively comparing $k$ to split-elements.

Hashing tries to directly compute the memory location from the given key. The goal is to have constant search time.

### 7.6 Hashing

## Definitions:

- Universe $U$ of keys, e.g., $U \subseteq \mathbb{N}_{0}$. $U$ very large.


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The hash-function $h$ should fulfill:

- Fast to evaluate.
- Small storage requirement.
- Good distribution of elements over the whole table.


## Direct Addressing

Ideally the hash function maps all keys to different memory locations.


This special case is known as Direct Addressing. It is usually very unrealistic as the universe of keys typically is quite large, and in particular larger than the available memory.

## Perfect Hashing

Suppose that we know the set $S$ of actual keys (no insert/no delete). Then we may want to design a simple hash-function that maps all these keys to different memory locations.


Such a hash function $h$ is called a perfect hash function for set $S$.

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Usually the universe $U$ is much larger than the table-size $n$.
Hence, there may be two elements $k_{1}, k_{2}$ from the set $S$ that map to the same memory location (i.e., $h\left(k_{1}\right)=h\left(k_{2}\right)$ ). This is called a collision.

## Collisions

Typically, collisions do not appear once the size of the set $S$ of actual keys gets close to $n$, but already when $|S| \geq \omega(\sqrt{n})$.

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## Lemma 20

The probability of having a collision when hashing $m$ elements into a table of size $n$ under uniform hashing is at least

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## Uniform hashing:

Choose a hash function uniformly at random from all functions $f: \underset{\uparrow}{U} \rightarrow[\underbrace{[0, \ldots, n-1]}$.

## Collisions

## Proof.

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\operatorname{Pr}\left[A_{m, n}\right]= & \prod_{\ell=1}^{m} \frac{n-\ell+1}{n} \\
& \left\lvert\, \frac{h-(\ell-1)}{n}\right.
\end{aligned}
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$\mapsto \leq e^{-\partial / h}$

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Here the first equality follows since the $\ell$-th element that is hashed has a probability of $\frac{n-\ell+1}{n}$ to not generate a collision under the condition that the previous elements did not induce collisions.

