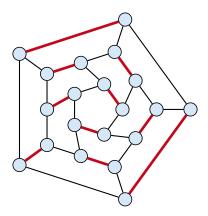
# Part V

# Matchings



# Matching

- lnput: undirected graph G = (V, E).
- $M \subseteq E$  is a matching if each node appears in at most one edge in M.
- Maximum Matching: find a matching of maximum cardinality



### **16 Bipartite Matching via Flows**

#### Which flow algorithm to use?

- Generic augmenting path:  $\mathcal{O}(m \operatorname{val}(f^*)) = \mathcal{O}(mn)$ .
- Capacity scaling:  $\mathcal{O}(m^2 \log C) = \mathcal{O}(m^2)$ .
- Shortest augmenting path:  $\mathcal{O}(mn^2)$ .

For unit capacity simple graphs shortest augmenting path can be implemented in time  $\mathcal{O}(m\sqrt{n})$ .



### Definitions.

Given a matching *M* in a graph *G*, a vertex that is not incident to any edge of *M* is called a free vertex w.r..t. *M*.



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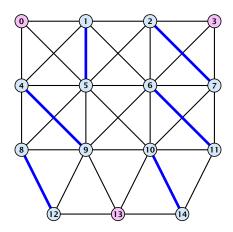
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#### Theorem 6

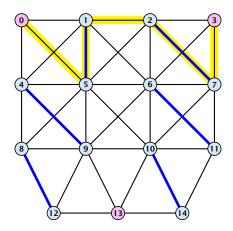
A matching M is a maximum matching if and only if there is no augmenting path w. r. t. M.





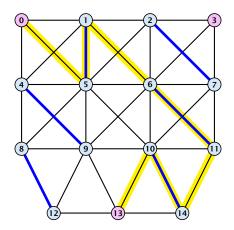


17 Augmenting Paths for Matchings



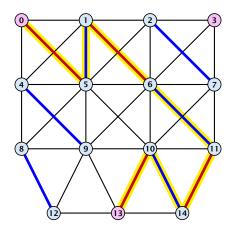


17 Augmenting Paths for Matchings



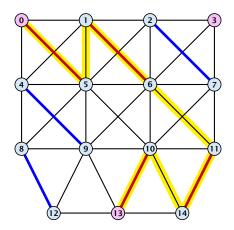


17 Augmenting Paths for Matchings



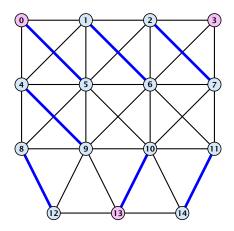


17 Augmenting Paths for Matchings





17 Augmenting Paths for Matchings





17 Augmenting Paths for Matchings

### Proof.

⇒ If *M* is maximum there is no augmenting path *P*, because we could switch matching and non-matching edges along *P*. This gives matching  $M' = M \oplus P$  with larger cardinality.



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Each vertex can be incident to at most two edges (one from M and one from M'). Hence, the connected components are alternating cycles or alternating path.

As |M'| > |M| there is one connected component that is a path *P* for which both endpoints are incident to edges from *M'*. *P* is an alternating path.



#### Algorithmic idea:

As long as you find an augmenting path augment your matching using this path. When you arrive at a matching for which no augmenting path exists you have a maximum matching.



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As long as you find an augmenting path augment your matching using this path. When you arrive at a matching for which no augmenting path exists you have a maximum matching.

#### Theorem 7

Let G be a graph, M a matching in G, and let u be a free vertex w.r.t. M. Further let P denote an augmenting path w.r.t. M and let  $M' = M \oplus P$  denote the matching resulting from augmenting M with P. If there was no augmenting path starting at u in M then there is no augmenting path starting at u in M'.

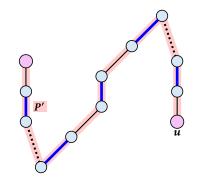
The above theorem allows for an easier implementation of an augmenting path algorithm. Once we checked for augmenting paths starting from u we don't have to check for such paths in future rounds.





#### Proof

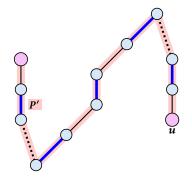
Assume there is an augmenting path P' w.r.t. M' starting at u.





17 Augmenting Paths for Matchings

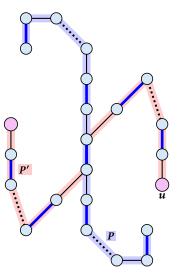
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- If P' and P are node-disjoint, P' is also augmenting path w.r.t. M (£).





### Proof

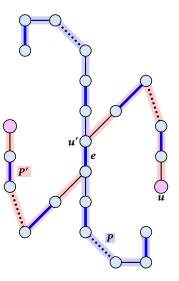
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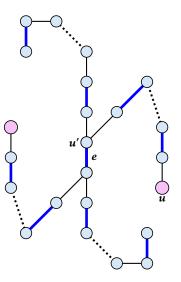
17 Augmenting Paths for Matchings

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- Let u' be the first node on P' that is in P, and let e be the matching edge from M' incident to u'.



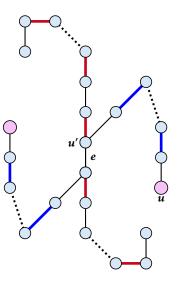


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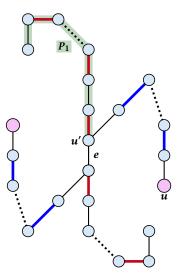
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### Proof

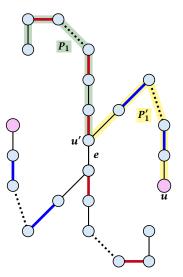
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- u' splits P into two parts one of which does not contain e. Call this part P<sub>1</sub>. Denote the sub-path of P' from u to u' with P'<sub>1</sub>.





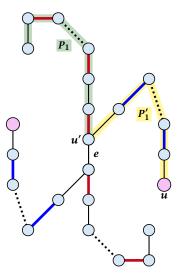
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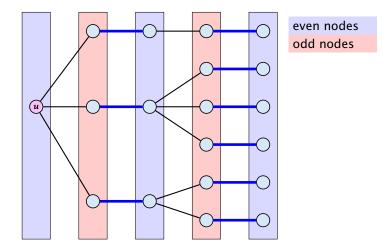


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- u' splits P into two parts one of which does not contain e. Call this part P<sub>1</sub>. Denote the sub-path of P' from u to u' with P'<sub>1</sub>.
- $P_1 \circ P'_1$  is augmenting path in M (2).





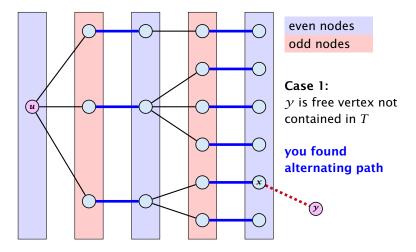
#### Construct an alternating tree.





17 Augmenting Paths for Matchings

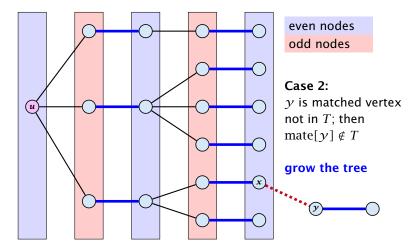
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17 Augmenting Paths for Matchings

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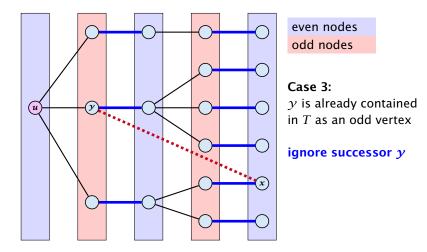




17 Augmenting Paths for Matchings

6. Feb. 2022 170/237

#### Construct an alternating tree.

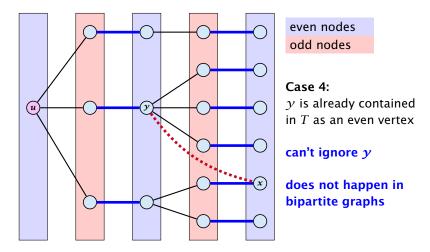




17 Augmenting Paths for Matchings

6. Feb. 2022 171/237

#### Construct an alternating tree.





17 Augmenting Paths for Matchings

6. Feb. 2022 172/237 Algorithm 49 BiMatch(*G*, *match*)

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
 5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
7:
8:
    while aug = false and Q \neq \emptyset do
9:
               x \leftarrow Q.dequeue();
10:
               for \gamma \in A_{\chi} do
11:
                   if mate [\gamma] = 0 then
12:
                       augm(mate, parent, \gamma);
13:
                       auq \leftarrow true;
                       free \leftarrow free -1:
14:
15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
18:
                           Q.enqueue(mate[\gamma]);
```

graph  $G = (S \cup S', E)$   $S = \{1, ..., n\}$  $S' = \{1', ..., n'\}$  Algorithm 49 BiMatch(G, match)

```
1: for x \in V do mate[x] \leftarrow 0;
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14:
15:
                   else
16:
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17:
18:
                           Q.enqueue(mate[\gamma]);
```

start with an empty matching

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
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13:
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                      free \leftarrow free -1:
14:
15:
                   else
16:
                      if parent[y] = 0 then
                          parent[\gamma] \leftarrow x;
17:
                          Q.enqueue(mate[\gamma]);
18:
```

*free*: number of unmatched nodes in *S* 

r: root of current tree

```
1: for x \in V do mate[x] \leftarrow 0;
 2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
    \gamma \leftarrow \gamma + 1
 4:
 5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
 7:
8:
           while aug = false and Q \neq \emptyset do
9:
                x \leftarrow Q.dequeue();
10:
                for \gamma \in A_{\chi} do
11:
                    if mate[\gamma] = 0 then
12:
                        augm(mate, parent, \gamma);
13:
                        auq \leftarrow true;
                        free \leftarrow free -1:
14:
15:
                    else
16:
                        if parent[y] = 0 then
                            parent[\gamma] \leftarrow x;
17:
                            Q.enqueue(mate[\gamma]);
18:
```

as long as there are unmatched nodes and we did not yet try to grow from all nodes we continue **Algorithm 49** BiMatch(*G*, *match*) 1: for  $x \in V$  do mate[x]  $\leftarrow 0$ ; 2:  $r \leftarrow 0$ ; free  $\leftarrow n$ ; 3: while *free*  $\geq 1$  and *r* < *n* do 4:  $r \leftarrow r+1$ 5: if mate[r] = 0 then for i = 1 to n do  $parent[i'] \leftarrow 0$ 6:  $Q \leftarrow \emptyset; Q$ . append $(r); aug \leftarrow false;$ 7: 8: while aug = false and  $Q \neq \emptyset$  do 9:  $x \leftarrow Q$ .dequeue(); 10: for  $\gamma \in A_{\chi}$  do 11: if mate  $[\gamma] = 0$  then 12:  $augm(mate, parent, \gamma);$ 13: auq  $\leftarrow$  true; free  $\leftarrow$  free -1: 14: 15: else 16: if parent[y] = 0 then parent[ $\gamma$ ]  $\leftarrow x$ ; 17: 18: *Q*.enqueue(*mate*[ $\gamma$ ]);

r is the new node that we grow from.

