Part V

Matchings



Matching

- lnput: undirected graph G = (V, E).
- $M \subseteq E$ is a matching if each node appears in at most one edge in M.
- Maximum Matching: find a matching of maximum cardinality



16 Bipartite Matching via Flows

Which flow algorithm to use?

- Generic augmenting path: $\mathcal{O}(m \operatorname{val}(f^*)) = \mathcal{O}(mn)$.
- Capacity scaling: $\mathcal{O}(m^2 \log C) = \mathcal{O}(m^2)$.
- Shortest augmenting path: $\mathcal{O}(mn^2)$.

For unit capacity simple graphs shortest augmenting path can be implemented in time $\mathcal{O}(m\sqrt{n})$.



Definitions.

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Theorem 6

A matching M is a maximum matching if and only if there is no augmenting path w. r. t. M.







17 Augmenting Paths for Matchings





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Proof.

⇒ If *M* is maximum there is no augmenting path *P*, because we could switch matching and non-matching edges along *P*. This gives matching $M' = M \oplus P$ with larger cardinality.



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As |M'| > |M| there is one connected component that is a path *P* for which both endpoints are incident to edges from *M'*. *P* is an alternating path.



Algorithmic idea:

As long as you find an augmenting path augment your matching using this path. When you arrive at a matching for which no augmenting path exists you have a maximum matching.



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Theorem 7

Let G be a graph, M a matching in G, and let u be a free vertex w.r.t. M. Further let P denote an augmenting path w.r.t. M and let $M' = M \oplus P$ denote the matching resulting from augmenting M with P. If there was no augmenting path starting at u in M then there is no augmenting path starting at u in M'.





Proof

Assume there is an augmenting path P' w.r.t. M' starting at u.





17 Augmenting Paths for Matchings

- Assume there is an augmenting path P' w.r.t. M' starting at u.
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- u' splits P into two parts one of which does not contain e. Call this part P₁. Denote the sub-path of P' from u to u' with P'₁.





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- u' splits P into two parts one of which does not contain e. Call this part P₁. Denote the sub-path of P' from u to u' with P'₁.
- $P_1 \circ P'_1$ is augmenting path in M (2).





Construct an alternating tree.





17 Augmenting Paths for Matchings

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Construct an alternating tree.





17 Augmenting Paths for Matchings

6. Feb. 2022 171/237

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17 Augmenting Paths for Matchings

6. Feb. 2022 172/237 Algorithm 49 BiMatch(*G*, *match*)

```
1: for x \in V do mate[x] \leftarrow 0:
2: r \leftarrow 0; free \leftarrow n;
3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
7:
    O \leftarrow \emptyset; O. append(r); aug \leftarrow false;
           while aug = false and Q \neq \emptyset do
8:
9:
               x \leftarrow O. dequeue();
10:
               for \gamma \in A_{\chi} do
                   if mate[\gamma] = 0 then
11:
12:
                       augm(mate, parent, \gamma);
13:
                       aug \leftarrow true;
14:
                       free \leftarrow free -1;
15:
                   else
16:
                       if parent[\gamma] = 0 then
17.
                           parent[y] \leftarrow x;
                           Q. enqueue(mate[\gamma]);
18:
```

```
graph G = (S \cup S', E)

S = \{1, ..., n\}

S' = \{1', ..., n'\}
```

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start with an empty matching
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free: number of unmatched nodes in *S*

r: root of current tree

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as long as there are unmatched nodes and we did not yet try to grow from all nodes we continue

Alg	Algorithm 49 BiMatch(G, match)	
1:	1: for $x \in V$ do mate[x] $\leftarrow 0$;	
2:	$r \leftarrow 0$; free $\leftarrow n$;	
3:	while $free \ge 1$ and $r < n$ do	
4:	$\gamma \leftarrow \gamma + 1$	
5:	if $mate[r] = 0$ then	
6:	for $i = 1$ to n do $parent[i'] \leftarrow 0$	
7:	$Q \leftarrow \emptyset$; Q . append (r) ; $aug \leftarrow$ false;	
8:	while $aug = false$ and $Q \neq \emptyset$ do	
9:	$x \leftarrow Q.$ dequeue();	
10:	for $y \in A_x$ do	
11:	if $mate[y] = 0$ then	
12:	augm(mate, parent, y);	
13:	<i>aug</i> ← true;	
14:	free \leftarrow free -1 ;	
15:	else	
16:	if $parent[y] = 0$ then	
17:	$parent[y] \leftarrow x;$	
18:	Q.enqueue(<i>mate</i> [y]);	

r is the new node that we grow from.

