### 8.3 Fibonacci Heaps

Collection of trees that fulfill the heap property.
Structure is much more relaxed than binomial heaps.


### 8.3 Fibonacci Heaps

Additional implementation details:

- Every node $x$ stores its degree in a field $x$. degree. Note that this can be updated in constant time when adding a child to $x$.
- Every node stores a boolean value $x$.marked that specifies whether $x$ is marked or not.


### 8.3 Fibonacci Heaps

The potential function:

- $t(S)$ denotes the number of trees in the heap.
- $m(S)$ denotes the number of marked nodes.
- We use the potential function $\Phi(S)=t(S)+2 m(S)$.


The potential is $\Phi(S)=5+2 \cdot 3=11$.

### 8.3 Fibonacci Heaps

We assume that one unit of potential can pay for a constant amount of work, where the constant is chosen "big enough" (to take care of the constants that occur).

To make this more explicit we use $\boldsymbol{c}$ to denote the amount of work that a unit of potential can pay for.

### 8.3 Fibonacci Heaps

S. minimum ()

- Access through the min-pointer.
- Actual cost $\mathcal{O}(1)$.
- No change in potential.
- Amortized cost $\mathcal{O}(1)$.


### 8.3 Fibonacci Heaps

## $S$. merge ( $S^{\prime}$ )

- Merge the root lists.
- Adjust the min-pointer



### 8.3 Fibonacci Heaps

## $S . \operatorname{merge}\left(S^{\prime}\right)$

- Merge the root lists.
- Adjust the min-pointer


Running time:

- Actual cost $\mathcal{O}(1)$.


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## $S . \operatorname{merge}\left(S^{\prime}\right)$

- Merge the root lists.
- Adjust the min-pointer


Running time:

- Actual cost $\mathcal{O}(1)$.
- No change in potential.
- Hence, amortized cost is $\mathcal{O}(1)$.


### 8.3 Fibonacci Heaps

## $S$. insert ( $x$ )

$\rightarrow$ Create a new tree containing $x$.

- Insert $x$ into the root-list.
- Update min-pointer, if necessary.



### 8.3 Fibonacci Heaps

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### 8.3 Fibonacci Heaps

$S$. insert ( $x$ )
$\rightarrow$ Create a new tree containing $x$.

- Insert $x$ into the root-list.
- Update min-pointer, if necessary.


Running time:

- Actual cost $\mathcal{O}(1)$.
- Change in potential is +1 .
- Amortized cost is $c+\mathcal{O}(1)=\mathcal{O}(1)$.


### 8.3 Fibonacci Heaps

$S$. delete-min $(x)$


### 8.3 Fibonacci Heaps

## $S$. delete-min $(x)$

- Delete minimum; add child-trees to heap; time: $D(\mathrm{~min}) \cdot \mathcal{O}(1)$.



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## $S$. delete-min $(x)$

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- Update min-pointer; time: $(t+D(\min )) \cdot \mathcal{O}(1)$.



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- Delete minimum; add child-trees to heap; time: $D(\min ) \cdot \mathcal{O}(1)$.
- Update min-pointer; time: $(t+D(\min )) \cdot \mathcal{O}(1)$.

- Consolidate root-list so that no roots have the same degree. Time $t \cdot \mathcal{O}(1)$ (see next slide).


### 8.3 Fibonacci Heaps

Consolidate:

$$
\begin{array}{|l|l|l|l|}
\hline \mathbf{0} & \mathbf{1} & \mathbf{2} & \mathbf{3} \\
\hline \circ & \circ & \circ & \circ \\
\hline
\end{array}
$$



### 8.3 Fibonacci Heaps

Consolidate:


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### 8.3 Fibonacci Heaps

## Actual cost for delete-min()

- At most $D_{n}+t$ elements in root-list before consolidate.


### 8.3 Fibonacci Heaps

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- At most $D_{n}+t$ elements in root-list before consolidate.
- Actual cost for a delete-min is at most $\mathcal{O}(1) \cdot\left(D_{n}+t\right)$. Hence, there exists $c_{1}$ s.t. actual cost is at most $c_{1} \cdot\left(D_{n}+t\right)$.


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- $t^{\prime} \leq D_{n}+1$ as degrees are different after consolidating.


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- $t^{\prime} \leq D_{n}+1$ as degrees are different after consolidating.
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\begin{aligned}
c_{1} \cdot\left(D_{n}\right. & +t)-c \cdot\left(t-D_{n}-1\right) \\
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for $c \geq c_{1}$.

### 8.3 Fibonacci Heaps

If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

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If the input trees of the consolidation procedure are binomial trees (for example only singleton vertices) then the output will be a set of distinct binomial trees, and, hence, the Fibonacci heap will be (more or less) a Binomial heap right after the consolidation.

If we do not have delete or decrease-key operations then $D_{n} \leq \log n$.

## Fibonacci Heaps: decrease-key(handle $h, v$ )



Case 1: decrease-key does not violate heap-property

- Just decrease the key-value of element referenced by $h$. Nothing else to do.


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Case 2: heap-property is violated, but parent is not marked

- Decrease key-value of element $x$ reference by $h$.
- If the heap-property is violated, cut the parent edge of $x$, and make $x$ into a root.
- Adjust min-pointers, if necessary.
- Mark the (previous) parent of $x$ (unless it's a root).