**Algorithm 49** BiMatch(*G*, *match*) 1: for  $x \in V$  do mate[x]  $\leftarrow 0$ ; 2:  $r \leftarrow 0$ ; free  $\leftarrow n$ ; 3: while *free*  $\geq 1$  and *r* < *n* do  $\gamma \leftarrow \gamma + 1$ 4: 5: if mate[r] = 0 then for i = 1 to n do  $parent[i'] \leftarrow 0$ 6:  $Q \leftarrow \emptyset; Q$ . append $(r); aug \leftarrow false;$ 7: 8: while aug = false and  $Q \neq \emptyset$  do 9:  $x \leftarrow Q$ .dequeue(); 10: for  $\gamma \in A_{\chi}$  do if  $mate[\gamma] = 0$  then 11: 12:  $augm(mate, parent, \gamma);$ 13: auq  $\leftarrow$  true; free  $\leftarrow$  free -1: 14: 15: else 16: if parent[y] = 0 then parent[ $\gamma$ ]  $\leftarrow x$ ; 17: 18: *O*.engueue(*mate*[ $\gamma$ ]);

If *r* is free start tree construction

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
7:
           Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
8:
           while aug = false and Q \neq \emptyset do
9:
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10:
               for \gamma \in A_{\chi} do
11:
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12:
                       augm(mate, parent, \gamma);
13:
                       auq \leftarrow true;
                       free \leftarrow free -1:
14:
15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
                           Q.enqueue(mate[\gamma]);
18:
```

Initialize an empty tree. Note that only nodes i' have parent pointers.

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
7:
           Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
8:
           while aug = false and Q \neq \emptyset do
9:
               x \leftarrow Q.dequeue();
10:
               for \gamma \in A_{\chi} do
11:
                   if mate[\gamma] = 0 then
12:
                        augm(mate, parent, \gamma);
13:
                       auq \leftarrow true;
                       free \leftarrow free -1:
14:
15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
18:
                           Q.enqueue(mate[\gamma]);
```

Q is a queue (BFS!!!).

aug is a Boolean that stores whether we already found an augmenting path.

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
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    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
7:
8:
           while auq = false and O \neq \emptyset do
9:
               x \leftarrow Q.dequeue();
               for \gamma \in A_x do
10:
11:
                   if mate [\gamma] = 0 then
12:
                       augm(mate, parent, \gamma);
13:
                       auq \leftarrow true;
                      free \leftarrow free -1:
14:
15:
                   else
16:
                       if parent[y] = 0 then
                          parent[\gamma] \leftarrow x;
17:
                          Q.enqueue(mate[\gamma]);
18:
```

as long as we did not augment and there are still unexamined leaves continue...

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
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                       auq \leftarrow true;
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14:
15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
18:
                           O.engueue(mate[\gamma]);
```

take next unexamined leaf

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
 4: r \leftarrow r + 1
 5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
 7:
 8:
           while aug = false and Q \neq \emptyset do
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                       free \leftarrow free -1:
14:
15:
                    else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
                           Q.enqueue(mate[\gamma]);
18:
```

if x has unmatched neighbour we found an augmenting path (note that  $y \neq r$  because we are in a bipartite graph)

```
1: for x \in V do mate[x] \leftarrow 0;
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 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
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               x \leftarrow Q.dequeue();
10:
               for \gamma \in A_{\chi} do
11:
                   if mate [\gamma] = 0 then
12:
                       augm(mate, parent, y);
13:
                       aug \leftarrow true;
                      free \leftarrow free -1:
14:
15:
                   else
16:
                      if parent[y] = 0 then
                          parent[\gamma] \leftarrow x;
17:
18:
                          Q.enqueue(mate[\gamma]);
```

#### do an augmentation...

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
 4: r \leftarrow r + 1
 5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
 7:
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
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15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
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                           Q.enqueue(mate[\gamma]);
18:
```

setting *aug* = true ensures that the tree construction will not continue

```
1: for x \in V do mate[x] \leftarrow 0;
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 4: r \leftarrow r + 1
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                       auq \leftarrow true;
14:
                       free \leftarrow free -1;
15:
                   else
16:
                       if parent[y] = 0 then
                           parent[\gamma] \leftarrow x;
17:
                           Q.enqueue(mate[\gamma]);
18:
```

reduce number of free nodes

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
 4: r \leftarrow r + 1
 5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
    Q \leftarrow \emptyset; Q. append(r); aug \leftarrow false;
7:
8:
    while aug = false and Q \neq \emptyset do
9:
               x \leftarrow Q.dequeue();
10:
               for \gamma \in A_{\chi} do
                   if mate[\gamma] = 0 then
11:
12:
                       augm(mate, parent, \gamma);
13:
                       auq \leftarrow true;
                       free \leftarrow free -1;
14:
15:
                   else
16:
                       if parent[\gamma] = 0
                                              then
                           parent[\gamma] \leftarrow x;
17:
18:
                           Q.enqueue(mate[\gamma]);
```

#### if y is not in the tree yet

