## Part V

## Matchings

## Matching

- Input: undirected graph $G=(V, E)$.
- $M \subseteq E$ is a matching if each node appears in at most one edge in $M$.
- Maximum Matching: find a matching of maximum cardinality



## 16 Bipartite Matching via Flows

## Which flow algorithm to use?

- Generic augmenting path: $\mathcal{O}\left(m \operatorname{val}\left(f^{*}\right)\right)=\mathcal{O}(m n)$.
- Capacity scaling: $\mathcal{O}\left(m^{2} \log C\right)=\mathcal{O}\left(m^{2}\right)$.
- Shortest augmenting path: $\mathcal{O}\left(m n^{2}\right)$.

For unit capacity simple graphs shortest augmenting path can be implemented in time $\mathcal{O}(m \sqrt{n})$.

## 17 Augmenting Paths for Matchings

## Definitions.

- Given a matching $M$ in a graph $G$, a vertex that is not incident to any edge of $M$ is called a free vertex w.r. .t. $M$.
- For a matching $M$ a path $P$ in $G$ is called an alternating path if edges in $M$ alternate with edges not in $M$.
- An alternating path is called an augmenting path for matching $M$ if it ends at distinct free vertices.

Theorem 89
A matching $M$ is a maximum matching if and only if there is no augmenting path w.r.t. M.

## Augmenting Paths in Action



17 Augmenting Paths for Matchings

## Augmenting Paths in Action



17 Augmenting Paths for Matchings

## 17 Augmenting Paths for Matchings

## Proof.

$\Rightarrow$ If $M$ is maximum there is no augmenting path $P$, because we could switch matching and non-matching edges along $P$. This gives matching $M^{\prime}=M \oplus P$ with larger cardinality.
$\Leftarrow$ Suppose there is a matching $M^{\prime}$ with larger cardinality. Consider the graph $H$ with edge-set $M^{\prime} \oplus M$ (i.e., only edges that are in either $M$ or $M^{\prime}$ but not in both).

Each vertex can be incident to at most two edges (one from $M$ and one from $M^{\prime}$ ). Hence, the connected components are alternating cycles or alternating path.

As $\left|M^{\prime}\right|>|M|$ there is one connected component that is a path $P$ for which both endpoints are incident to edges from $M^{\prime} . P$ is an alternating path.

## 17 Augmenting Paths for Matchings

## Algorithmic idea:

As long as you find an augmenting path augment your matching using this path. When you arrive at a matching for which no augmenting path exists you have a maximum matching.

## Theorem 90

Let $G$ be a graph, $M$ a matching in $G$, and let $u$ be a free vertex w.r.t. M. Further let $P$ denote an augmenting path w.r.t. $M$ and let $M^{\prime}=M \oplus P$ denote the matching resulting from augmenting $M$ with $P$. If there was no augmenting path starting at $u$ in $M$ then there is no augmenting path starting at $u$ in $M^{\prime}$.


## 17 Augmenting Paths for Matchings

## Proof

- Assume there is an augmenting path $P^{\prime}$ w.r.t. $M^{\prime}$ starting at $u$.
- If $P^{\prime}$ and $P$ are node-disjoint, $P^{\prime}$ is also augmenting path w.r.t. $M$ (z).
- Let $u^{\prime}$ be the first node on $P^{\prime}$ that is in $P$, and let $e$ be the matching edge from $M^{\prime}$ incident to $u^{\prime}$.
- $u^{\prime}$ splits $P$ into two parts one of which does not contain $e$. Call this part $P_{1}$. Denote the sub-path of $P^{\prime}$ from $u$ to $u^{\prime}$ with $P_{1}^{\prime}$.
$-P_{1} \circ P_{1}^{\prime}$ is augmenting path in $M(z)$.



## How to find an augmenting path?

Construct an alternating tree.

even nodes
odd nodes

Case 1:
$y$ is free vertex not contained in $T$
you found alternating path

17 Augmenting Paths for Matchings

## How to find an augmenting path?

Construct an alternating tree.


## How to find an augmenting path?

Construct an alternating tree.

even nodes odd nodes

Case 3: $y$ is already contained in $T$ as an odd vertex
ignore successor $y$

## How to find an augmenting path?

Construct an alternating tree.