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## Fibonacci Heaps: decrease-key(handle $h, v$ )



Case 3: heap-property is violated, and parent is marked

- Decrease key-value of element $x$ reference by $h$.
- Cut the parent edge of $x$, and make $x$ into a root.
- Adjust min-pointers, if necessary.
- Continue cutting the parent until you arrive at an unmarked node.


## Fibonacci Heaps: decrease-key(handle $h, v$ )



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- Decrease key-value of element $x$ reference by $h$.
- Cut the parent edge of $x$, and make $x$ into a root.
- Adjust min-pointers, if necessary.
- Execute the following:
$p \leftarrow \operatorname{parent}[x]$;
while ( $p$ is marked)
$p p \leftarrow \operatorname{parent}[p]$;
cut of $p$; make it into a root; unmark it; $p \leftarrow p p ;$
if $p$ is unmarked and not a root mark it;


## Fibonacci Heaps: decrease-key(handle $h, v$ )

## Actual cost:

## Fibonacci Heaps: decrease-key(handle $h, v$ )

## Actual cost:

- Constant cost for decreasing the value.


## Fibonacci Heaps: decrease-key(handle $h, v$ )

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- Constant cost for decreasing the value.
- Constant cost for each of $\ell$ cuts.


## Fibonacci Heaps: decrease-key(handle $h, v$ )

## Actual cost:

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- Hence, cost is at most $c_{2} \cdot(\ell+1)$, for some constant $c_{2}$.


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Amortized cost:

- $t^{\prime}=t+\ell$, as every cut creates one new root.


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- $t^{\prime}=t+\ell$, as every cut creates one new root.
- $m^{\prime} \leq m-(\ell-1)+1=m-\ell+2$, since all but the first cut unmarks a node; the last cut may mark a node.


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- $\Delta \Phi \leq \ell+2(-\ell+2)=4-\ell$


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$$
c_{2}(\ell+1)+c(4-\ell)
$$

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$$
c_{2}(\ell+1)+c(4-\ell) \leq\left(c_{2}-c\right) \ell+4 c+c_{2}
$$

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- Amortized cost is at most

$$
\begin{aligned}
& c_{2}(\ell+1)+c(4-\ell) \leq\left(c_{2}-c\right) \ell+4 c+c_{2}=\mathcal{O}(1), \\
& \text { if } c \geq c_{2} .
\end{aligned}
$$

## Delete node

H. delete ( $\boldsymbol{x}$ ):

- decrease value of $x$ to $-\infty$.
- delete-min.

Amortized cost: $\mathcal{O}\left(\boldsymbol{D}_{\boldsymbol{n}}\right)$

- $\mathcal{O}(1)$ for decrease-key.
- $\mathcal{O}\left(D_{n}\right)$ for delete-min.


### 8.3 Fibonacci Heaps

## Lemma 32

Let $x$ be a node with degree $k$ and let $y_{1}, \ldots, y_{k}$ denote the children of $x$ in the order that they were linked to $x$. Then

$$
\text { degree }\left(y_{i}\right) \geq \begin{cases}0 & \text { if } i=1 \\ i-2 & \text { if } i>1\end{cases}
$$

### 8.3 Fibonacci Heaps

## Proof

- When $y_{i}$ was linked to $x$, at least $y_{1}, \ldots, y_{i-1}$ were already linked to $x$.


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### 8.3 Fibonacci Heaps

## Proof

- When $y_{i}$ was linked to $x$, at least $y_{1}, \ldots, y_{i-1}$ were already linked to $x$.
- Hence, at this time degree $(x) \geq i-1$, and therefore also degree $\left(y_{i}\right) \geq i-1$ as the algorithm links nodes of equal degree only.
- Since, then $y_{i}$ has lost at most one child.
- Therefore, degree $\left(y_{i}\right) \geq i-2$.


### 8.3 Fibonacci Heaps

- Let $s_{k}$ be the minimum possible size of a sub-tree rooted at a node of degree $k$ that can occur in a Fibonacci heap.


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Let $x$ be a degree $k$ node of size $s_{k}$ and let $y_{1}, \ldots, y_{k}$ be its children.

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Definition 33
Consider the following non-standard Fibonacci type sequence:

$$
F_{k}= \begin{cases}1 & \text { if } k=0 \\ 2 & \text { if } k=1 \\ F_{k-1}+F_{k-2} & \text { if } k \geq 2\end{cases}
$$

Facts:

1. $F_{k} \geq \phi^{k}$.
2. For $k \geq 2$ : $F_{k}=2+\sum_{i=0}^{k-2} F_{i}$.

The above facts can be easily proved by induction. From this it follows that $s_{k} \geq F_{k} \geq \phi^{k}$, which gives that the maximum degree in a Fibonacci heap is logarithmic.
$\mathrm{k}=0: \quad 1=F_{0} \geq \Phi^{0}=1$
$\mathrm{k}=1: \quad 2=F_{1} \geq \Phi^{1} \approx 1.61$
$\mathrm{k}-2, \mathrm{k}-1 \rightarrow \mathrm{k}: \quad F_{k}=F_{k-1}+F_{k-2} \geq \Phi^{k-1}+\Phi^{k-2}=\Phi^{k-\overbrace{(\Phi+1)}}=\Phi^{k}$
$\mathrm{k}=2$ :
$3=F_{2}=2+1=2+F_{0}$
$\mathrm{k}-1 \rightarrow \mathrm{k}$ :
$F_{k}=F_{k-1}+F_{k-2}=2+\sum_{i=0}^{k-3} F_{i}+F_{k-2}=2+\sum_{i=0}^{k-2} F_{i}$