```
1: for x \in V do mate[x] \leftarrow 0;
2: r \leftarrow 0; free \leftarrow n;
 3: while free \geq 1 and r < n do
4: r \leftarrow r + 1
5: if mate[r] = 0 then
6:
           for i = 1 to n do parent[i'] \leftarrow 0
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                          Q.enqueue(mate[\gamma]);
```

#### ...put it into the tree

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```

add its buddy to the set of unexamined leaves

# **18 Weighted Bipartite Matching**

### Weighted Bipartite Matching/Assignment

- lnput: undirected, bipartite graph  $G = L \cup R, E$ .
- an edge  $e = (\ell, r)$  has weight  $w_e \ge 0$
- find a matching of maximum weight, where the weight of a matching is the sum of the weights of its edges

### Simplifying Assumptions (wlog [why?]):

- assume that |L| = |R| = n
- ► assume that there is an edge between every pair of nodes  $(\ell, r) \in V \times V$
- can assume goal is to construct maximum weight perfect matching



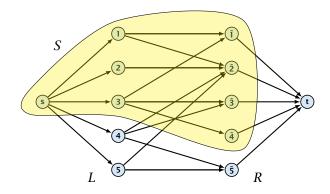
# Weighted Bipartite Matching

#### Theorem 8 (Halls Theorem)

A bipartite graph  $G = (L \cup R, E)$  has a perfect matching if and only if for all sets  $S \subseteq L$ ,  $|\Gamma(S)| \ge |S|$ , where  $\Gamma(S)$  denotes the set of nodes in R that have a neighbour in S.



# **18 Weighted Bipartite Matching**



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  - This gives  $R_S \ge |\Gamma(L_S)|$ .
  - The size of the cut is  $|L| |L_S| + |R_S|$ .
  - Using the fact that  $|\Gamma(L_S)| \ge L_S$  gives that this is at least |L|.



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We introduce a node weighting  $\vec{x}$ . Let for a node  $v \in V$ ,  $x_v \in \mathbb{R}$  denote the weight of node v.



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Let  $H(\vec{x})$  denote the subgraph of *G* that only contains edges that are tight w.r.t. the node weighting  $\vec{x}$ , i.e. edges e = (u, v) for which  $w_e = x_u + x_v$ .



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- Try to compute a perfect matching in the subgraph  $H(\vec{x})$ . If you are successful you found an optimal matching.



#### **Reason:**

▶ The weight of your matching *M*<sup>\*</sup> is

$$\sum_{(u,v)\in M^*} w_{(u,v)} = \sum_{(u,v)\in M^*} (x_u + x_v) = \sum_v x_v .$$

Any other perfect matching M (in G, not necessarily in  $H(\vec{x})$ ) has

$$\sum_{(u,v)\in M} w_{(u,v)} \le \sum_{(u,v)\in M} (x_u + x_v) = \sum_{v} x_v .$$



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#### What if you don't find a perfect matching?

Then, Halls theorem guarantees you that there is a set  $S \subseteq L$ , with  $|\Gamma(S)| < |S|$ , where  $\Gamma$  denotes the neighbourhood w.r.t. the subgraph  $H(\vec{x})$ .



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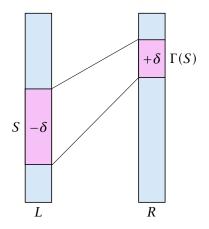
- the total weight assigned to nodes decreases
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If we can do this we have an algorithm that terminates with an optimal solution (we analyze the running time later).



# **Changing Node Weights**

Increase node-weights in  $\Gamma(S)$  by  $+\delta$ , and decrease the node-weights in S by  $-\delta$ .





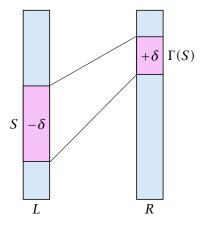
18 Weighted Bipartite Matching

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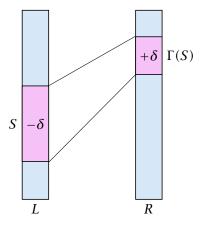
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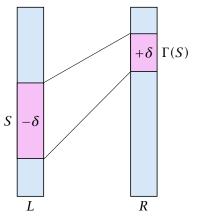


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## **Changing Node Weights**

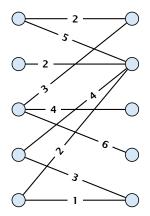
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- Total node-weight decreases.
- Only edges from S to R Γ(S) decrease in their weight.
- Since, none of these edges is tight (otw. the edge would be contained in *H*(*x*), and hence would go between *S* and Γ(*S*)) we can do this decrement for small enough δ > 0 until a new edge gets tight.





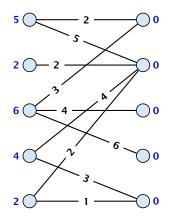
Edges not drawn have weight 0.





18 Weighted Bipartite Matching

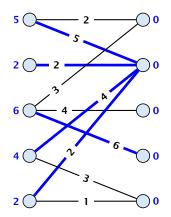
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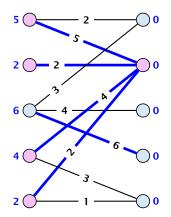
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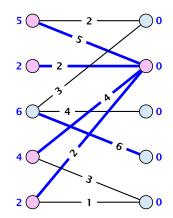
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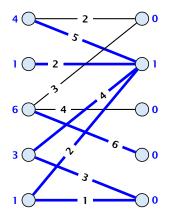


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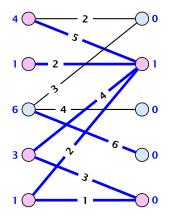
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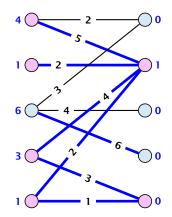
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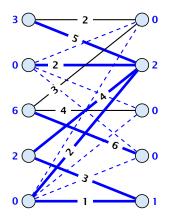


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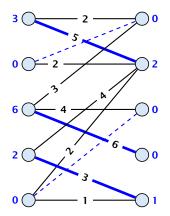
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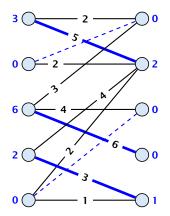
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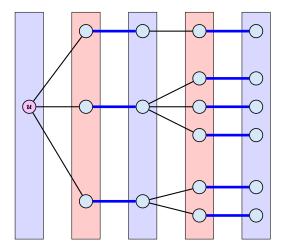
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- This matching is still contained in the new graph, because all its edges either go between  $\Gamma(S)$  and S or between L S and  $R \Gamma(S)$ .
- Hence, reweighting does not decrease the size of a maximum matching in the tight sub-graph.



- We will show that after at most n reweighting steps the size of the maximum matching can be increased by finding an augmenting path.
- This gives a polynomial running time.



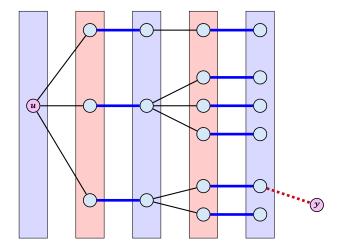
#### Construct an alternating tree.





18 Weighted Bipartite Matching

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18 Weighted Bipartite Matching

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- The set of even vertices is on the left and the set of odd vertices is on the right and contains all neighbours of even nodes.
- All odd vertices are matched to even vertices. Furthermore, the even vertices additionally contain the free vertex *u*.
   Hence, |V<sub>odd</sub>| = |Γ(V<sub>even</sub>)| < |V<sub>even</sub>|, and all odd vertices are saturated in the current matching.



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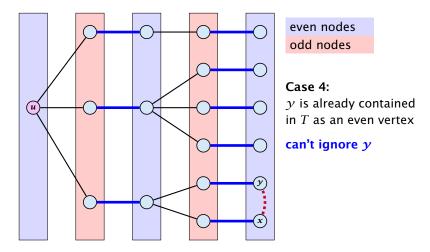
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- A more careful implementation of the algorithm obtains a running time of  $\mathcal{O}(n^3)$ .



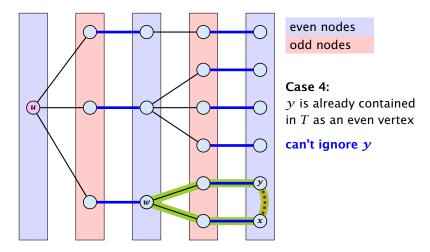
Construct an alternating tree.





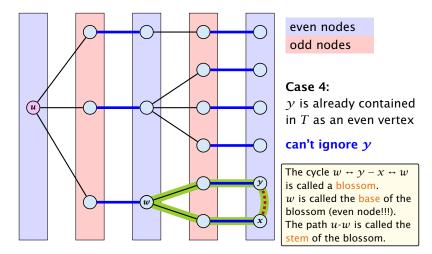
19 Maximum Matching in General Graphs

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19 Maximum Matching in General Graphs

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A stem is an even length alternating path that starts at the root node r and terminates at some node w. We permit the possibility that r = w (empty stem).

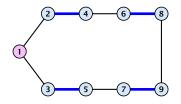


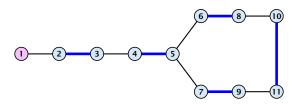
#### **Definition 9**

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- A stem is an even length alternating path that starts at the root node r and terminates at some node w. We permit the possibility that r = w (empty stem).
- A blossom is an odd length alternating cycle that starts and terminates at the terminal node w of a stem and has no other node in common with the stem. w is called the base of the blossom.









19 Maximum Matching in General Graphs

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#### **Properties:**

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- 3. The base of a blossom is an even node (if the stem is part of an alternating tree starting at *r*).



#### **Properties:**

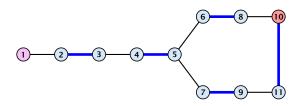
4. Every node x in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.



#### **Properties:**

- 4. Every node x in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.
- 5. The even alternating path to x terminates with a matched edge and the odd path with an unmatched edge.







19 Maximum Matching in General Graphs

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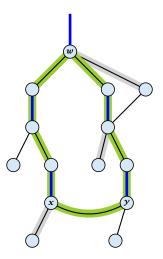


When during the alternating tree construction we discover a blossom B we replace the graph G by G' = G/B, which is obtained from G by contracting the blossom B.

- Delete all vertices in B (and its incident edges) from G.
- Add a new (pseudo-)vertex b. The new vertex b is connected to all vertices in V \ B that had at least one edge to a vertex from B.

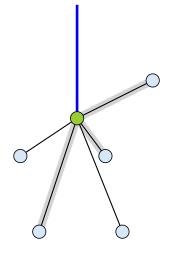


- Edges of T that connect a node u not in B to a node in B become tree edges in T' connecting u to b.
- Matching edges (there is at most one) that connect a node u not in B to a node in B become matching edges in M'.
- Nodes that are connected in G to at least one node in B become connected to b in G'.