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7: $Q \leftarrow \emptyset; Q. \operatorname{append}(r); aug \leftarrow \operatorname{false};$	7:
8: while $aug = false and Q \neq \emptyset$ do	8:
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If *r* is free start tree construction

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Initialize an empty tree. Note that only nodes i' have parent pointers.

```
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                           Q. enqueue(mate[\gamma]);
18:
```

Q is a queue (BFS!!!).

aug is a Boolean that stores whether we already found an augmenting path.

```
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8:
           while aug = false and Q \neq \emptyset do
9:
               x \leftarrow O. dequeue();
10:
               for \gamma \in A_{\chi} do
11:
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as long as we did not augment and there are still unexamined leaves continue... **Algorithm 49** BiMatch(*G*, *match*) 1: for $x \in V$ do mate[x] \leftarrow 0: 2: $r \leftarrow 0$; free $\leftarrow n$; 3: while *free* ≥ 1 and *r* < *n* do 4: $r \leftarrow r + 1$ 5: if mate[r] = 0 then 6: for i = 1 to n do $parent[i'] \leftarrow 0$ 7: $O \leftarrow \emptyset; O. append(r); aug \leftarrow false;$ while aug = false and $Q \neq \emptyset$ do 8: 9: $x \leftarrow O.$ dequeue(); 10: for $\gamma \in A_{\chi}$ do if mate[γ] = 0 then 11: 12: $augm(mate, parent, \gamma)$; 13: $aug \leftarrow true;$ 14: free \leftarrow free -1; else 15: 16: if parent[γ] = 0 then 17. $parent[y] \leftarrow x;$ Q. enqueue(*mate*[γ]); 18:

take next unexamined leaf

```
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 2: r \leftarrow 0; free \leftarrow n;
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 5: if mate[r] = 0 then
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    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
           while aug = false and Q \neq \emptyset do
 8:
               x \leftarrow Q. dequeue();
 9:
10:
               for y \in A_x do
11:
                   if mate [v] = 0 then
12:
                       augm(mate, parent, v);
13:
                       aug \leftarrow true;
14:
                       free \leftarrow free -1;
                   else
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16:
                       if parent[\gamma] = 0 then
17.
                          parent[y] \leftarrow x;
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if x has unmatched neighbour we found an augmenting path (note that $y \neq r$ because we are in a bipartite graph)

```
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 3: while free \geq 1 and r < n do
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do an augmentation...

```
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 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
 5: if mate[r] = 0 then
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                           parent[y] \leftarrow x;
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setting *aug* = true ensures that the tree construction will not continue

```
1: for x \in V do mate[x] \leftarrow 0:
2: r \leftarrow 0; free \leftarrow n;
3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
7:
    O \leftarrow \emptyset; O, append(r); aug \leftarrow false;
           while aug = false and Q \neq \emptyset do
8:
               x \leftarrow Q. dequeue();
9:
10:
               for \gamma \in A_{\chi} do
                   if mate[\gamma] = 0 then
11:
12:
                       augm(mate, parent, \gamma);
13:
                       aug \leftarrow true;
                       free \leftarrow free -1;
14:
15:
                   else
16:
                       if parent[\gamma] = 0 then
17.
                           parent[y] \leftarrow x;
                           Q. enqueue(mate[\gamma]);
18:
```