## even nodes odd nodes

Case 4: $y$ is already contained in $T$ as an even vertex can't ignore $y$
does not happen in bipartite graphs

```
Algorithm 49 BiMatch ( \(G\), match)
    for \(x \in V\) do mate \([x] \leftarrow 0\);
    \(r \leftarrow 0\); free \(\leftarrow n\);
    while free \(\geq 1\) and \(r<n\) do
    4: \(\quad r \leftarrow r+1\)
    5: if mate \([r]=0\) then
    6: \(\quad\) for \(i=1\) to \(n\) do parent \(\left[i^{\prime}\right] \leftarrow 0\)
    7: \(\quad Q \leftarrow \varnothing ; Q\).append \((r) ;\) aug \(\leftarrow\) false;
    8: \(\quad\) while \(a u g=\) false and \(Q \neq \varnothing\) do
    9: \(\quad x \leftarrow Q\). dequeue();
10: \(\quad\) for \(y \in A_{x}\) do
11: if mate \([y]=0\) then
        augm (mate, parent, \(y\) );
        aug \(\leftarrow\) true;
        free \(\leftarrow\) free - 1 ;
        else
        if parent \([y]=0\) then
        parent \([y] \leftarrow x\);
        \(Q\).enqueue( mate[ \(y]\) );
```

The lecture slides contain a step by istep explanation.

$$
\begin{aligned}
S & =\{1, \ldots, n\} \\
S^{\prime} & =\left\{1^{\prime}, \ldots, n^{\prime}\right\}
\end{aligned}
$$

## 18 Weighted Bipartite Matching

## Weighted Bipartite Matching/Assignment

- Input: undirected, bipartite graph $G=L \cup R, E$.
- an edge $e=(\ell, r)$ has weight $w_{e} \geq 0$
- find a matching of maximum weight, where the weight of a matching is the sum of the weights of its edges

Simplifying Assumptions (wlog [why?]):

- assume that $|L|=|R|=n$
- assume that there is an edge between every pair of nodes $(\ell, r) \in V \times V$
- can assume goal is to construct maximum weight perfect matching


## Weighted Bipartite Matching

Theorem 91 (Halls Theorem)
A bipartite graph $G=(L \cup R, E)$ has a perfect matching if and only if for all sets $S \subseteq L,|\Gamma(S)| \geq|S|$, where $\Gamma(S)$ denotes the set of nodes in $R$ that have a neighbour in $S$.

## 18 Weighted Bipartite Matching



## Halls Theorem

## Proof:

$\Leftarrow$ Of course, the condition is necessary as otherwise not all nodes in $S$ could be matched to different neigbhours.
$\Rightarrow$ For the other direction we need to argue that the minimum cut in the graph $G^{\prime}$ is at least $|L|$.

- Let $S$ denote a minimum cut and let $L_{S} \stackrel{\text { def }}{=} L \cap S$ and $R_{S} \stackrel{\text { def }}{=} R \cap S$ denote the portion of $S$ inside $L$ and $R$, respectively.
- Clearly, all neighbours of nodes in $L_{S}$ have to be in $S$, as otherwise we would cut an edge of infinite capacity.
- This gives $R_{S} \geq\left|\Gamma\left(L_{S}\right)\right|$.
- The size of the cut is $|L|-\left|L_{S}\right|+\left|R_{S}\right|$.
- Using the fact that $\left|\Gamma\left(L_{S}\right)\right| \geq L_{S}$ gives that this is at least $|L|$.


## Algorithm Outline

## Idea:

We introduce a node weighting $\vec{x}$. Let for a node $v \in V, x_{v} \in \mathbb{R}$ denote the weight of node $v$.

- Suppose that the node weights dominate the edge-weights in the following sense:

$$
x_{u}+x_{v} \geq w_{e} \text { for every edge } e=(u, v)
$$

- Let $H(\vec{x})$ denote the subgraph of $G$ that only contains edges that are tight w.r.t. the node weighting $\vec{x}$, i.e. edges $e=(u, v)$ for which $w_{e}=x_{u}+x_{v}$.
- Try to compute a perfect matching in the subgraph $H(\vec{x})$. If you are successful you found an optimal matching.