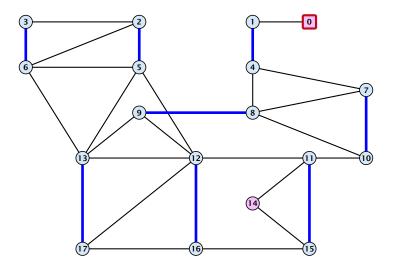




- Edges of T that connect a node u not in B to a node in B become tree edges in T' connecting u to b.
- Matching edges (there is at most one) that connect a node u not in B to a node in B become matching edges in M'.
- Nodes that are connected in G to at least one node in B become connected to b in G'.

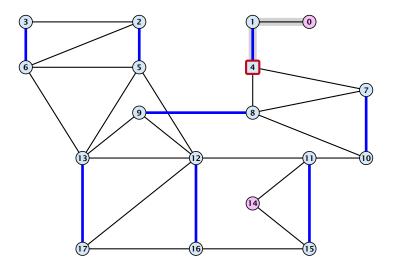






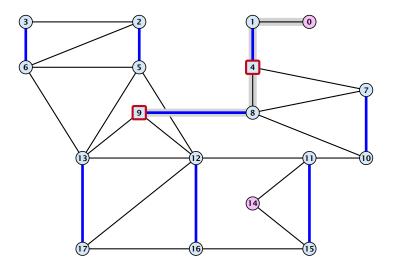


19 Maximum Matching in General Graphs



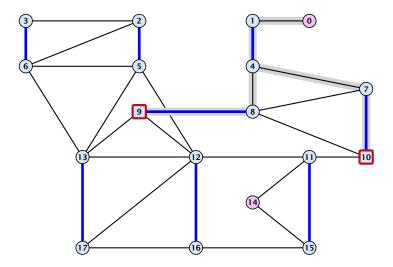


19 Maximum Matching in General Graphs



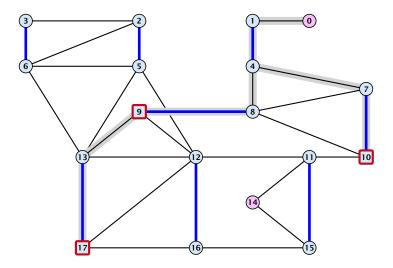


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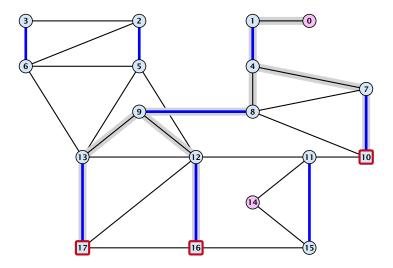


19 Maximum Matching in General Graphs



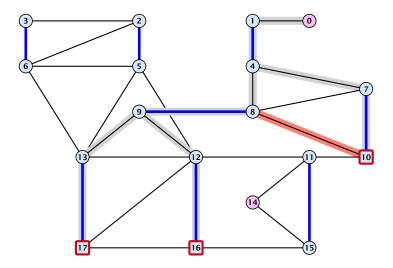


19 Maximum Matching in General Graphs



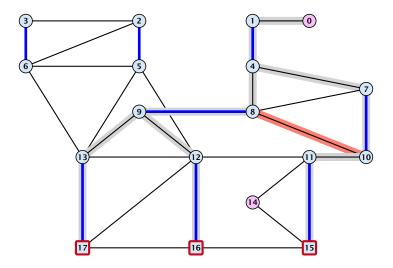


19 Maximum Matching in General Graphs



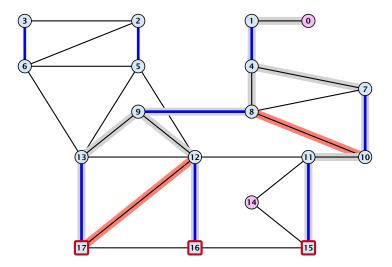


19 Maximum Matching in General Graphs



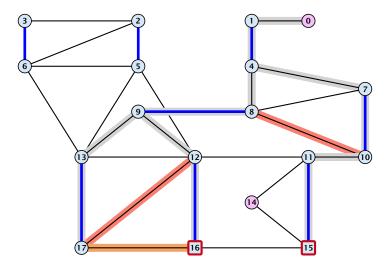


19 Maximum Matching in General Graphs



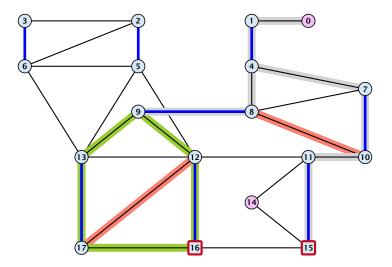


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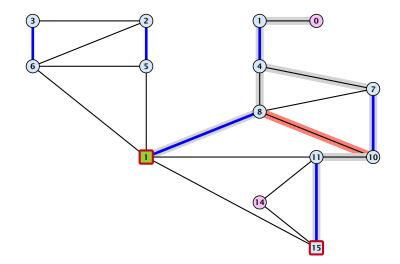


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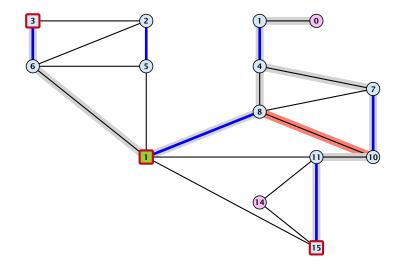


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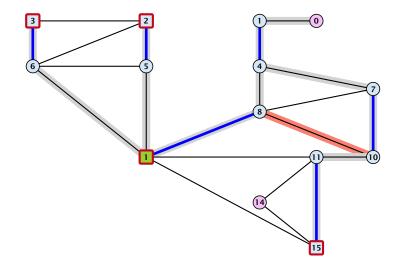


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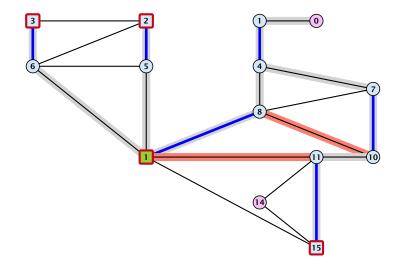


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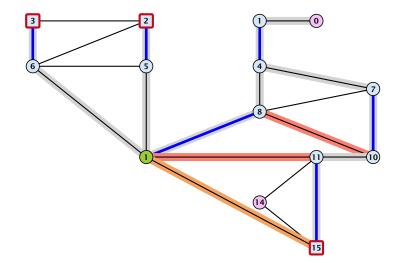


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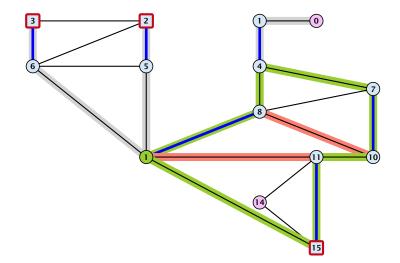


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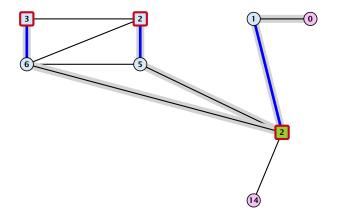


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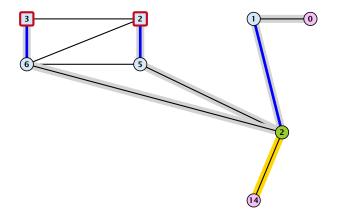


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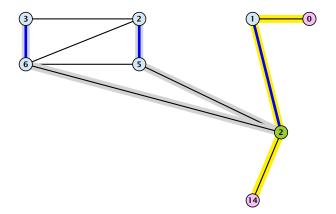


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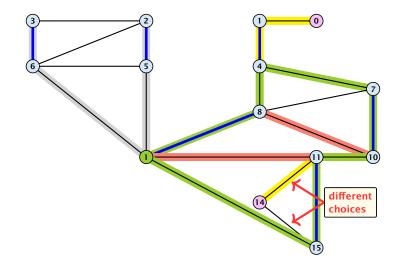


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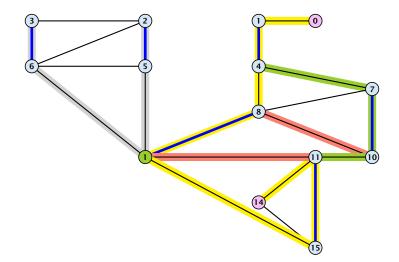


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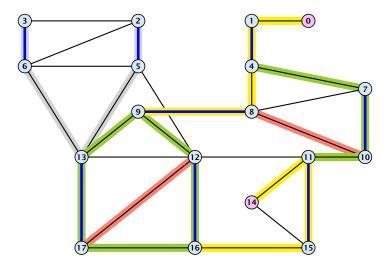


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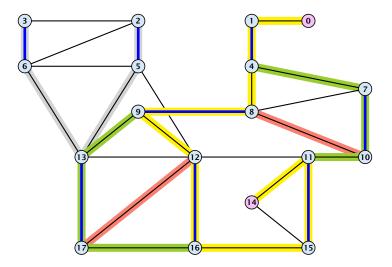


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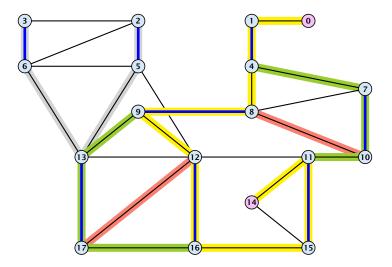




19 Maximum Matching in General Graphs









Assume that in *G* we have a flower w.r.t. matching *M*. Let *r* be the root, *B* the blossom, and *w* the base. Let graph G' = G/B with pseudonode *b*. Let *M'* be the matching in the contracted graph.



Assume that in *G* we have a flower w.r.t. matching *M*. Let *r* be the root, *B* the blossom, and *w* the base. Let graph G' = G/B with pseudonode *b*. Let *M'* be the matching in the contracted graph.

#### Lemma 10

If G' contains an augmenting path P' starting at r (or the pseudo-node containing r) w.r.t. the matching M' then G contains an augmenting path starting at r w.r.t. matching M.



Proof.

If P' does not contain b it is also an augmenting path in G.



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## Proof.

If P' does not contain b it is also an augmenting path in G.

### Case 1: non-empty stem

Next suppose that the stem is non-empty.



Proof.

If P' does not contain b it is also an augmenting path in G.

### Case 1: non-empty stem

Next suppose that the stem is non-empty.

$$(r) \cdots (i - b) \cdots (i - p_3) (q)$$



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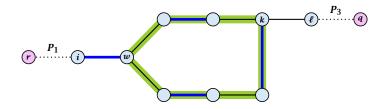
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If P' does not contain b it is also an augmenting path in G.

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- After the expansion  $\ell$  must be incident to some node in the blossom. Let this node be k.
- If  $k \neq w$  there is an alternating path  $P_2$  from w to k that ends in a matching edge.
- ▶  $P_1 \circ (i, w) \circ P_2 \circ (k, \ell) \circ P_3$  is an alternating path.
- If k = w then  $P_1 \circ (i, w) \circ (w, \ell) \circ P_3$  is an alternating path.



### Proof.

### Case 2: empty stem

If the stem is empty then after expanding the blossom,

w = r.

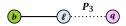


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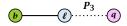


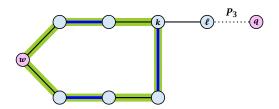
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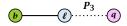
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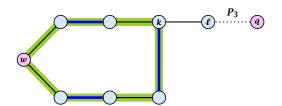
### Proof.

### Case 2: empty stem

If the stem is empty then after expanding the blossom,

w = r.





• The path  $r \circ P_2 \circ (k, \ell) \circ P_3$  is an alternating path.



#### Lemma 11

If G contains an augmenting path P from r to q w.r.t. matching M then G' contains an augmenting path from r (or the pseudo-node containing r) to q w.r.t. M'.



Proof.

▶ If *P* does not contain a node from *B* there is nothing to prove.



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### Proof.

- ▶ If *P* does not contain a node from *B* there is nothing to prove.
- We can assume that *r* and *q* are the only free nodes in *G*.



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- ▶ If *P* does not contain a node from *B* there is nothing to prove.
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#### Case 1: empty stem

Let i be the last node on the path P that is part of the blossom.



### Proof.

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#### Case 1: empty stem

Let *i* be the last node on the path *P* that is part of the blossom. *P* is of the form  $P_1 \circ (i, j) \circ P_2$ , for some node *j* and (i, j) is unmatched.



### Proof.

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- We can assume that r and q are the only free nodes in G.

#### Case 1: empty stem

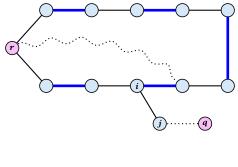
Let i be the last node on the path P that is part of the blossom.

P is of the form  $P_1 \circ (i, j) \circ P_2$ , for some node j and (i, j) is unmatched.

 $(b, j) \circ P_2$  is an augmenting path in the contracted network.



Illustration for Case 1:







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Case 2: non-empty stem

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*G* must contain an augmenting path w.r.t. matching  $M_+$ , since *M* and  $M_+$  have same cardinality.

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G' has an augmenting path w.r.t.  $M'_+$ . It must also have an augmenting path w.r.t. M', as both matchings have the same cardinality.

#### Case 2: non-empty stem

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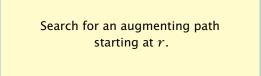
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G' has an augmenting path w.r.t.  $M'_+$ . It must also have an augmenting path w.r.t. M', as both matchings have the same cardinality.

This path must go between r and q.

### Algorithm 50 search(*r*, *found*)

- 1: set  $\bar{A}(i) \leftarrow A(i)$  for all nodes i
- 2: *found* ← false
- 3: unlabel all nodes;
- 4: give an even label to r and initialize *list*  $\leftarrow$  {r}
- 5: while  $list \neq \emptyset$  do
- 6: delete a node *i* from *list*
- 7: examine(*i*, *found*)
- 8: **if** *found* = true **then return**

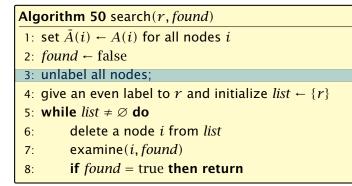


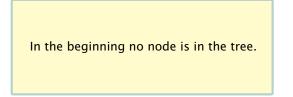
```
Algorithm 50 search(r, found)1: set \bar{A}(i) \leftarrow A(i) for all nodes i2: found \leftarrow false3: unlabel all nodes;4: give an even label to r and initialize list \leftarrow \{r\}5: while list \neq \emptyset do6: delete a node i from list7: examine(i, found)8: if found = true then return
```

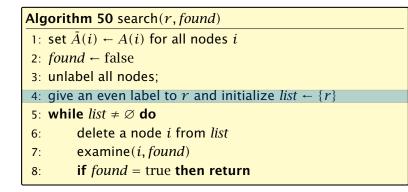
A(i) contains neighbours of node i. We create a copy  $\bar{A}(i)$  so that we later can shrink blossoms.

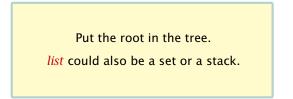
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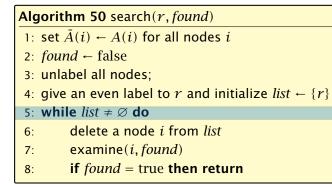
*found* is just a Boolean that allows to abort the search process...



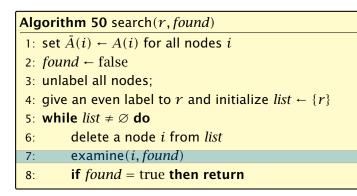


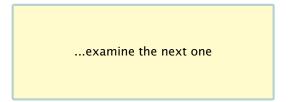






As long as there are nodes with unexamined neighbours...





### **Algorithm 50** search(*r*, *found*)

- 1: set  $\bar{A}(i) \leftarrow A(i)$  for all nodes i
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- 3: unlabel all nodes;
- 4: give an even label to r and initialize *list*  $\leftarrow$  {r}
- 5: while  $list \neq \emptyset$  do
- 6: delete a node *i* from *list*
- 7: examine(*i*, *found*)
- 8: **if** *found* = true **then return**

If you found augmenting path abort and start from next root.