reduce number of free nodes

```
1: for x \in V do mate[x] \leftarrow 0:
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```

if y is not in the tree yet

Algorithm 49 BiMatch(*G*, *match*) 1: for $x \in V$ do mate[x] \leftarrow 0: 2: $r \leftarrow 0$; free $\leftarrow n$; 3: while *free* ≥ 1 and *r* < *n* do 4: $r \leftarrow r + 1$ 5: if mate[r] = 0 then 6: for i = 1 to n do $parent[i'] \leftarrow 0$ 7: $O \leftarrow \emptyset$; O, append(r); aug \leftarrow false; while aug = false and $Q \neq \emptyset$ do 8: $x \leftarrow Q.$ dequeue(); 9: 10: for $\gamma \in A_{\chi}$ do if mate[γ] = 0 then 11: 12: $augm(mate, parent, \gamma)$; 13: $aug \leftarrow true;$ 14: free \leftarrow free -1; 15: else if $parent[\gamma] = 0$ then 16: 17: $parent[y] \leftarrow x;$ 18: *Q*.enqueue(*mate*[γ]);

...put it into the tree

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```

add its buddy to the set of unexamined leaves

18 Weighted Bipartite Matching

Weighted Bipartite Matching/Assignment

- lnput: undirected, bipartite graph $G = L \cup R, E$.
- an edge $e = (\ell, r)$ has weight $w_e \ge 0$
- find a matching of maximum weight, where the weight of a matching is the sum of the weights of its edges

Simplifying Assumptions (wlog [why?]):

- assume that |L| = |R| = n
- ► assume that there is an edge between every pair of nodes $(\ell, r) \in V \times V$
- can assume goal is to construct maximum weight perfect matching



Weighted Bipartite Matching

Theorem 8 (Halls Theorem)

A bipartite graph $G = (L \cup R, E)$ has a perfect matching if and only if for all sets $S \subseteq L$, $|\Gamma(S)| \ge |S|$, where $\Gamma(S)$ denotes the set of nodes in R that have a neighbour in S.



18 Weighted Bipartite Matching



Proof:

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 - This gives $R_S \ge |\Gamma(L_S)|$.
 - The size of the cut is $|L| |L_S| + |R_S|$.
 - Using the fact that $|\Gamma(L_S)| \ge L_S$ gives that this is at least |L|.



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Let $H(\vec{x})$ denote the subgraph of *G* that only contains edges that are tight w.r.t. the node weighting \vec{x} , i.e. edges e = (u, v) for which $w_e = x_u + x_v$.



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- Let $H(\vec{x})$ denote the subgraph of *G* that only contains edges that are tight w.r.t. the node weighting \vec{x} , i.e. edges e = (u, v) for which $w_e = x_u + x_v$.
- Try to compute a perfect matching in the subgraph $H(\vec{x})$. If you are successful you found an optimal matching.



Reason:

▶ The weight of your matching *M*^{*} is

$$\sum_{(u,v)\in M^*} w_{(u,v)} = \sum_{(u,v)\in M^*} (x_u + x_v) = \sum_v x_v .$$

Any other perfect matching M (in G, not necessarily in $H(\vec{x})$) has

$$\sum_{(u,v)\in M} w_{(u,v)} \le \sum_{(u,v)\in M} (x_u + x_v) = \sum_{v} x_v .$$



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What if you don't find a perfect matching?

Then, Halls theorem guarantees you that there is a set $S \subseteq L$, with $|\Gamma(S)| < |S|$, where Γ denotes the neighbourhood w.r.t. the subgraph $H(\vec{x})$.



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Idea: reweight such that:

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- the weight function still dominates the edge-weights

If we can do this we have an algorithm that terminates with an optimal solution (we analyze the running time later).



Changing Node Weights

Increase node-weights in $\Gamma(S)$ by $+\delta$, and decrease the node-weights in S by $-\delta$.





18 Weighted Bipartite Matching

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- Only edges from S to R Γ(S) decrease in their weight.





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Changing Node Weights

Increase node-weights in $\Gamma(S)$ by $+\delta$, and decrease the node-weights in S by $-\delta$.

- Total node-weight decreases.
- Only edges from S to R Γ(S) decrease in their weight.
- Since, none of these edges is tight (otw. the edge would be contained in *H*(*x*), and hence would go between *S* and Γ(*S*)) we can do this decrement for small enough δ > 0 until a new edge gets tight.





Edges not drawn have weight 0.





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 $\delta = 1$



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- This matching is still contained in the new graph, because all its edges either go between $\Gamma(S)$ and S or between L S and $R \Gamma(S)$.
- Hence, reweighting does not decrease the size of a maximum matching in the tight sub-graph.



- We will show that after at most n reweighting steps the size of the maximum matching can be increased by finding an augmenting path.
- This gives a polynomial running time.



Construct an alternating tree.





18 Weighted Bipartite Matching

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18 Weighted Bipartite Matching

How do we find S?

Start on the left and compute an alternating tree, starting at any free node u.



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- If this construction stops, there is no perfect matching in the tight subgraph (because for a perfect matching we need to find an augmenting path starting at *u*).
- The set of even vertices is on the left and the set of odd vertices is on the right and contains all neighbours of even nodes.
- All odd vertices are matched to even vertices. Furthermore, the even vertices additionally contain the free vertex *u*.
 Hence, |V_{odd}| = |Γ(V_{even})| < |V_{even}|, and all odd vertices are saturated in the current matching.



The current matching does not have any edges from V_{odd} to L \ V_{even} (edges that may possibly be deleted by changing weights).



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- In total we obtain a running time of $\mathcal{O}(n^4)$.
- A more careful implementation of the algorithm obtains a running time of $\mathcal{O}(n^3)$.



Construct an alternating tree.





19 Maximum Matching in General Graphs

Construct an alternating tree.





Construct an alternating tree.





19 Maximum Matching in General Graphs

Definition 9

A flower in a graph G = (V, E) w.r.t. a matching M and a (free) root node r, is a subgraph with two components:



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A stem is an even length alternating path that starts at the root node r and terminates at some node w. We permit the possibility that r = w (empty stem).



Definition 9

A flower in a graph G = (V, E) w.r.t. a matching M and a (free) root node r, is a subgraph with two components:

- A stem is an even length alternating path that starts at the root node r and terminates at some node w. We permit the possibility that r = w (empty stem).