## Algorithm Outline

## Reason:

- The weight of your matching $M^{*}$ is

$$
\sum_{(u, v) \in M^{*}} w_{(u, v)}=\sum_{(u, v) \in M^{*}}\left(x_{u}+x_{v}\right)=\sum_{v} x_{v}
$$

- Any other perfect matching $M$ (in $G$, not necessarily in $H(\vec{x})$ ) has

$$
\sum_{(u, v) \in M} w_{(u, v)} \leq \sum_{(u, v) \in M}\left(x_{u}+x_{v}\right)=\sum_{v} x_{v}
$$

## Algorithm Outline

## What if you don't find a perfect matching?

Then, Halls theorem guarantees you that there is a set $S \subseteq L$, with
$|\Gamma(S)|<|S|$, where $\Gamma$ denotes the neighbourhood w.r.t. the subgraph $H(\vec{x})$.

Idea: reweight such that:

- the total weight assigned to nodes decreases
- the weight function still dominates the edge-weights

If we can do this we have an algorithm that terminates with an optimal solution (we analyze the running time later).

## Changing Node Weights

Increase node-weights in $\Gamma(S)$ by $+\delta$, and decrease the node-weights in $S$ by $-\delta$.

- Total node-weight decreases.
- Only edges from $S$ to $R-\Gamma(S)$ decrease in their weight.
- Since, none of these edges is tight (otw. the edge would be contained in $H(\vec{x})$, and hence would go between $S$ and $\Gamma(S)$ ) we can do this decrement for small enough $\delta>0$ until a new edge gets tight.



## Weighted Bipartite Matching

Edges not drawn have weight 0 .

$$
\delta=1 \delta=1
$$



## Analysis

## How many iterations do we need?

- One reweighting step increases the number of edges out of $S$ by at least one.
- Assume that we have a maximum matching that saturates the set $\Gamma(S)$, in the sense that every node in $\Gamma(S)$ is matched to a node in $S$ (we will show that we can always find $S$ and a matching such that this holds).
- This matching is still contained in the new graph, because all its edges either go between $\Gamma(S)$ and $S$ or between $L-S$ and $R-\Gamma(S)$.
- Hence, reweighting does not decrease the size of a maximum matching in the tight sub-graph.


## Analysis

- We will show that after at most $n$ reweighting steps the size of the maximum matching can be increased by finding an augmenting path.
- This gives a polynomial running time.


## How to find an augmenting path?

Construct an alternating tree.


## Analysis

## How do we find $S$ ?

- Start on the left and compute an alternating tree, starting at any free node $u$.
- If this construction stops, there is no perfect matching in the tight subgraph (because for a perfect matching we need to find an augmenting path starting at $u$ ).
- The set of even vertices is on the left and the set of odd vertices is on the right and contains all neighbours of even nodes.
- All odd vertices are matched to even vertices. Furthermore, the even vertices additionally contain the free vertex $u$. Hence, $\left|V_{\text {odd }}\right|=\left|\Gamma\left(V_{\text {even }}\right)\right|<\left|V_{\text {even }}\right|$, and all odd vertices are saturated in the current matching.


## Analysis

- The current matching does not have any edges from $V_{\text {odd }}$ to $L \backslash V_{\text {even }}$ (edges that may possibly be deleted by changing weights).
- After changing weights, there is at least one more edge connecting $V_{\text {even }}$ to a node outside of $V_{\text {odd }}$. After at most $n$ reweights we can do an augmentation.
- A reweighting can be trivially performed in time $\mathcal{O}\left(n^{2}\right)$ (keeping track of the tight edges).
- An augmentation takes at most $\mathcal{O}(n)$ time.
- In total we obtain a running time of $\mathcal{O}\left(n^{4}\right)$.
- A more careful implementation of the algorithm obtains a running time of $\mathcal{O}\left(n^{3}\right)$.


## How to find an augmenting path?

Construct an alternating tree.

even nodes odd nodes

Case 4: $y$ is already contained in $T$ as an even vertex

## can't ignore $y$

The cycle $w \leftrightarrow y-x \leftrightarrow w$ is called a blossom. $w$ is called the base of the blossom (even node!!!).
The path $u-w$ is called the stem of the blossom.

## Flowers and Blossoms

## Definition 92

A flower in a graph $G=(V, E)$ w.r.t. a matching $M$ and a (free) root node $r$, is a subgraph with two components:

- A stem is an even length alternating path that starts at the root node $r$ and terminates at some node $w$. We permit the possibility that $r=w$ (empty stem).
- A blossom is an odd length alternating cycle that starts and terminates at the terminal node $w$ of a stem and has no other node in common with the stem. $w$ is called the base of the blossom.