```
Algorithm 51 examine(i, found)
1: for all j \in \overline{A}(i) do
         if j is even then contract(i, j) and return
 2:
    if j is unmatched then
 3:
 4:
              q \leftarrow j;
              \operatorname{pred}(q) \leftarrow i;
 5:
 6:
              found \leftarrow true;
 7:
               return
         if j is matched and unlabeled then
 8:
              pred(j) \leftarrow i;
 9:
10:
              pred(mate(j)) \leftarrow j;
              add mate(j) to list
11:
```

Examine the neighbours of a node i

Alg	Algorithm 51 examine( <i>i</i> , <i>found</i> )			
1:	for all $j \in \overline{A}(i)$ do			
2:	if $j$ is even then contract $(i, j)$ and return			
3:	if <i>j</i> is unmatched <b>then</b>			
4:	$q \leftarrow j;$			
5:	$\operatorname{pred}(q) \leftarrow i;$			
6:	<i>found</i> $\leftarrow$ true;			
7:	return			
8:	if $j$ is matched and unlabeled then			
9:	$\operatorname{pred}(j) \leftarrow i;$			
10:	$pred(mate(j)) \leftarrow j;$			
11:	add mate(j) to <i>list</i>			

For all neighbours *j* do...

Algorithm 51 examine( <i>i</i> , <i>found</i> )		
1: for all $j \in \overline{A}(i)$ do		
2: <b>if</b> <i>j</i> is even <b>then</b> contract( <i>i</i> , <i>j</i> ) and <b>return</b>		
3: <b>if</b> <i>j</i> is unmatched <b>then</b>		
4: $q \leftarrow j;$		
5: $\operatorname{pred}(q) \leftarrow i;$		
6: $found \leftarrow true;$		
7: return		
8: <b>if</b> <i>j</i> is matched and unlabeled <b>then</b>		
9: $\operatorname{pred}(j) \leftarrow i;$		
10: $\operatorname{pred}(\operatorname{mate}(j)) \leftarrow j;$		
11: add mate( <i>j</i> ) to <i>list</i>		

You have found a blossom...

Algorit	Algorithm 51 examine( <i>i</i> , <i>found</i> )		
1: for	all $j \in \bar{A}(i)$ do		
2:	if $j$ is even then contract $(i, j)$ and return		
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6:	<i>found</i> ← true;		
7:	return		
8:	<b>if</b> <i>j</i> is matched and unlabeled <b>then</b>		
9:	$\operatorname{pred}(j) \leftarrow i;$		
10:	$pred(mate(j)) \leftarrow j;$		
11:	add mate $(j)$ to $list$		

You have found a free node which gives you an augmenting path.

Alg	Algorithm 51 examine( <i>i</i> , <i>found</i> )		
1:	for all $j \in \overline{A}(i)$ do		
2:	if $j$ is even then contract $(i, j)$ and return		
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9:	$\operatorname{pred}(j) \leftarrow i;$		
10:	$pred(mate(j)) \leftarrow j;$		
11:	add mate $(j)$ to $list$		

If you find a matched node that is not in the tree you grow...

Algorithm 51 examine( <i>i</i> , <i>found</i> )		
1: for all	$j \in \bar{A}(i)$ do	
2: <b>if</b>	j is even <b>then</b> contract $(i, j)$ and <b>return</b>	
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4:	$q \leftarrow j;$	
5:	$\operatorname{pred}(q) \leftarrow i;$	
6:	<i>found</i> $\leftarrow$ true;	
7:	return	
8: if	j is matched and unlabeled <b>then</b>	
9:	$\operatorname{pred}(j) \leftarrow i;$	
10:	$pred(mate(j)) \leftarrow j;$	
11:	add mate(j) to list	

mate(j) is a new node from which you can grow further.

- 1: trace pred-indices of i and j to identify a blossom B
- 2: create new node *b* and set  $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update  $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$  for each  $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph

Contract blossom identified by nodes i and j



1: trace pred-indices of i and j to identify a blossom B

- 2: create new node b and set  $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update  $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$  for each  $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph

Get all nodes of the blossom.

Time:  $\mathcal{O}(m)$ 



- 1: trace pred-indices of i and j to identify a blossom B
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- 6: delete nodes in *B* from the graph

Identify all neighbours of **b**.

Time:  $\mathcal{O}(m)$  (how?)



- 1: trace pred-indices of i and j to identify a blossom B
- 2: create new node b and set  $\bar{A}(b) \leftarrow \bigcup_{x \in B} \bar{A}(x)$
- 3: label *b* even and add to *list*
- 4: update  $\bar{A}(j) \leftarrow \bar{A}(j) \cup \{b\}$  for each  $j \in \bar{A}(b)$
- 5: form a circular double linked list of nodes in B
- 6: delete nodes in *B* from the graph

*b* will be an even node, and it has unexamined neighbours.



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Every node that was adjacent to a node in *B* is now adjacent to *b* 



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Only for making a blossom expansion easier.



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Only delete links from nodes not in *B* to *B*.

When expanding the blossom again we can recreate these links in time  $\mathcal{O}(m)$ .



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 Note, that any graph created will have at most m edges.



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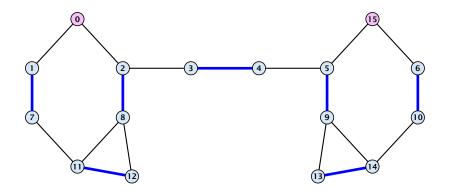
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- An augmentation requires time  $\mathcal{O}(n)$ . There are at most n of them.
- In total the running time is at most

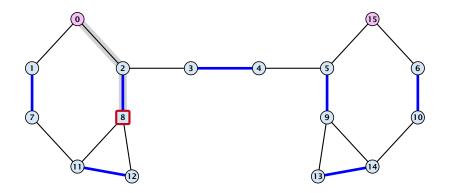
```
n \cdot (\mathcal{O}(mn) + \mathcal{O}(n)) = \mathcal{O}(mn^2).
```





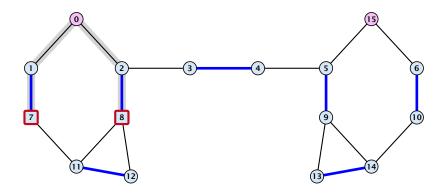


19 Maximum Matching in General Graphs



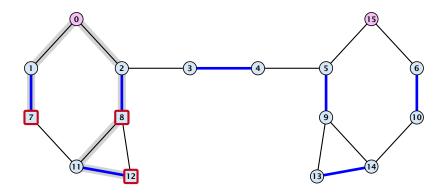