- A blossom is an odd length alternating cycle that starts and terminates at the terminal node w of a stem and has no other node in common with the stem. w is called the base of the blossom.









19 Maximum Matching in General Graphs

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Properties:

1. A stem spans $2\ell + 1$ nodes and contains ℓ matched edges for some integer $\ell \ge 0$.



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Properties:

- 1. A stem spans $2\ell + 1$ nodes and contains ℓ matched edges for some integer $\ell \ge 0$.
- **2.** A blossom spans 2k + 1 nodes and contains k matched edges for some integer $k \ge 1$. The matched edges match all nodes of the blossom except the base.
- 3. The base of a blossom is an even node (if the stem is part of an alternating tree starting at *r*).



Properties:

4. Every node x in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.



Properties:

- 4. Every node x in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.
- 5. The even alternating path to x terminates with a matched edge and the odd path with an unmatched edge.







19 Maximum Matching in General Graphs

When during the alternating tree construction we discover a blossom *B* we replace the graph *G* by G' = G/B, which is obtained from *G* by contracting the blossom *B*.



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▶ Delete all vertices in *B* (and its incident edges) from *G*.



When during the alternating tree construction we discover a blossom B we replace the graph G by G' = G/B, which is obtained from G by contracting the blossom B.

- Delete all vertices in B (and its incident edges) from G.
- Add a new (pseudo-)vertex b. The new vertex b is connected to all vertices in V \ B that had at least one edge to a vertex from B.



- Edges of T that connect a node u not in B to a node in B become tree edges in T' connecting u to b.
- Matching edges (there is at most one) that connect a node u not in B to a node in B become matching edges in M'.
- Nodes that are connected in G to at least one node in B become connected to b in G'.





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19 Maximum Matching in General Graphs





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19 Maximum Matching in General Graphs








Assume that in *G* we have a flower w.r.t. matching *M*. Let *r* be the root, *B* the blossom, and *w* the base. Let graph G' = G/B with pseudonode *b*. Let *M'* be the matching in the contracted graph.



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Lemma 10

If G' contains an augmenting path P' starting at r (or the pseudo-node containing r) w.r.t. the matching M' then G contains an augmenting path starting at r w.r.t. matching M.



Proof.

If P' does not contain b it is also an augmenting path in G.



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Proof.

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Case 1: non-empty stem

Next suppose that the stem is non-empty.



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$$(r) \cdots (i - b) \cdots (i - p_3) (q)$$



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If P' does not contain b it is also an augmenting path in G.

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- After the expansion ℓ must be incident to some node in the blossom. Let this node be k.
- If $k \neq w$ there is an alternating path P_2 from w to k that ends in a matching edge.
- ▶ $P_1 \circ (i, w) \circ P_2 \circ (k, \ell) \circ P_3$ is an alternating path.
- ▶ If k = w then $P_1 \circ (i, w) \circ (w, \ell) \circ P_3$ is an alternating path.



Proof.

Case 2: empty stem

If the stem is empty then after expanding the blossom,

w = r.



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Proof.

Case 2: empty stem

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w = r.





• The path $r \circ P_2 \circ (k, \ell) \circ P_3$ is an alternating path.



Lemma 11

If G contains an augmenting path P from r to q w.r.t. matching M then G' contains an augmenting path from r (or the pseudo-node containing r) to q w.r.t. M'.



Proof.

▶ If *P* does not contain a node from *B* there is nothing to prove.



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Let i be the last node on the path P that is part of the blossom.



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Case 1: empty stem

Let *i* be the last node on the path *P* that is part of the blossom. *P* is of the form $P_1 \circ (i, j) \circ P_2$, for some node *j* and (i, j) is unmatched.



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- ▶ If *P* does not contain a node from *B* there is nothing to prove.
- We can assume that r and q are the only free nodes in G.

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Let i be the last node on the path P that is part of the blossom.

P is of the form $P_1 \circ (i, j) \circ P_2$, for some node j and (i, j) is unmatched.

 $(b, j) \circ P_2$ is an augmenting path in the contracted network.



Illustration for Case 1:







19 Maximum Matching in General Graphs

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Case 2: non-empty stem

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Let P_3 be alternating path from r to w; this exists because r and w are root and base of a blossom. Define $M_+ = M \oplus P_3$.

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This path must go between w and q as these are the only unmatched vertices w.r.t. M_+ .

Case 2: non-empty stem

Let P_3 be alternating path from r to w; this exists because r and w are root and base of a blossom. Define $M_+ = M \oplus P_3$.

In M_+ , r is matched and w is unmatched.

G must contain an augmenting path w.r.t. matching M_+ , since *M* and M_+ have same cardinality.

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For M'_+ the blossom has an empty stem. Case 1 applies.

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G' has an augmenting path w.r.t. M'_+ . It must also have an augmenting path w.r.t. M', as both matchings have the same cardinality.

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This path must go between r and q.

Algorithm 50 search(r, found)

- 1: set $\bar{A}(i) \leftarrow A(i)$ for all nodes i
- 2: *found* \leftarrow false
- 3: unlabel all nodes;
- 4: give an even label to r and initialize *list* \leftarrow {r}
- 5: while $list \neq \emptyset$ do
- 6: delete a node *i* from *list*
- 7: examine(*i*, *found*)
- 8: **if** *found* = true **then return**



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A(i) contains neighbours of node i.

We create a copy $\bar{A}(i)$ so that we later can shrink blossoms.