## Flowers and Blossoms



## Flowers and Blossoms

## Properties:

1. A stem spans $2 \ell+1$ nodes and contains $\ell$ matched edges for some integer $\ell \geq 0$.
2. A blossom spans $2 k+1$ nodes and contains $k$ matched edges for some integer $k \geq 1$. The matched edges match all nodes of the blossom except the base.
3. The base of a blossom is an even node (if the stem is part of an alternating tree starting at $r$ ).

## Flowers and Blossoms

## Properties:

4. Every node $x$ in the blossom (except its base) is reachable from the root (or from the base of the blossom) through two distinct alternating paths; one with even and one with odd length.
5. The even alternating path to $x$ terminates with a matched edge and the odd path with an unmatched edge.

## Flowers and Blossoms



## Shrinking Blossoms

When during the alternating tree construction we discover a blossom $B$ we replace the graph $G$ by $G^{\prime}=G / B$, which is obtained from $G$ by contracting the blossom $B$.

- Delete all vertices in $B$ (and its incident edges) from $G$.
- Add a new (pseudo-)vertex $b$. The new vertex $b$ is connected to all vertices in $V \backslash B$ that had at least one edge to a vertex from $B$.


## Shrinking Blossoms

- Edges of $T$ that connect a node $u$ not in $B$ to a node in $B$ become tree edges in $T^{\prime}$ connecting $u$ to b.
- Matching edges (there is at most one) that connect a node $u$ not in $B$ to a node in $B$ become matching edges in $M^{\prime}$.
- Nodes that are connected in $G$ to at least one node in $B$ become connected to $b$ in $G^{\prime}$.



## Shrinking Blossoms

- Edges of $T$ that connect a node $u$ not in $B$ to a node in $B$ become tree edges in $T^{\prime}$ connecting $u$ to b.
- Matching edges (there is at most one) that connect a node $u$ not in $B$ to a node in $B$ become matching edges in $M^{\prime}$.
- Nodes that are connected in $G$ to at least one node in $B$ become connected to $b$ in $G^{\prime}$.



## Example: Blossom Algorithm

Animation of Blossom Shrinking algorithm is only available in the
lecture version of the slides.

## Correctness

Assume that in $G$ we have a flower w.r.t. matching $M$. Let $r$ be the root, $B$ the blossom, and $w$ the base. Let graph $G^{\prime}=G / B$ with pseudonode $b$. Let $M^{\prime}$ be the matching in the contracted graph.

## Lemma 93

If $G^{\prime}$ contains an augmenting path $P^{\prime}$ starting at $r$ (or the pseudo-node containing $r$ ) w.r.t. the matching $M^{\prime}$ then $G$ contains an augmenting path starting at $r$ w.r.t. matching $M$.

## Correctness

## Proof.

If $P^{\prime}$ does not contain $b$ it is also an augmenting path in $G$.
Case 1: non-empty stem

- Next suppose that the stem is non-empty.



## Correctness

- After the expansion $\ell$ must be incident to some node in the blossom. Let this node be $k$.
- If $k \neq w$ there is an alternating path $P_{2}$ from $w$ to $k$ that ends in a matching edge.
- $P_{1} \circ(i, w) \circ P_{2} \circ(k, \ell) \circ P_{3}$ is an alternating path.
- If $k=w$ then $P_{1} \circ(i, w) \circ(w, \ell) \circ P_{3}$ is an alternating path.


## Correctness

Proof.
Case 2: empty stem

- If the stem is empty then after expanding the blossom, $w=r$.

- The path $r \circ P_{2} \circ(k, \ell) \circ P_{3}$ is an alternating path.


## Correctness

Lemma 94
If $G$ contains an augmenting path $P$ from $r$ to $q$ w.r.t. matching $M$ then $G^{\prime}$ contains an augmenting path from $r$ (or the $p$ seudo-node containing $r$ ) to $q$ w.r.t. $M^{\prime}$.

## Correctness

## Proof.

- If $P$ does not contain a node from $B$ there is nothing to prove.
- We can assume that $r$ and $q$ are the only free nodes in $G$.


## Case 1: empty stem

Let $i$ be the last node on the path $P$ that is part of the blossom.
$P$ is of the form $P_{1} \circ(i, j) \circ P_{2}$, for some node $j$ and $(i, j)$ is unmatched.
$(b, j) \circ P_{2}$ is an augmenting path in the contracted network.