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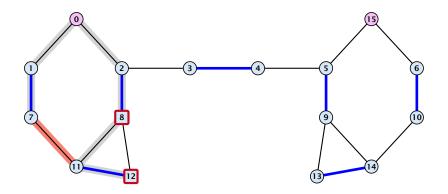


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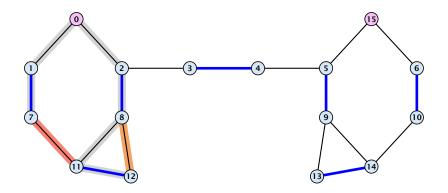


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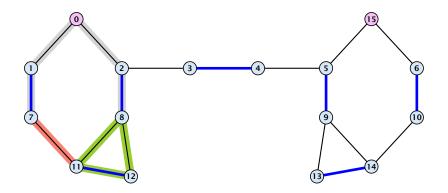


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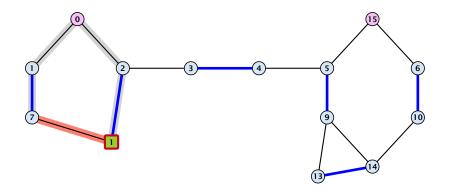


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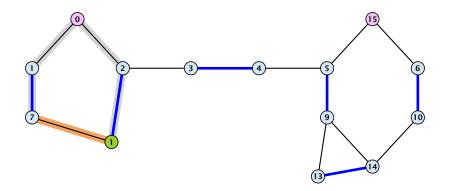


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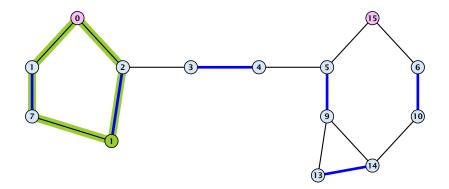


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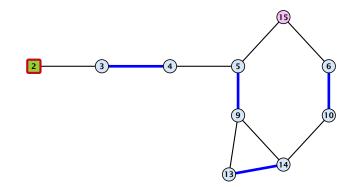


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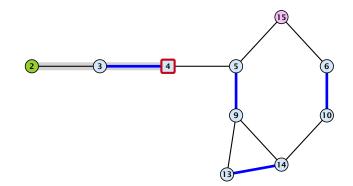


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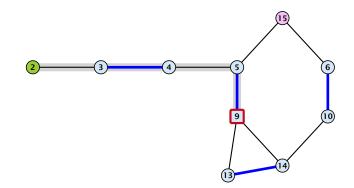


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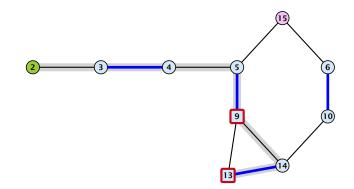


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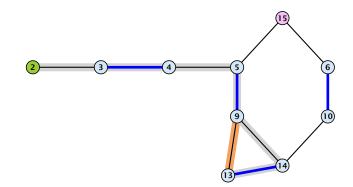


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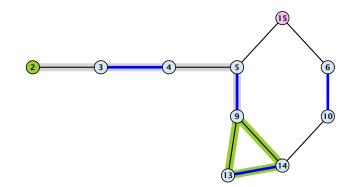


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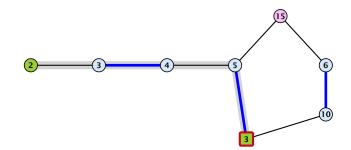


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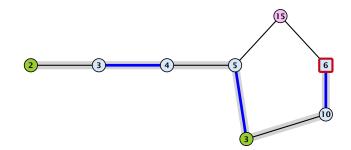


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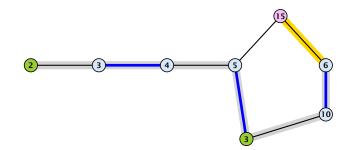


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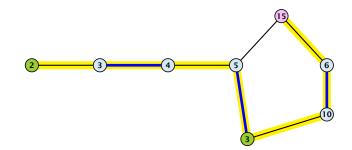


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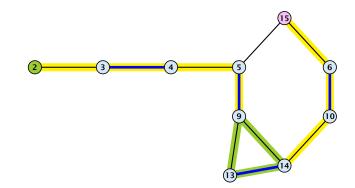


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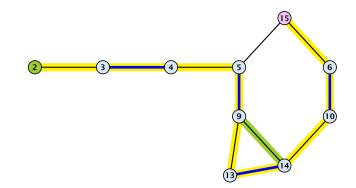


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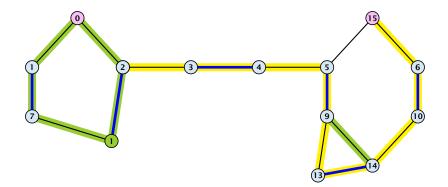


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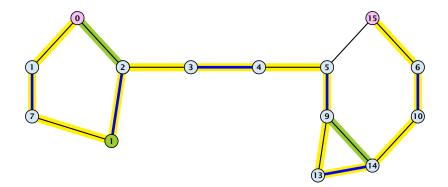


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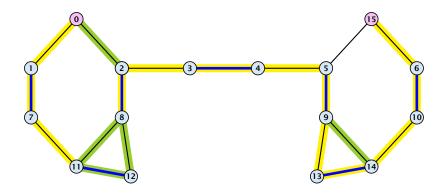


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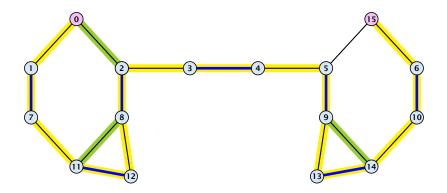


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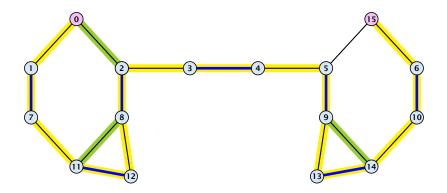


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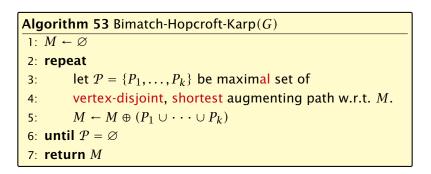
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# A Fast Matching Algorithm



We call one iteration of the repeat-loop a phase of the algorithm.



Lemma 12

Given a matching M and a matching  $M^*$  with  $|M^*| - |M| \ge 0$ . There exist  $|M^*| - |M|$  vertex-disjoint augmenting path w.r.t. M.



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- The connected components of *G* are cycles and paths.
- ► The graph contains  $k \leq |M^*| |M|$  more red edges than blue edges.
- Hence, there are at least k components that form a path starting and ending with a red edge. These are augmenting paths w.r.t. M.



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#### Lemma 13

The set  $A \cong M \oplus (M' \oplus P) = (P_1 \cup \cdots \cup P_k) \oplus P$  contains at least  $(k+1)\ell$  edges.



#### Proof.

The set describes exactly the symmetric difference between matchings M and  $M' \oplus P$ .



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- Each of these paths is of length at least  $\ell$ .



Lemma 14

*P* is of length at least  $\ell + 1$ . This shows that the length of a shortest augmenting path increases between two phases of the Hopcroft-Karp algorithm.



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- This edge is not contained in *A*.
- Hence,  $|A| \le k\ell + |P| 1$ .
- ► The lower bound on |A| gives  $(k+1)\ell \le |A| \le k\ell + |P| 1$ , and hence  $|P| \ge \ell + 1$ .



If the shortest augmenting path w.r.t. a matching M has  $\ell$  edges then the cardinality of the maximum matching is of size at most  $|M| + \frac{|V|}{\ell+1}$ .



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#### Proof.

The symmetric difference between M and  $M^*$  contains  $|M^*| - |M|$  vertex-disjoint augmenting paths. Each of these paths contains at least  $\ell + 1$  vertices. Hence, there can be at most  $\frac{|V|}{\ell+1}$  of them.



#### Lemma 15

The Hopcroft-Karp algorithm requires at most  $2\sqrt{|V|}$  phases.



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- ▶ After iteration  $\lfloor \sqrt{|V|} \rfloor$  the length of a shortest augmenting path must be at least  $\lfloor \sqrt{|V|} \rfloor + 1 \ge \sqrt{|V|}$ .
- ► Hence, there can be at most  $|V|/(\sqrt{|V|} + 1) \le \sqrt{|V|}$  additional augmentations.



#### Lemma 16

One phase of the Hopcroft-Karp algorithm can be implemented in time O(m).

construct a "level graph" G':

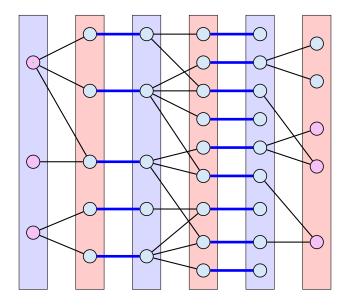
- construct Level 0 that includes all free vertices on left side L
- construct Level 1 containing all neighbors of Level 0
- construct Level 2 containing matching neighbors of Level 1
- construct Level 3 containing all neighbors of Level 2
- ...

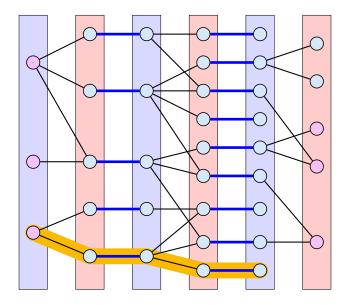
stop when a level (apart from Level 0) contains a free vertex can be done in time O(m) by a modified BFS

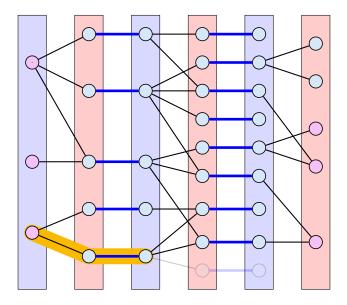


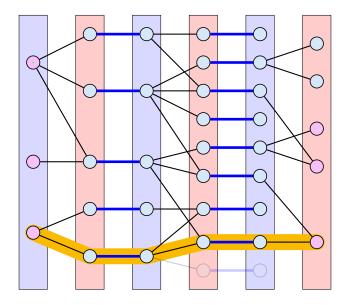
- a shortest augmenting path must go from Level 0 to the last layer constructed
- it can only use edges between layers