```
Algorithm 50 search(r, found)
```

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found is just a Boolean that allows to abort the search process...

```
Algorithm 50 search(r, found)
```

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- 6: delete a node *i* from *list*
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- 8: **if** *found* = true **then return**

In the beginning no node is in the tree.







As long as there are nodes with unexamined neighbours...

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- 5: while $list \neq \emptyset$ do
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...examine the next one

Algorithm 50 search(*r*, *found*)

- 1: set $\bar{A}(i) \leftarrow A(i)$ for all nodes i
- 2: *found* \leftarrow false
- 3: unlabel all nodes;
- 4: give an even label to r and initialize *list* \leftarrow {r}
- 5: while $list \neq \emptyset$ do
- 6: delete a node *i* from *list*
- 7: examine(*i*, *found*)
- 8: **if** *found* = true **then return**

If you found augmenting path abort and start from next root.

Algorithm 51 examine(*i*, *found*)

```
1: for all j \in \overline{A}(i) do
```

- 2: **if** j is even **then** contract(i, j) and **return**
- 3: **if** j is unmatched **then**

4:
$$q \leftarrow j;$$

- 5: $\operatorname{pred}(q) \leftarrow i;$
- 6: *found* \leftarrow true;

return

7:

8: **if** j is matched and unlabeled **then**

9:
$$\operatorname{pred}(j) \leftarrow i;$$

```
10: \operatorname{pred}(\operatorname{mate}(j)) \leftarrow j;
```

```
11: add mate(j) to list
```

Examine the neighbours of a node i
Algorithm 51 examine(<i>i</i> , <i>found</i>)	
1: for all $j \in \overline{A}(i)$ do	
2: if <i>j</i> is even then contra	act(<i>i</i> , <i>j</i>) and return
3: if <i>j</i> is unmatched then	
4: $q \leftarrow j;$	
5: $\operatorname{pred}(q) \leftarrow i;$	
6: $found \leftarrow true;$	
7: return	
8: if <i>j</i> is matched and un	abeled then
9: $\operatorname{pred}(j) \leftarrow i;$	
10: $\operatorname{pred}(\operatorname{mate}(j)) \leftarrow j$	• •
11: add mate(<i>j</i>) to <i>lis</i>	t

For all neighbours *j* do...

Algorithm 51 examine(<i>i</i> , <i>found</i>)	
1: for all $j \in \overline{A}(i)$ do	
2: if <i>j</i> is even then contract(<i>i</i> , <i>j</i>) and return	
3: if <i>j</i> is unmatched then	
4: $q \leftarrow j;$	
5: $\operatorname{pred}(q) \leftarrow i;$	
6: $found \leftarrow true;$	
7: return	
8: if <i>j</i> is matched and unlabeled then	
9: $\operatorname{pred}(j) \leftarrow i;$	
0: $\operatorname{pred}(\operatorname{mate}(j)) \leftarrow j;$	
1: add mate (j) to <i>list</i>	

You have found a blossom...

Algorithm 51 examine(<i>i</i> , <i>found</i>)	
1: for all $j \in \overline{A}(i)$ do	
2: if <i>j</i> is even then contract(<i>i</i> , <i>j</i>) and return	
3: if <i>j</i> is unmatched then	
4: $q \leftarrow j;$	
5: $\operatorname{pred}(q) \leftarrow i;$	
6: $found \leftarrow true;$	
7: return	
8: if <i>j</i> is matched and unlabeled then	
9: $\operatorname{pred}(j) \leftarrow i;$	
10: $\operatorname{pred}(\operatorname{mate}(j)) \leftarrow j;$	
11: add mate (j) to $list$	

You have found a free node which gives you an augmenting path.

Alg	jorithm 51 examine(<i>i</i> , <i>found</i>)
1:	for all $j \in \overline{A}(i)$ do
2:	if j is even then $contract(i, j)$ and return
3:	if <i>j</i> is unmatched then
4:	$q \leftarrow j;$
5:	$\operatorname{pred}(q) \leftarrow i;$
6:	<i>found</i> \leftarrow true;
7:	return
8:	if j is matched and unlabeled then
9:	$\operatorname{pred}(j) \leftarrow i;$
10:	$pred(mate(j)) \leftarrow j;$
11:	add $mate(j)$ to $list$

If you find a matched node that is not in the tree you grow...

Algorithm 51 examine(*i*, *found*)

```
1: for all j \in \overline{A}(i) do
```

- 2: **if** j is even **then** contract(i, j) and **return**
- 3: **if** j is unmatched **then**

4:
$$q \leftarrow j;$$

- 5: $\operatorname{pred}(q) \leftarrow i;$
- 6: *found* \leftarrow true;

return

7:

8: **if** *j* is matched and unlabeled **then**

9:
$$\operatorname{pred}(j) \leftarrow i;$$