## Correctness

## Illustration for Case 1:



## Correctness

## Case 2: non-empty stem

Let $P_{3}$ be alternating path from $r$ to $w$; this exists because $r$ and $w$ are root and base of a blossom. Define $M_{+}=M \oplus P_{3}$. In $M_{+}, r$ is matched and $w$ is unmatched.
$G$ must contain an augmenting path w.r.t. matching $M_{+}$, since $M$ and $M_{+}$have same cardinality.

This path must go between $w$ and $q$ as these are the only unmatched vertices w.r.t. $M_{+}$.

For $M_{+}^{\prime}$ the blossom has an empty stem. Case 1 applies.
$G^{\prime}$ has an augmenting path w.r.t. $M_{+}^{\prime}$. It must also have an augmenting path w.r.t. $M^{\prime}$, as both matchings have the same cardinality.

This path must go between $r$ and $q$.

The lecture slides contain a step by
Algorithm 50 search $(r$, found $)$ step explanation.'

1: set $\bar{A}(i) \leftarrow A(i)$ for all nodes $i$
2: found $\leftarrow$ false
3: unlabel all nodes;
4: give an even label to $r$ and initialize list $\leftarrow\{r\}$
5: while list $\neq \varnothing$ do
6: delete a node $i$ from list
7: examine( $i$, found)
8: $\quad$ if found $=$ true then return

Search for an augmenting path starting at $r$.

```
Algorithm 51 examine( \(i\), found)
    1: for all \(j \in \bar{A}(i)\) do
    2: \(\quad\) if \(j\) is even then contract \((i, j)\) and return
    3: \(\quad\) if \(j\) is unmatched then
    4: \(\quad q \leftarrow j\);
    5: \(\quad \operatorname{pred}(q) \leftarrow i\);
    6: found \(\leftarrow\) true;
    7: return
    8: \(\quad\) if \(j\) is matched and unlabeled then
    9: \(\quad \operatorname{pred}(j) \leftarrow i\);
10: \(\quad \operatorname{pred}(\operatorname{mate}(j)) \leftarrow j\);
11: add mate( \(j\) ) to list
```

                                    The lecture slides
                                    contain a step by
    'step explanation. '
    Examine the neighbours of a node $i$

```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

Contract blossom identified by nodes $i$ and $j$

```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

Get all nodes of the blossom.
Time: $\mathcal{O}(m)$

```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

        Identify all neighbours of \(b\).
    Time: \(\mathcal{O}(m)\) (how?)
    
# Algorithm 52 contract $(i, j)$ <br> 1: trace pred-indices of $i$ and $j$ to identify a blossom $B$ <br> 2: create new node $b$ and set $\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)$ <br> 3: label $b$ even and add to list <br> 4: update $\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}$ for each $j \in \bar{A}(b)$ <br> 5: form a circular double linked list of nodes in $B$ <br> 6: delete nodes in $B$ from the graph 

$b$ will be an even node, and it has unexamined neighbours.

```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

    Every node that was adjacent to a node
        in \(B\) is now adjacent to \(b\)
    ```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

Only for making a blossom expansion easier.

```
Algorithm 52 contract \((i, j)\)
    1: trace pred-indices of \(i\) and \(j\) to identify a blossom \(B\)
    2: create new node \(b\) and set \(\bar{A}(b) \leftarrow \cup_{x \in B} \bar{A}(x)\)
    3: label \(b\) even and add to list
    4: update \(\bar{A}(j) \leftarrow \bar{A}(j) \cup\{b\}\) for each \(j \in \bar{A}(b)\)
    5: form a circular double linked list of nodes in \(B\)
    6: delete nodes in \(B\) from the graph
```

Only delete links from nodes not in $B$ to $B$. When expanding the blossom again we can recreate these links in time $\mathcal{O}(m)$.

## Analysis

- A contraction operation can be performed in time $\mathcal{O}(m)$. Note, that any graph created will have at most $m$ edges.
- The time between two contraction-operation is basically a BFS/DFS on a graph. Hence takes time $\mathcal{O}(m)$.
- There are at most $n$ contractions as each contraction reduces the number of vertices.
- The expansion can trivially be done in the same time as needed for all contractions.
- An augmentation requires time $\mathcal{O}(n)$. There are at most $n$ of them.
- In total the running time is at most

$$
n \cdot(\mathcal{O}(m n)+\mathcal{O}(n))=\mathcal{O}\left(m n^{2}\right)
$$

## Example: Blossom Algorithm

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