- construct a maximal set of vertex disjoint augmenting path connecting the layers
- for this, go forward until you either reach a free vertex or you reach a "dead end" v
- if you reach a free vertex delete the augmenting path and all incident edges from the graph
- if you reach a dead end backtrack and delete v together with its incident edges

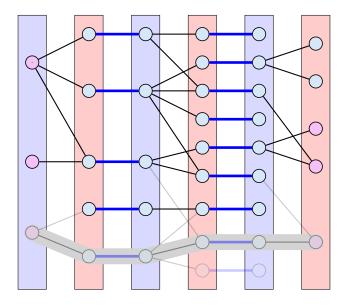


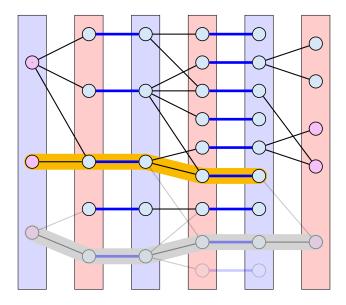


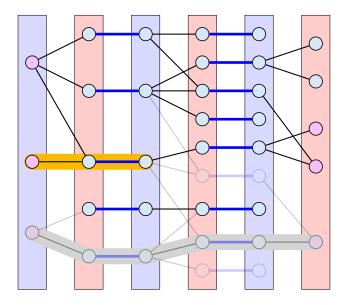


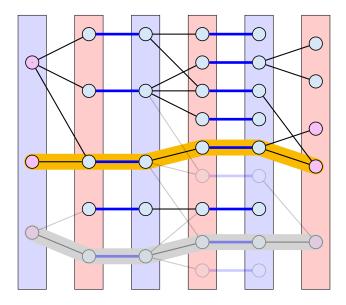


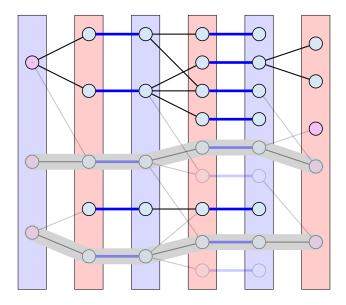


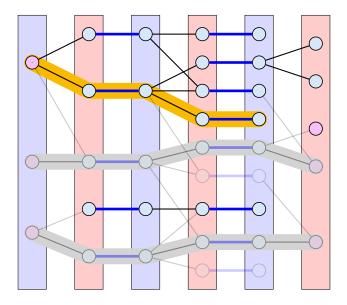


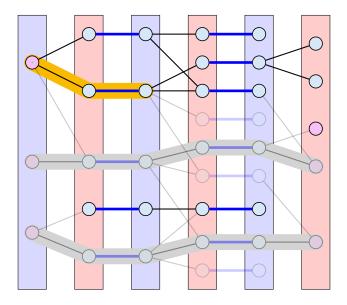


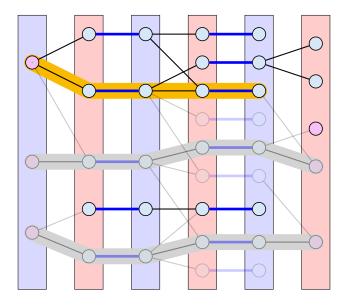


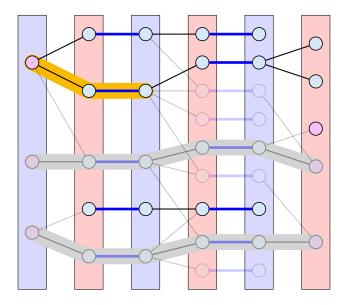


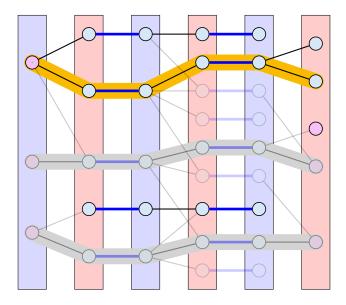


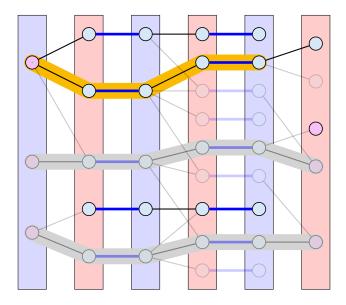


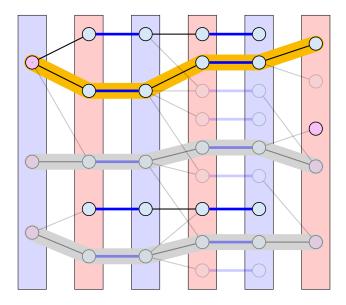


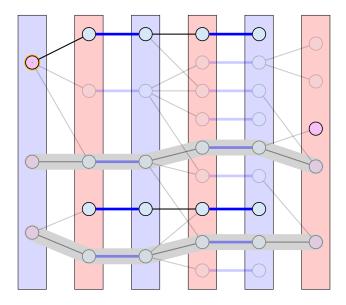


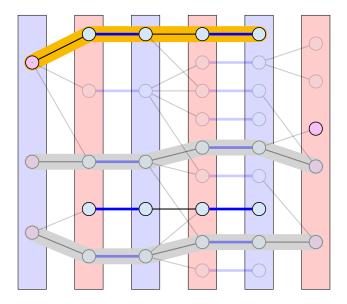


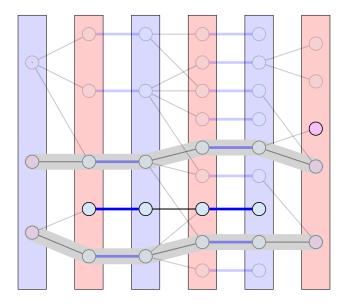


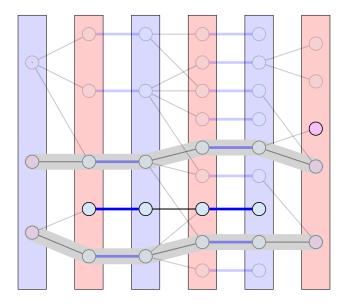












## **Analysis: Shortest Augmenting Path for Flows**

### cost for searches during a phase is $\mathcal{O}(mn)$

- a search (successful or unsuccessful) takes time  $\mathcal{O}(n)$
- a search deletes at least one edge from the level graph

#### there are at most *n* phases

Time:  $\mathcal{O}(mn^2)$ .



## Analysis for Unit-capacity Simple Networks

### cost for searches during a phase is $\mathcal{O}(m)$

an edge/vertex is traversed at most twice

### need at most $\mathcal{O}(\sqrt{n})$ phases

- after  $\sqrt{n}$  phases there is a cut of size at most  $\sqrt{n}$  in the residual graph
- hence at most  $\sqrt{n}$  additional augmentations required

Time:  $\mathcal{O}(m\sqrt{n})$ .



## 21 Gomory Hu Trees

Given an undirected, weighted graph G = (V, E, c) a cut-tree T = (V, F, w) is a tree with edge-set F and capacities w that fulfills the following properties.

- **1. Equivalent Flow Tree:** For any pair of vertices  $s, t \in V$ , f(s, t) in G is equal to  $f_T(s, t)$ .
- 2. Cut Property: A minimum *s*-*t* cut in *T* is also a minimum cut in *G*.

Here, f(s,t) is the value of a maximum *s*-*t* flow in *G*, and  $f_T(s,t)$  is the corresponding value in *T*.



The algorithm maintains a partition of V, (sets  $S_1, ..., S_t$ ), and a spanning tree T on the vertex set  $\{S_1, ..., S_t\}$ .



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In the end this gives a tree on the vertex set V.



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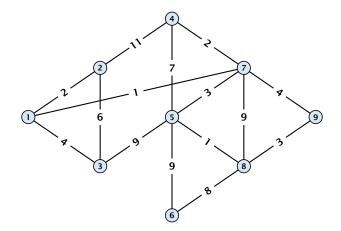
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- ▶ Replace an edge  $\{S_i, S_x\}$  by  $\{S_i^a, S_x\}$  if  $S_x \subset A$  and by  $\{S_i^b, S_x\}$  if  $S_x \subset B$ .



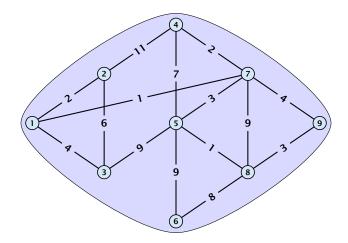
## **Example: Gomory-Hu Construction**



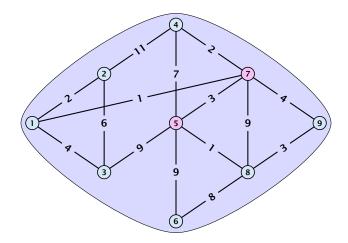


21 Gomory Hu Trees

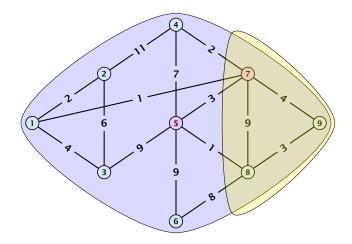
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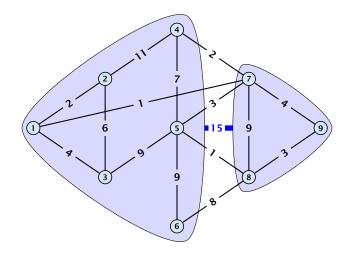




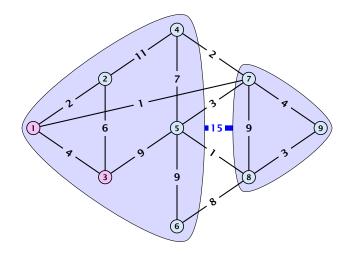




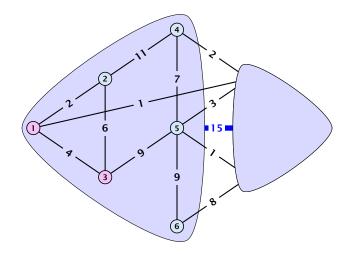




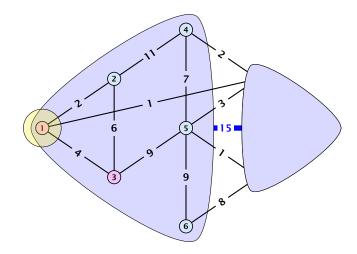




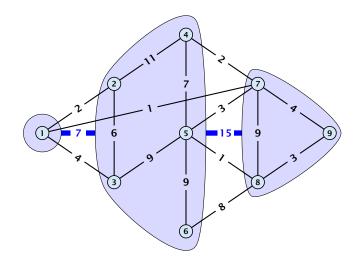




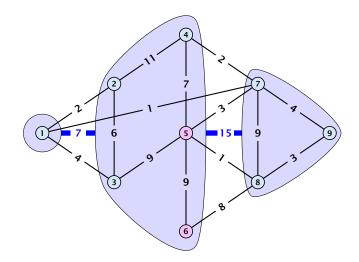




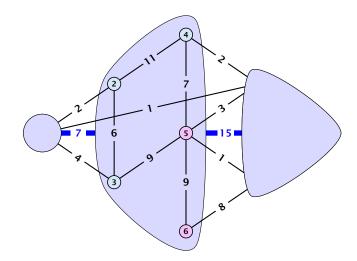




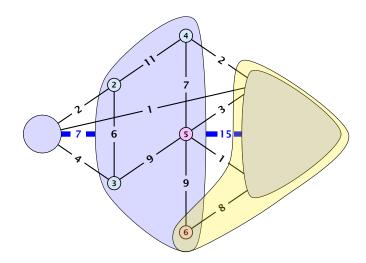




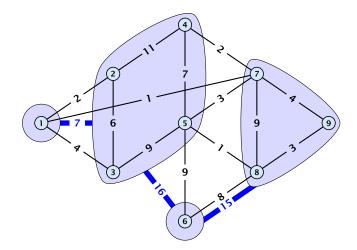






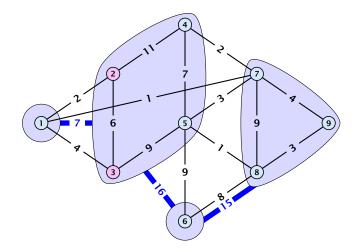






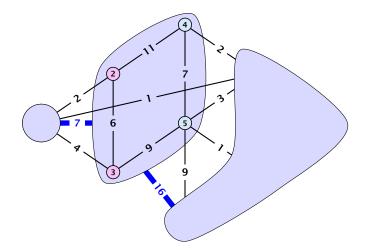


21 Gomory Hu Trees

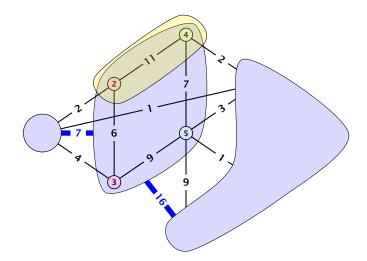




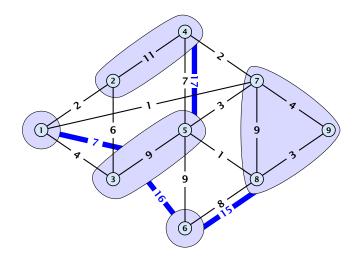
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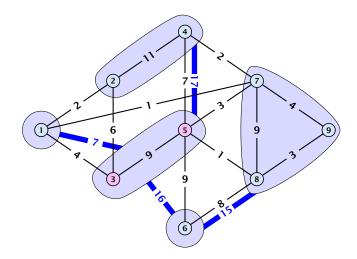




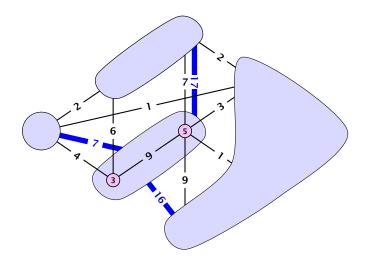




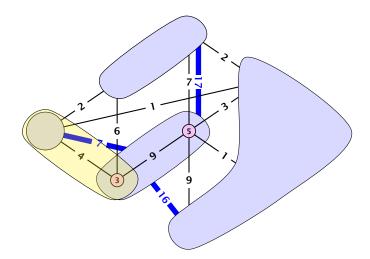




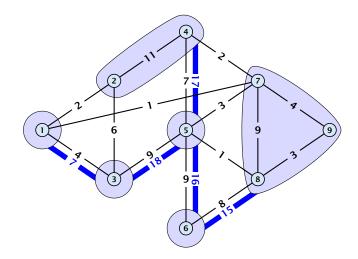






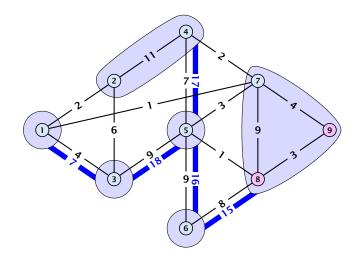






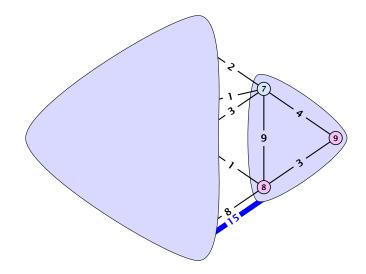


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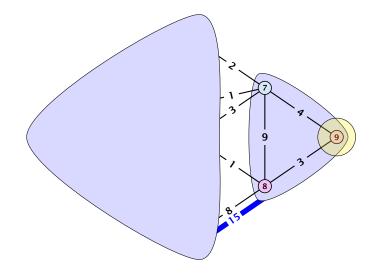




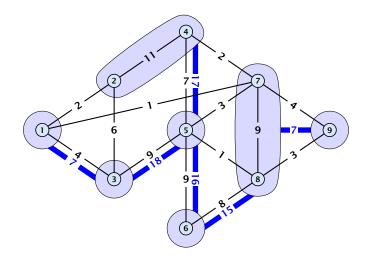
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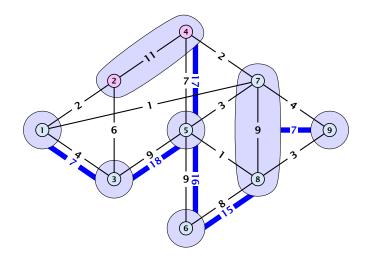




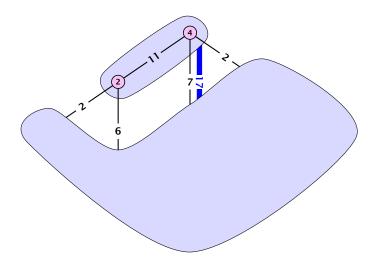




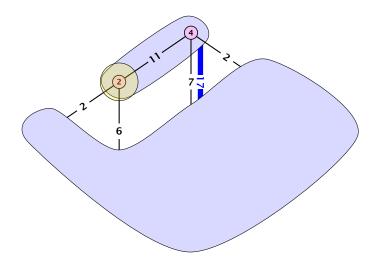




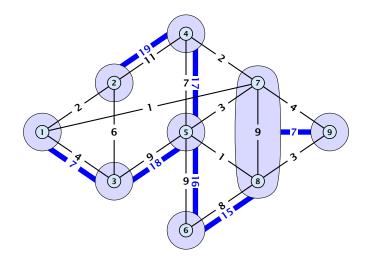




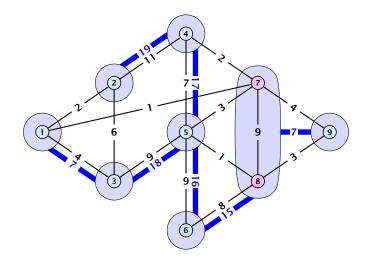




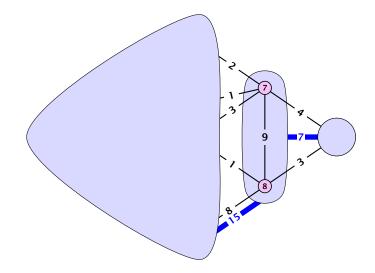




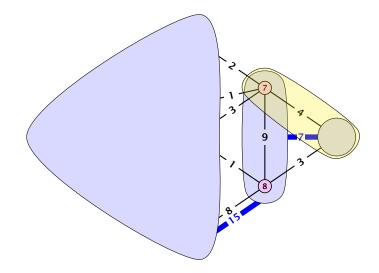




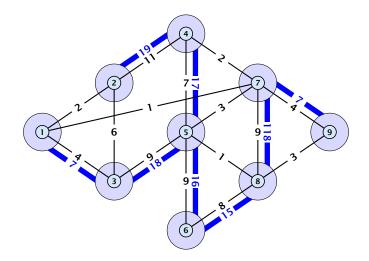














#### Analysis

#### Lemma 17

For nodes  $s, t, x \in V$  we have  $f(s, t) \ge \min\{f(s, x), f(x, t)\}$ 



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#### Lemma 18 For nodes $s, t, x_1, ..., x_k \in V$ we have $f(s,t) \ge \min\{f(s,x_1), f(x_1,x_2), ..., f(x_{k-1},x_k), f(x_k,t)\}$



#### Lemma 19

Let *S* be some minimum *r*-*s* cut for some nodes  $r, s \in V$  ( $s \in S$ ), and let  $v, w \in S$ . Then there is a minimum v-w-cut *T* with  $T \subset S$ .

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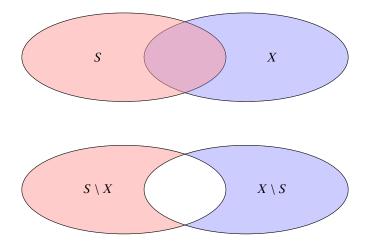
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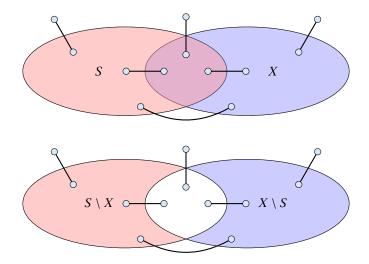
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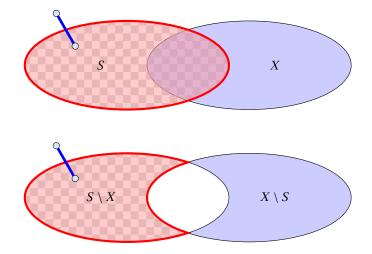
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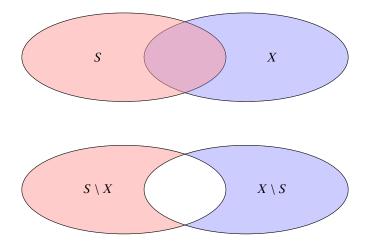




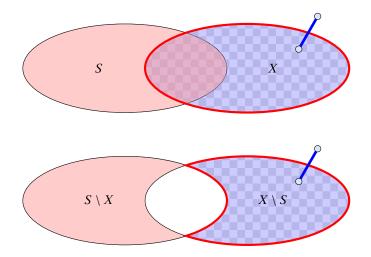