```
10: \operatorname{pred}(\operatorname{mate}(j)) \leftarrow j;
```

```
11: add mate(j) to list
```

mate(j) is a new node from which you can grow further.

- 1: trace pred-indices of i and j to identify a blossom B
- 2: create new node *b* and set $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$ for each $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph

Contract blossom identified by nodes i and j



1: trace pred-indices of i and j to identify a blossom B

- 2: create new node b and set $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$ for each $j \in \bar{A}(b)$
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Get all nodes of the blossom.

Time: $\mathcal{O}(m)$



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Identify all neighbours of **b**.

Time: $\mathcal{O}(m)$ (how?)



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- 2: create new node b and set $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
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- 4: update $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$ for each $j \in \bar{A}(b)$
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- 6: delete nodes in *B* from the graph

b will be an even node, and it has unexamined neighbours.



- 1: trace pred-indices of i and j to identify a blossom B
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- 3: label *b* even and add to *list*
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Every node that was adjacent to a node in *B* is now adjacent to *b*



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- 5: form a circular double linked list of nodes in B
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Only for making a blossom expansion easier.



- 1: trace pred-indices of i and j to identify a blossom B
- 2: create new node *b* and set $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$ for each $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B

6: delete nodes in *B* from the graph

Only delete links from nodes not in *B* to *B*.

When expanding the blossom again we can recreate these links in time $\mathcal{O}(m)$.



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 Note, that any graph created will have at most m edges.



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- There are at most n contractions as each contraction reduces the number of vertices.
- The expansion can trivially be done in the same time as needed for all contractions.
- ► An augmentation requires time O(n). There are at most n of them.
- In total the running time is at most