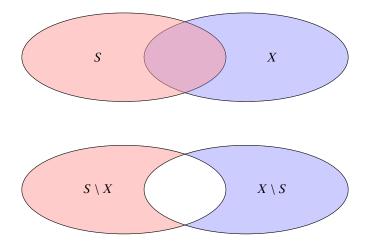




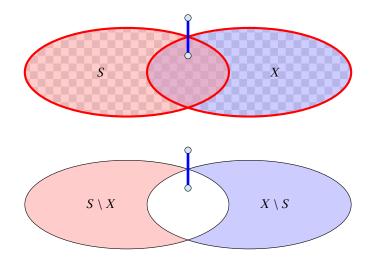




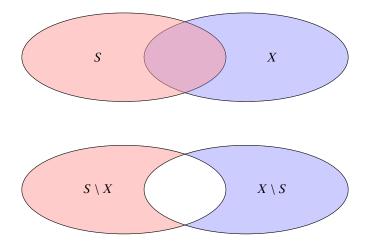




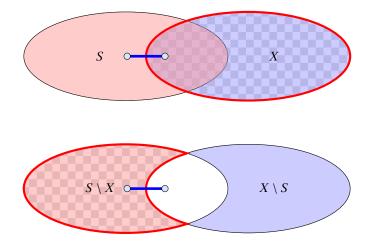




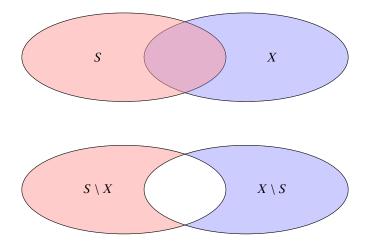




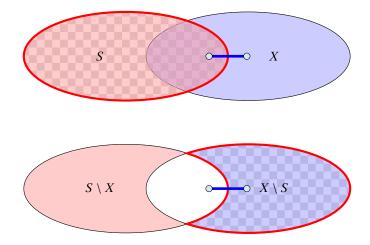




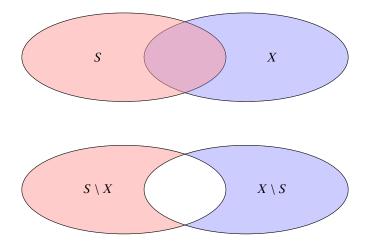




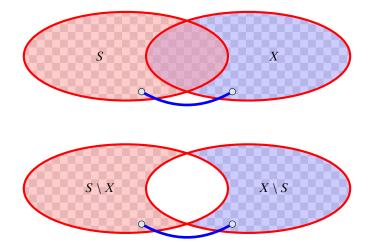






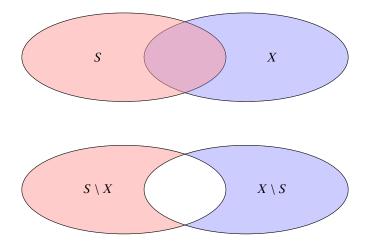




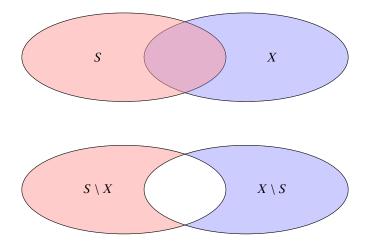




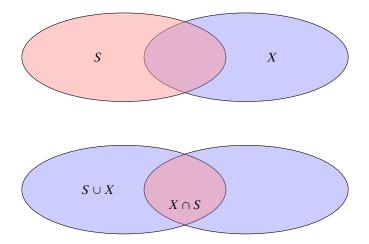
21 Gomory Hu Trees



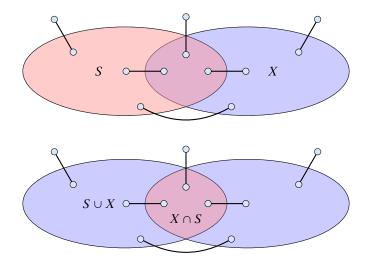




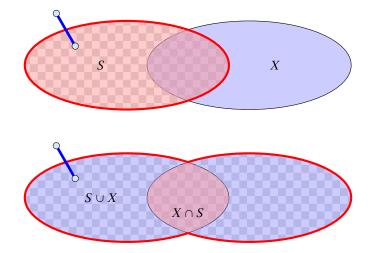






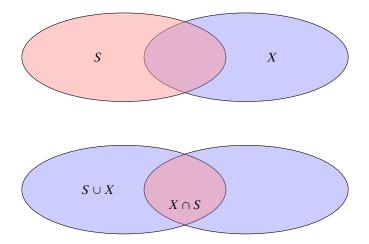




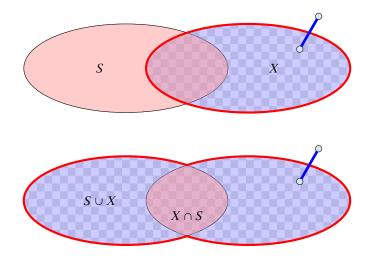




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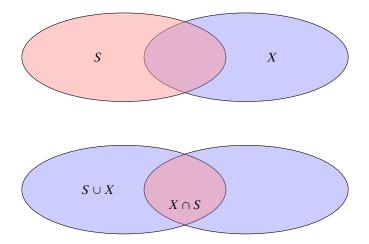




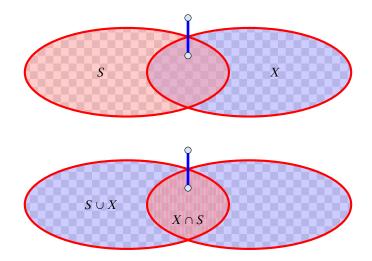




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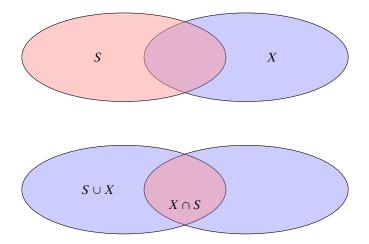




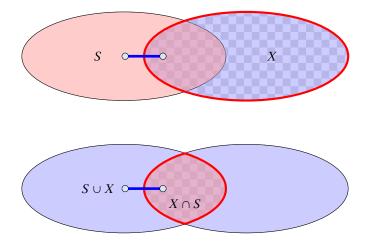




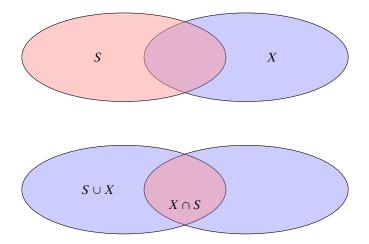
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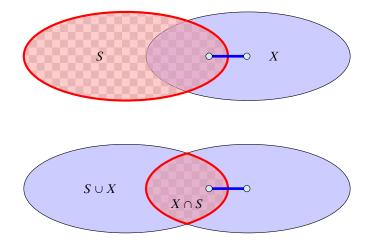




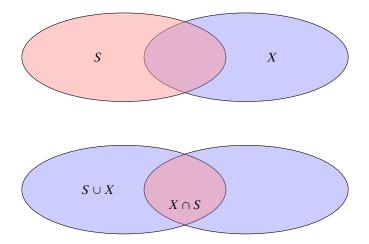




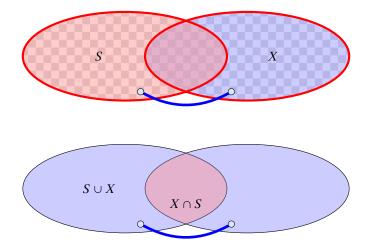




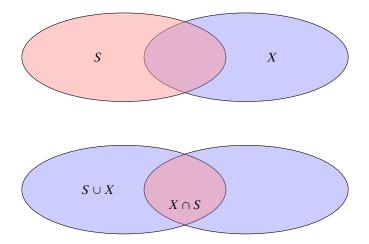




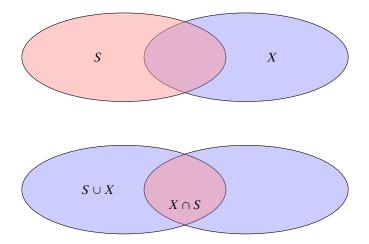














Lemma 19 tells us that if we have a graph G = (V, E) and we contract a subset  $X \subset V$  that corresponds to some mincut, then the value of f(s, t) does not change for two nodes  $s, t \notin X$ .

We will show (later) that the connected components that we contract during a split-operation each correspond to some mincut and, hence,  $f_H(s,t) = f(s,t)$ , where  $f_H(s,t)$  is the value of a minimum *s*-*t* mincut in graph *H*.



#### Invariant [existence of representatives]:

For any edge  $\{S_i, S_j\}$  in T, there are vertices  $a \in S_i$  and  $b \in S_j$ such that  $w(S_i, S_j) = f(a, b)$  and the cut defined by edge  $\{S_i, S_j\}$ is a minimum a-b cut in G.



We first show that the invariant implies that at the end of the algorithm T is indeed a cut-tree.



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▶ Let  $s = x_0, x_1, ..., x_{k-1}, x_k = t$  be the unique simple path from *s* to *t* in the final tree *T*. From the invariant we get that  $f(x_i, x_{i+1}) = w(x_i, x_{i+1})$  for all *j*.



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Then

$$\begin{split} f_T(s,t) &= \min_{i \in \{0,\dots,k-1\}} \{ w(x_i,x_{i+1}) \} \\ &= \min_{i \in \{0,\dots,k-1\}} \{ f(x_i,x_{i+1}) \} \le f(s,t) \end{split}$$

- Let  $\{x_j, x_{j+1}\}$  be the edge with minimum weight on the path.
- Since by the invariant this edge induces an *s*-*t* cut with capacity *f*(*x<sub>j</sub>*, *x<sub>j+1</sub>) we get f*(*s*, *t*) ≤ *f*(*x<sub>j</sub>*, *x<sub>j+1</sub>) = f<sub>T</sub>(s, <i>t*).



#### • Hence, $f_T(s,t) = f(s,t)$ (flow equivalence).



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- Since, we can send a flow of value f(x<sub>j</sub>, x<sub>j+1</sub>) btw. s and t, this is an s-t mincut (cut property).





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Therefore, contracting the connected components does not change the mincut btw. a and b due to Lemma 19.

After the split we have to choose representatives for all edges. For the new edge  $\{S_i^a, S_i^b\}$  with capacity  $w(S_i^a, S_i^b) = f_H(a, b)$  we can simply choose a and b as representatives.





For edges that are not incident to  $S_i$  we do not need to change representatives as the neighbouring sets do not change.



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If  $s \in S_i^a$  we can keep x and s as representatives.

Otherwise, we choose x and a as representatives. We need to show that f(x, a) = f(x, s).





Because the invariant was true before the split we know that the edge  $\{X, S_i\}$  induces a cut in *G* of capacity f(x, s). Since, *x* and *a* are on opposite sides of this cut, we know that  $f(x, a) \le f(x, s)$ .



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The set *B* forms a mincut separating *a* from *b*. Contracting all nodes in this set gives a new graph G' where the set *B* is represented by node  $v_B$ . Because of Lemma 19 we know that f'(x, a) = f(x, a) as  $x, a \notin B$ .



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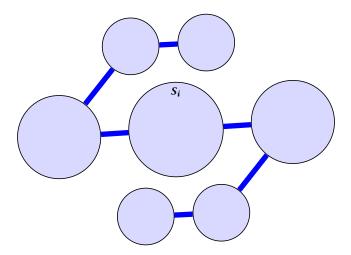
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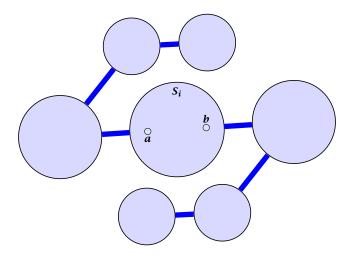
Also,  $f'(a, v_B) \ge f(a, b) \ge f(x, s)$  since the *a*-*b* cut that splits  $S_i$  into  $S_i^a$  and  $S_i^b$  also separates *s* and *x*.



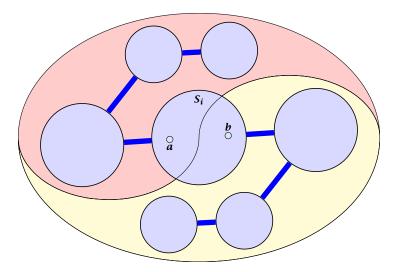




21 Gomory Hu Trees

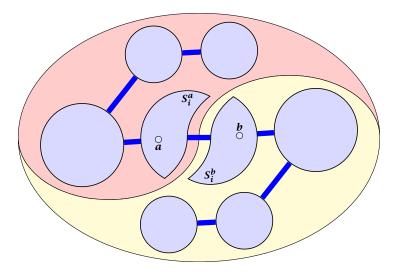






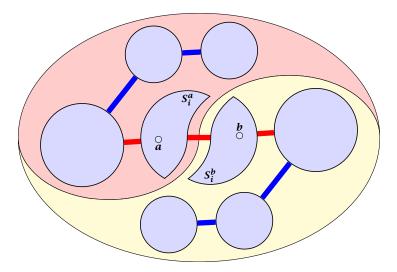


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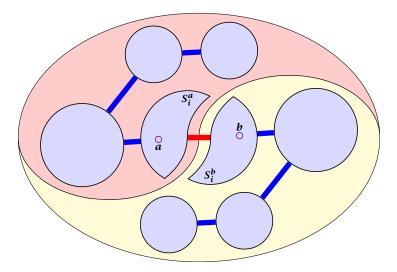


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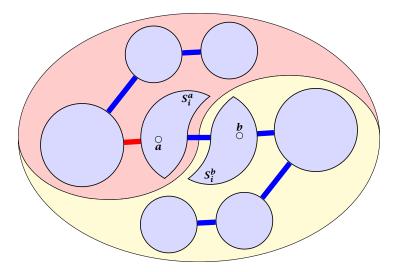


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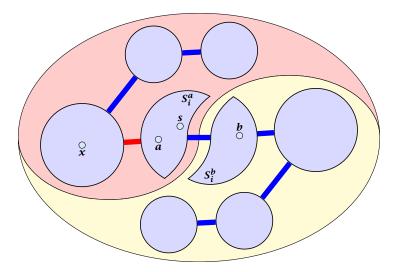


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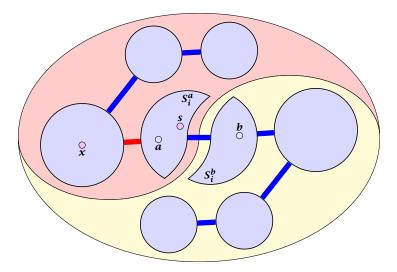


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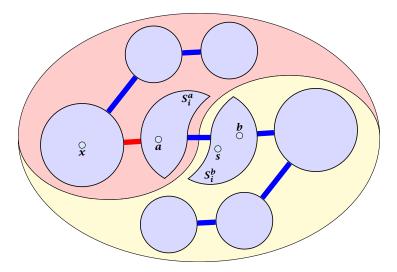


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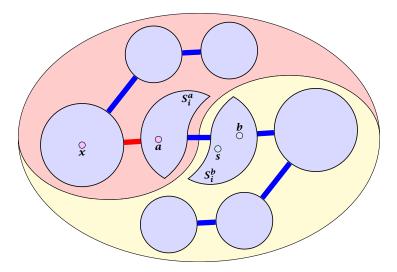


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