```
n \cdot (\mathcal{O}(mn) + \mathcal{O}(n)) = \mathcal{O}(mn^2).
```







19 Maximum Matching in General Graphs





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19 Maximum Matching in General Graphs

A Fast Matching Algorithm



We call one iteration of the repeat-loop a phase of the algorithm.



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Given a matching M and a matching M^* with $|M^*| - |M| \ge 0$. There exist $|M^*| - |M|$ vertex-disjoint augmenting path w.r.t. M.



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Similar to the proof that a matching is optimal iff it does not contain an augmenting path.



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- Consider the graph $G = (V, M \oplus M^*)$, and mark edges in this graph blue if they are in M and red if they are in M^* .



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- ► The graph contains k ≝ |M*| |M| more red edges than blue edges.



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- Similar to the proof that a matching is optimal iff it does not contain an augmenting path.
- Consider the graph $G = (V, M \oplus M^*)$, and mark edges in this graph blue if they are in M and red if they are in M^* .
- The connected components of *G* are cycles and paths.
- ► The graph contains $k \leq |M^*| |M|$ more red edges than blue edges.
- Hence, there are at least k components that form a path starting and ending with a red edge. These are augmenting paths w.r.t. M.



Let P_1, \ldots, P_k be a maximal collection of vertex-disjoint, shortest augmenting paths w.r.t. M (let $\ell = |P_i|$).



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- Let P be an augmenting path in M'.

Lemma 13

The set $A \cong M \oplus (M' \oplus P) = (P_1 \cup \cdots \cup P_k) \oplus P$ contains at least $(k+1)\ell$ edges.



Proof.

The set describes exactly the symmetric difference between matchings M and $M' \oplus P$.



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- ► Hence, the set contains at least k + 1 vertex-disjoint augmenting paths w.r.t. M as |M'| = |M| + k + 1.



- The set describes exactly the symmetric difference between matchings M and $M' \oplus P$.
- ► Hence, the set contains at least k + 1 vertex-disjoint augmenting paths w.r.t. M as |M'| = |M| + k + 1.
- Each of these paths is of length at least ℓ .



Lemma 14

P is of length at least $\ell + 1$. This shows that the length of a shortest augmenting path increases between two phases of the Hopcroft-Karp algorithm.



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If P does not intersect any of the P₁,..., P_k, this follows from the maximality of the set {P₁,..., P_k}.



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- If P does not intersect any of the P₁,..., P_k, this follows from the maximality of the set {P₁,..., P_k}.
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- This edge is not contained in *A*.



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- Hence, $|A| \le k\ell + |P| 1$.



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- If P does not intersect any of the P₁,..., P_k, this follows from the maximality of the set {P₁,..., P_k}.
- Otherwise, at least one edge from P coincides with an edge from paths {P₁,...,P_k}.
- This edge is not contained in *A*.
- Hence, $|A| \le k\ell + |P| 1$.
- ► The lower bound on |A| gives $(k+1)\ell \le |A| \le k\ell + |P| 1$, and hence $|P| \ge \ell + 1$.



If the shortest augmenting path w.r.t. a matching M has ℓ edges then the cardinality of the maximum matching is of size at most $|M| + \frac{|V|}{\ell+1}$.



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Proof.

The symmetric difference between M and M^* contains $|M^*| - |M|$ vertex-disjoint augmenting paths. Each of these paths contains at least $\ell + 1$ vertices. Hence, there can be at most $\frac{|V|}{\ell+1}$ of them.



Lemma 15

The Hopcroft-Karp algorithm requires at most $2\sqrt{|V|}$ phases.



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- ► After iteration $\lfloor \sqrt{|V|} \rfloor$ the length of a shortest augmenting path must be at least $\lfloor \sqrt{|V|} \rfloor + 1 \ge \sqrt{|V|}$.
- ► Hence, there can be at most $|V|/(\sqrt{|V|} + 1) \le \sqrt{|V|}$ additional augmentations.



Lemma 16

One phase of the Hopcroft-Karp algorithm can be implemented in time O(m).

construct a "level graph" G':

- construct Level 0 that includes all free vertices on left side L
- construct Level 1 containing all neighbors of Level 0
- construct Level 2 containing matching neighbors of Level 1
- construct Level 3 containing all neighbors of Level 2
- ...

stop when a level (apart from Level 0) contains a free vertex can be done in time O(m) by a modified BFS



- a shortest augmenting path must go from Level 0 to the last layer constructed
- it can only use edges between layers
- construct a maximal set of vertex disjoint augmenting path connecting the layers
- for this, go forward until you either reach a free vertex or you reach a "dead end" v
- if you reach a free vertex delete the augmenting path and all incident edges from the graph
- if you reach a dead end backtrack and delete v together with its incident edges










































Analysis: Shortest Augmenting Path for Flows

cost for searches during a phase is $\mathcal{O}(mn)$

- a search (successful or unsuccessful) takes time $\mathcal{O}(n)$
- a search deletes at least one edge from the level graph

there are at most *n* phases

Time: $\mathcal{O}(mn^2)$.



Analysis for Unit-capacity Simple Networks

cost for searches during a phase is $\mathcal{O}(m)$

an edge/vertex is traversed at most twice

need at most $\mathcal{O}(\sqrt{n})$ phases

- after \sqrt{n} phases there is a cut of size at most \sqrt{n} in the residual graph
- hence at most \sqrt{n} additional augmentations required

Time: $\mathcal{O}(m\sqrt{n})$.



21 Gomory Hu Trees

Given an undirected, weighted graph G = (V, E, c) a cut-tree T = (V, F, w) is a tree with edge-set F and capacities w that fulfills the following properties.

- **1. Equivalent Flow Tree:** For any pair of vertices $s, t \in V$, f(s, t) in G is equal to $f_T(s, t)$.
- **2.** Cut Property: A minimum *s*-*t* cut in *T* is also a minimum cut in *G*.

Here, f(s,t) is the value of a maximum *s*-*t* flow in *G*, and $f_T(s,t)$ is the corresponding value in *T*.



The algorithm maintains a partition of V, (sets $S_1, ..., S_t$), and a spanning tree T on the vertex set $\{S_1, ..., S_t\}$.



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▶ In each such split-operation it chooses a set S_i with $|S_i| \ge 2$ and splits this set into two non-empty parts X and Y.



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- S_i is then removed from T and replaced by X and Y.



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- ▶ In each such split-operation it chooses a set S_i with $|S_i| \ge 2$ and splits this set into two non-empty parts X and Y.
- S_i is then removed from T and replaced by X and Y.
- X and Y are connected by an edge, and the edges that before the split were incident to S_i are attached to either X or Y.



The algorithm maintains a partition of V, (sets $S_1, ..., S_t$), and a spanning tree T on the vertex set $\{S_1, ..., S_t\}$.

Initially, there exists only the set $S_1 = V$.

Then the algorithm performs n - 1 split-operations:

- In each such split-operation it chooses a set S_i with $|S_i| \ge 2$ and splits this set into two non-empty parts X and Y.
- S_i is then removed from T and replaced by X and Y.
- X and Y are connected by an edge, and the edges that before the split were incident to S_i are attached to either X or Y.

In the end this gives a tree on the vertex set V.



Select S_i that contains at least two nodes a and b.



- Select *S_i* that contains at least two nodes *a* and *b*.
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- ▶ Replace an edge $\{S_i, S_x\}$ by $\{S_i^a, S_x\}$ if $S_x \subset A$ and by $\{S_i^b, S_x\}$ if $S_x \subset B$.



Example: Gomory-Hu Construction





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Analysis

Lemma 17

For nodes $s, t, x \in V$ we have $f(s, t) \ge \min\{f(s, x), f(x, t)\}$



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Lemma 18 For nodes $s, t, x_1, ..., x_k \in V$ we have $f(s,t) \ge \min\{f(s,x_1), f(x_1,x_2), ..., f(x_{k-1},x_k), f(x_k,t)\}$



Lemma 19

Let *S* be some minimum *r*-*s* cut for some nodes $r, s \in V$ ($s \in S$), and let $v, w \in S$. Then there is a minimum v-w-cut *T* with $T \subset S$.

Lemma 19

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Proof: Let *X* be a minimum $v \cdot w$ cut with $X \cap S \neq \emptyset$ and $X \cap (V \setminus S) \neq \emptyset$.
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- $cap(X \cup S) \ge cap(S)$ because $X \cup S$ is an r-s cut.
- This gives $cap(S \cap X) \le cap(X)$.





















































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Lemma 19 tells us that if we have a graph G = (V, E) and we contract a subset $X \subset V$ that corresponds to some mincut, then the value of f(s, t) does not change for two nodes $s, t \notin X$.

We will show (later) that the connected components that we contract during a split-operation each correspond to some mincut and, hence, $f_H(s,t) = f(s,t)$, where $f_H(s,t)$ is the value of a minimum *s*-*t* mincut in graph *H*.



Invariant [existence of representatives]:

For any edge $\{S_i, S_j\}$ in T, there are vertices $a \in S_i$ and $b \in S_j$ such that $w(S_i, S_j) = f(a, b)$ and the cut defined by edge $\{S_i, S_j\}$ is a minimum a-b cut in G.



We first show that the invariant implies that at the end of the algorithm T is indeed a cut-tree.



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▶ Let $s = x_0, x_1, ..., x_{k-1}, x_k = t$ be the unique simple path from *s* to *t* in the final tree *T*. From the invariant we get that $f(x_i, x_{i+1}) = w(x_i, x_{i+1})$ for all *j*.



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▶ Let $s = x_0, x_1, ..., x_{k-1}, x_k = t$ be the unique simple path from *s* to *t* in the final tree *T*. From the invariant we get that $f(x_i, x_{i+1}) = w(x_i, x_{i+1})$ for all *j*.

Then

$$\begin{split} f_T(s,t) &= \min_{i \in \{0,\dots,k-1\}} \{ w(x_i,x_{i+1}) \} \\ &= \min_{i \in \{0,\dots,k-1\}} \{ f(x_i,x_{i+1}) \} \le f(s,t) \end{split}$$

- Let $\{x_j, x_{j+1}\}$ be the edge with minimum weight on the path.
- Since by the invariant this edge induces an *s*-*t* cut with capacity *f*(*x_j*, *x_{j+1}) we get f*(*s*, *t*) ≤ *f*(*x_j*, *x_{j+1}) = f_T(s, <i>t*).



• Hence, $f_T(s,t) = f(s,t)$ (flow equivalence).



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- Hence, $f_T(s,t) = f(s,t)$ (flow equivalence).
- The edge $\{x_j, x_{j+1}\}$ is a mincut between *s* and *t* in *T*.
- By invariant, it forms a cut with capacity f(x_j, x_{j+1}) in G (which separates s and t).
- Since, we can send a flow of value f(x_j, x_{j+1}) btw. s and t, this is an s-t mincut (cut property).





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Therefore, contracting the connected components does not change the mincut btw. a and b due to Lemma 19.

After the split we have to choose representatives for all edges. For the new edge $\{S_i^a, S_i^b\}$ with capacity $w(S_i^a, S_i^b) = f_H(a, b)$ we can simply choose a and b as representatives.





For edges that are not incident to S_i we do not need to change representatives as the neighbouring sets do not change.



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Consider an edge $\{X, S_i\}$, and suppose that before the split it used representatives $x \in X$, and $s \in S_i$. Assume that this edge is replaced by $\{X, S_i^a\}$ in the new tree (the case when it is replaced by $\{X, S_i^b\}$ is analogous).



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If $s \in S_i^a$ we can keep x and s as representatives.

Otherwise, we choose x and a as representatives. We need to show that f(x, a) = f(x, s).





Because the invariant was true before the split we know that the edge $\{X, S_i\}$ induces a cut in *G* of capacity f(x, s). Since, *x* and *a* are on opposite sides of this cut, we know that $f(x, a) \le f(x, s)$.



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The set *B* forms a mincut separating *a* from *b*. Contracting all nodes in this set gives a new graph G' where the set *B* is represented by node v_B . Because of Lemma 19 we know that f'(x, a) = f(x, a) as $x, a \notin B$.



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The set *B* forms a mincut separating *a* from *b*. Contracting all nodes in this set gives a new graph G' where the set *B* is represented by node v_B . Because of Lemma 19 we know that f'(x, a) = f(x, a) as $x, a \notin B$.

We further have $f'(x, a) \ge \min\{f'(x, v_B), f'(v_B, a)\}$.

Since $s \in B$ we have $f'(v_B, x) \ge f(s, x)$.

Also, $f'(a, v_B) \ge f(a, b) \ge f(x, s)$ since the *a*-*b* cut that splits S_i into S_i^a and S_i^b also separates *s* and *x*.







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